



## **SUTTER BUTTE FLOOD CONTROL AGENCY**

*A Partnership for Flood Safety*

# **Urban Level of Protection 2017 Annual Adequate Progress Report Update**

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## **1.0 Introduction**

Larsen Wurzel & Associates, Inc. (LWA) has been engaged by the Sutter Butte Flood Control Agency (SBFCA) to prepare this document to support the ongoing demonstration of “Adequate Progress” toward the achievement of an Urban Level of Flood Protection (ULOP) within the Sutter-Butte Basin. SBFCA is the “Local Flood Management Agency” (LFMA) for the Sutter-Butte Basin and as such, has the responsibility to prepare an annual report demonstrating adequate progress as defined in California Government Code Section 65007 (a).

### **1.1. Urban Level of Flood Protection**

The California Department of Water Resources (DWR) developed certain guidance and ULOP criteria in response to requirements outlined in the Central Valley Flood Protection Act of 2008, enacted by SB 5 in 2007 and amended by subsequent legislation (2007 California Flood Legislation). DWR developed the ULOP criteria to assist affected cities and counties within the Sacramento-San Joaquin Valley, in making the findings related to an urban level of flood protection before approving certain land use entitlements in accordance with the 2007 California Flood Legislation. California Government Code Section 65007(n) provide that:

“Urban level of flood protection” means the level of protection that is necessary to withstand flooding that has a 1-in-200 chance of occurring in any given year using criteria consistent with, or developed by, the Department of Water Resources. “Urban level of flood protection” shall not mean shallow flooding or flooding from local drainage that meets the criteria of the national Federal Emergency Management Agency standard of flood protection.”

### **1.2. Land Use and Flood Management Agency Requirements for ULOP**

Following the effective date of the 2007 California Flood Legislation-related zoning ordinance amendments, in order for local communities to approve tentative subdivision maps, parcel maps, development agreements, or other discretionary permits or entitlements for all projects within an area located in a flood hazard zone, the local community must make one of the following findings:

- That the flood management systems are in place that protect the property to the urban level of flood protection for an urban or urbanizing area (or a FEMA level or protection for all other areas); or,
- That conditions imposed by the local community on a property, development project, or subdivision are sufficient to protect the property to the urban level of flood protection for an urban or urbanizing area; or,
- That the LFMA has made “Adequate Progress” on the construction of a flood protection system that will provide the necessary level of flood protection for the location of the proposed development; or,
- That property in an undetermined risk area has met the urban level of flood protection based on substantial evidence in the record.

Land use agencies also must make one of these findings before approving a ministerial permit for all projects that would result in the construction of a new residence.



The Adequate Progress finding has been defined by the 2007 California Flood Legislation (see Government Code §65007(a)) to require, at the time the finding is made by the local community, the following:

- The development of the scope, schedule and cost to complete flood protection facilities;
- Documentation that revenues have been identified to support implementation of the flood protection facilities;
- Critical features of the flood protection facilities are under construction and progressing;
- The local flood management agency has provided DWR and the Central Valley Flood Protection Board (CVFPB) information to determine substantial completion of the required flood protection.

In July 2016, SBFCA prepared its first Adequate Progress Report that addressed this required information.

In addition, the LFMA will document annually:

- That 90% of the required revenue scheduled to be received has been appropriated and is being expended;
- Critical features of the flood protection system are under construction and progressing based on the actual expenditures of the construction budget; and,
- The City or County has not been responsible for a significant delay in the completion of the system.

In addition, the 2007 California Flood Control Legislation requires the local flood management agency to:

- Report annually to the Central Valley Flood Protection Board on the status of progress toward completion of the flood protection system; and,
- Validate that the adequate progress finding is still effective.

While not defined in State law, DWR has prepared guidance that establishes that the effective period for a finding of adequate progress is applicable if the local community plans to rely on a previous finding for subsequent approvals. Under the DWR guidance, in general, a local community may rely on prior adequate progress findings for subsequent approvals if adequate progress continues to be made and periodic reviews by an engineer support continued findings.

*This 2017 Annual Adequate Progress Report update serves as SBFCA first annual report pursuant to this requirement and is intended to satisfy the above requirements so that the Land Use Agencies in the Sutter-Butte Basin may rely on their respective prior findings of Adequate Progress.*

### **1.3. SBFCA Approach to ULOP**

SBFCA June 2016 Adequate Progress Report was prepared to provide information for the Cities and Counties located within the Sutter-Butte Basin so that they may rely upon this report to support their respective findings of adequate progress for land use decisions for areas within the Sutter-Butte Basin. Based on DWR's ULOP criteria, the evidentiary requirements supporting an Adequate Progress finding include (for the EVD-3 package) the items listed in the matrix below. SBFCA's approach to addressing the requirements is shown opposite each listed criteria.



<b>Criteria</b>	<b>SBFCA Approach</b>
<b><u>Evidence at Time Adequate Progress Finding is Made (EVD-3)</u></b>	
A report prepared by the local flood management agency demonstrating adequate progress as defined in California Government Code Section 65007(a).	The June 2016 Adequate Progress Report, in combination with the documentation referenced within it demonstrates adequate progress.
A report prepared by a Professional Civil Engineer registered in California to document the data and analyses for demonstrating the property, development project, or subdivision will have an urban level of flood protection at the time when the flood protection system is completed.	SBFCA has developed the following two Engineer's Reports to demonstrate ULDC compliance for the flood protection system: <ul style="list-style-type: none"> <li>• Engineer's Report, Feather River West Levee Phase I ULDC Compliance, Final Report July 5, 2016</li> <li>• Engineer's Report, Star Bend Setback Levee, ULDC Compliance, July 1, 2016</li> </ul>
A report by an Independent Panel of Experts on the review of the report(s) prepared by the Professional Civil Engineer.	The following two reports were prepared by an Independent Panel of Experts (IPE) based upon their review of the Engineer's Reports: <ul style="list-style-type: none"> <li>• IPE Review of the Draft Engineer's Report "Feather River West Levee Phase I ULDC Compliance" and IPE Letter of Concurrence (June 30, 2016)</li> <li>• IPE Review of the Draft Engineer's Report "Star Bend Setback Levee ULDC Compliance" and IPE Letter of Concurrence (June 30, 2016)</li> </ul>



<b>Criteria</b>	<b>SBFCA Approach</b>
<p>A response by the Professional Civil Engineer to the comments from the Independent Panel of Experts.</p>	<p>The following two letters were prepared addressing the response to the IPE review of the Engineer's Reports:</p> <ul style="list-style-type: none"> <li>• Letter dated July 5, 2016 from Michael Bessette re: "Professional Civil Engineer's Response to the Independent Panel of Experts' Comments" (re: FRWLP1)</li> <li>• Letter dated July 5, 2016 from Wood Rodgers re: "Professional Civil Engineer's Response to the Independent Panel of Experts' Comments" (re: Star Bend Setback Levee)</li> </ul>
<p>The most recent annual report prepared by the local flood management agency that was submitted to the Central Valley Flood Protection Board documenting the efforts in working toward completion of the flood protection system.</p>	<p>The June 2016 Adequate Progress Report, as well as this and future annual updates that are submitted to the Central Valley Flood Protection Board will support the annual requirements to maintain Adequate Progress.</p>
<p><b><u>Adequate Progress Criteria (Government Code §65007(a)) - at Time Adequate Progress Finding is Made</u></b></p>	
<p>The development of the scope, schedule and cost to complete flood protection facilities;</p>	<p>The June 2016 Adequate Progress Report documents the scope schedule and cost of remaining flood protection facilities.</p> <p>This 2017 Annual Adequate Progress Report Update includes updated cost information based on new information and additional work that has been identified since June 2016. Further the estimated costs of SBFCA's program have been updated with actual costs incurred since June 2016.</p> <p>The cost of the remaining flood protection facilities is detailed in section <b>3.2 Summary of Scope, Schedule &amp; Cost to Complete the Facilities.</b></p>



<b>Criteria</b>	<b>SBFCA Approach</b>
Documentation that revenues have been identified to support implementation of the flood protection facilities;	<p>The June 2016 Adequate Progress Report documents the identified sources of funding for the flood protection facilities.</p> <p>This 2017 Annual Adequate Progress Report Update includes updated information on new funding that will be utilized to complete the required flood protection facilities.</p> <p>The funding sources includes local revenues from SBFCA's Assessment District (revenues and Bond proceeds) as well as past and new funding commitments and agreements with DWR. Reference section <b>3.1 Identified Revenues &amp; Critical Features under Construction.</b></p>
Critical features of the flood protection facilities are under construction and progressing; and	<p>The June 2016 Adequate Progress Report as well as this annual update address the schedule and ongoing construction activities. Reference section <b>3.1 Identified Revenues &amp; Critical Features under Construction.</b></p>
The local flood management agency has provided DWR and the CVFPB information to determine substantial completion of the required flood protection.	<p>The June 2016 Report, and the associated EVD-3 package prepared and made publicly available by SBFCA, supported the requirements of adequate progress findings and was be provided to the CVFPB. The CVFPB acknowledged receipt of this report and the associated supporting information on October 25, 2016 and validated this requirement until September 30, 2017.</p>



<b>Annual Requirements for Adequate Progress</b>	
<p>That 90% of the required revenue scheduled to be received has been appropriated and is being expended;</p>	<p>This 2017 Annual Adequate Progress Report updates the documented the revenues and appropriations by SBFCA for the FRWLP1 (reference section <b>5.0 Revenues have been Appropriated and are Being Expended</b>). Revenues and expenditure appropriations are based upon SBFCA adopted annual budget included as <b>Appendix A</b>. This Annual Report and future Annual Reports will continue to document the expenditures based upon updates to SBFCA's Annual Budget.</p>
<p>Critical features of the flood protection system are under construction and progressing based on the actual expenditures of the construction budget;</p>	<p>This 2017 Annual Adequate Progress Report update documents the construction schedule and associated Budget and future annual updates will document the expenditures of the Construction Budget (reference sections <b>3.1</b> and <b>3.2</b>).</p>
<p>The City or County has not been responsible for a significant delay in the completion of the system;</p>	<p>There has been and continues to be no significant delay in the completion of the flood projection system.</p>
<p>Report annually to the Central Valley Flood Protection Board on the status of progress toward completion of the flood protection system; and,</p>	<p>As indicated within the June 2016 Adequate Progress Report, SBFCA will make annual progress reports as required by GC §65007(a)(5). This 2017 Annual Adequate Progress Report update serves as the 1<sup>st</sup> Annual Report and future updates will follow in the same format. SBFCA will continue to complete annual reports annually until the construction of ULDC improvements are completed.</p>
<p>Validate that the adequate progress finding is still effective.</p>	<p>This 2017 Annual Adequate Progress Report validates that the land use agencies prior findings of adequate progress are still effective.</p>



To the extent that the land use agencies intend to validate their prior findings of adequate progress, SBFCA expects this 2017 Annual Adequate Progress Report Update to be referenced by the land use agencies in the Sutter-Butte basin.

## 2.0 SBFCA Flood Protection System Background and Plan

The Sutter-Butte Basin is part of the Sacramento River Flood Control Project (SRFCP), and is located in north-central California in Sutter and Butte Counties. The elongated, irregularly shaped basin covers about 326 square miles and is about 44 miles long, north to south and up to 14 miles wide east to west. It is roughly bounded by the Feather River on the east, and Cherokee Canal, Sutter Buttes geologic feature, and Sutter Bypass on the west, listed north to south, respectively. Floodwaters potentially threatening the basin originate from the Feather River watershed or the upper Sacramento River watershed, above Colusa Weir. These waterways have drainage areas of 5,921 and 12,090 square miles, respectively. In addition to Yuba City, communities in the basin include Biggs, Gridley, Live Oak, and Sutter (see **Figure 1**).

The SRFCP was authorized by Congress in 1917 as the first Federal flood control project outside the Mississippi River Valley and was the major project for flood control on the Sacramento River and its tributaries. The non-Federal sponsor was the Reclamation Board of the State of California (Reclamation Board, reauthorized in 2007 as the Central Valley Flood Protection Board). With the authorization of the SRFCP, US Army Corps of Engineers (USACE) and the State of California began managing the project as a “regional system,” constructing improvements to approximately 1,100 miles of levees and creating bypasses and floodways.

Consistent with much of the Sacramento Valley, the Feather River West Levee, was initially constructed from the 1840s to 1890s by local interests. They later became part of the SRFCP when authorized by Congress in 1917. Since then, these levees have been strengthened and maintained through several subsequent projects in partnership between USACE, the State of California, and the agencies that maintain the levees.

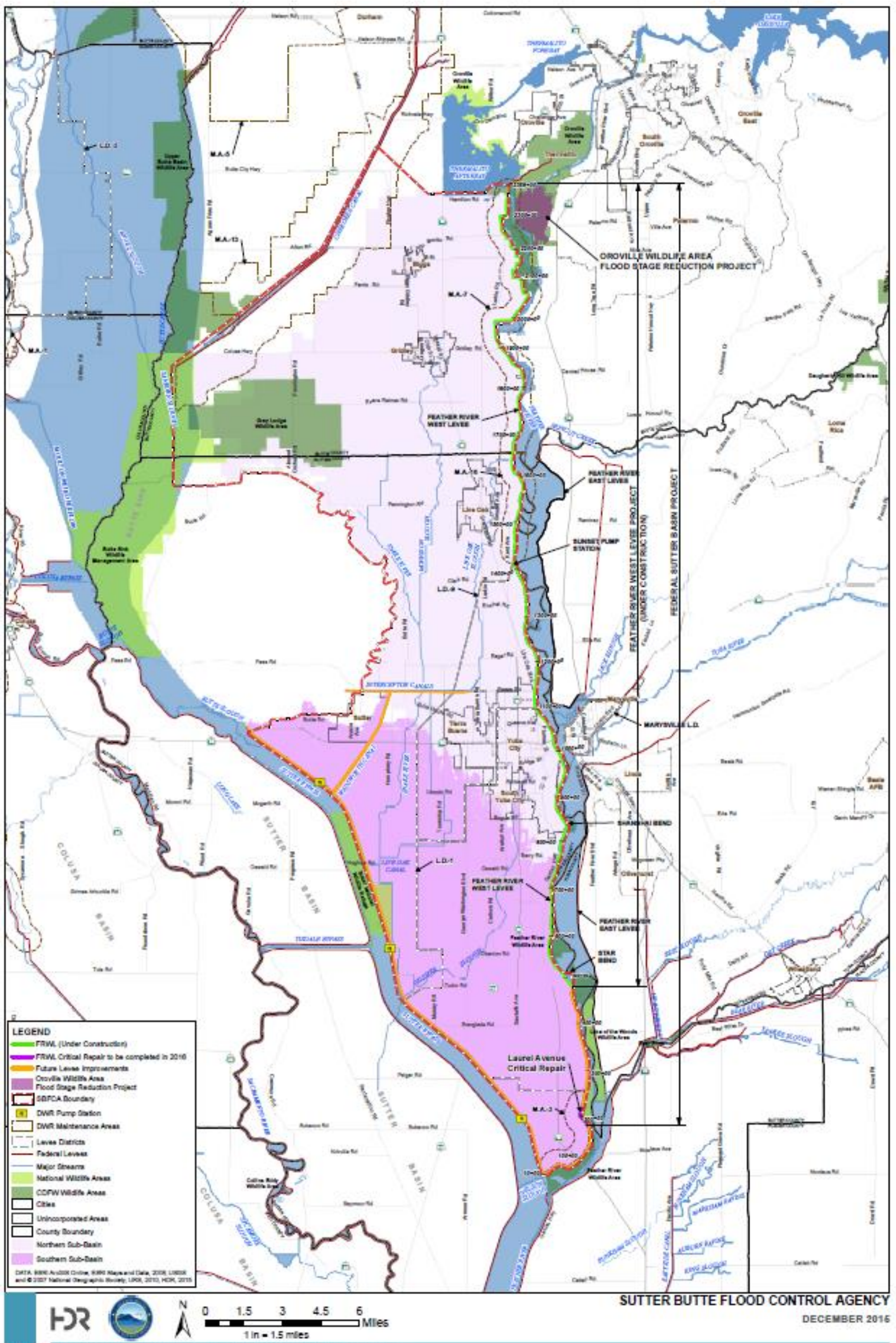
Although the flood control structures had been extensively improved and upgraded since construction, the underlying foundation of most of the levees still retained the original materials that include dredged riverbed sands, soil, and organic matter. At the time of the SRFCP authorization in 1917, the areas being protected by the levees were primarily agricultural with minimal improved infrastructure such as railroads and highways. Today, the basin remains largely agricultural with population centers including Yuba City, Biggs, Gridley, and Live Oak.

This region of northern California has experienced frequent floods in the past, many of which occurred before stream flow data were recorded. Historical floods occurred on the Feather and Yuba Rivers in the early 1800’s, 1825-26, 1849-50, 1852-53, 1861-62, 1867, 1875, 1881, 1890, and 1907. Floods were later recorded in 1909, 1914, 1940, 1955, 1964, and 1970. The flood of 1955 was devastating causing loss of life and significant property damages. Most recently, the January 1997 flood severely impacted the region. It left hundreds of people homeless, inundated thousands of acres, damaged hundreds of homes, and caused four fatalities.

The 1997 flood event led to renewed concerns about the adequacy of the flood management system for the Sutter-Butte Basin. In response to those concerns, the Sutter Butte Flood Control Agency (SBFCA) was formed in 2007 to coordinate the implementation of flood control rehabilitation within the basin. SBFCA is a joint powers authority representing Sutter and Butte Counties, the cities of Biggs, Gridley, Live Oak and Yuba City, and Levee Districts 1 and 9. SBFCA



Figure 1: Sutter Butte Basin





was established to coordinate the flood risk reduction activities within the basin, to plan and construct flood protection facilities, and to finance the local share of flood management projects. SBFCA's member agencies and the State of California are responsible for the operations and maintenance of the detention basins, pump stations, and levees that protect the basin.

In 2007, SBFCA in partnership with DWR, and the Central Valley Flood Protection Board (CVFPB), embarked on a comprehensive evaluation of the condition of the flood protection facilities protecting the basin. The evaluation was necessary to identify the magnitude and severity of deficiencies and determine measures to address the deficiencies. The results of the comprehensive evaluation revealed that substantial levee rehabilitation was necessary to meet current flood protection standards.<sup>1</sup>

One of SBFCA's major efforts has been working with the United States Corps of Engineers (USACE) and DWR on the Sutter Basin Feasibility Study (SBFS). In an attempt to expedite the implementation of a plan for flood risk reduction within the basin ahead of the USACE feasibility study, SBFCA conducted its own alternatives analysis of potential flood risk reduction solutions. SBFCA's alternatives analysis showed that fixing the existing levees in-place was the most cost effective solution to flood damage reduction for the basin. Therefore, SBFCA proposed a Basin Plan which implements a comprehensive program of fix in-place levee rehabilitation. The USACE SBFS was completed in 2013, and its evaluation of flood damage reduction alternatives within the Sutter-Butte basin concurred with SBFCA's analysis and determination that fix-in-place was the preferred alternative for addressing the system deficiencies within the Basin.

The Sutter-Butte Basin contains both urban, urbanizing, and non-urban areas. The urban and urbanizing areas, as defined by Government Code §65007, within the basin are concentrated in and around the cities of Yuba City and Live Oak, which are located in the central portion of the basin. The southern portion of the basin is rural and has the potential for the deepest flooding depths. In keeping with State policy of not promoting urbanization within deep floodplains, SBFCA developed a Basin Plan that: (i) provides 200-year protection for the urban areas in the central and northern portions of the basin, and (ii) repairs levees in the southern area of the basin up to the Design Level of Performance ('57 Profile).

Under the Basin Plan, the levee rehabilitation would occur with two Basin Projects. The first Basin Project is the rehabilitation of the Feather River west bank from the Thermalito Afterbay to Star Bend. Known as the Feather River West Levee Rehabilitation Project (FRWLRP), this first Basin Project provides 200-year flood protection for significant portions of the Sutter-Butte Basin. The FRWLRP improves protection for urban and urbanizing areas in Butte and Sutter Counties and will also increase protection for State Highways 99, 20, and 113, all vital State transportation corridors traversing the basin. This segment of the Feather River has a long history of through-levee seepage and foundation underseepage with excessive hydraulic gradients, as well as levee embankment instability. The second Basin Project will be to rehabilitate the Feather River west

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<sup>1</sup> SBFCA's evaluation of the levee system in the Sutter-Butte Basin has considered the completion of Levee District 1's setback levee at Star Bend. The engineering analysis completed to ensure the improvements comply with ULDC has taken place, however, for the purposes of this Adequate Progress Report, the improvements completed by LD1 are not considered part of the FRWLP1 which is the remaining work is underway and adequate progress is being made. The setback levee at Star Bend is separate project that is now complete.



levee from Star Bend to the Feather River/Sutter Bypass confluence, and rehabilitate the Sutter Bypass east levees and Wadsworth Canal.

### **3.0 Adequate Progress toward ULOP**

Currently, while SBFCA has completed the vast majority of the FRWLP1 project, some of the reaches of levee in the Sutter-Butte Basin do not yet meet the updated DWR urban levee design criteria (ULDC) standards adopted in May 2012. Accordingly, SBFCA is aggressively pursuing efforts to complete the improvements to meet ULDC.

#### **3.1. Identified Revenues & Critical Features under Construction**

In July 2010, SBFCA formed an Assessment District that generates approximately \$6.65 million of local funding to be utilized to fund the local share of SBFCA Basin Plan described above. Upon securing local funding for the levee improvement program, SBFCA immediately embarked on improving 44-miles of existing levee through the FRWLP.

As of the date of this report SBFCA has designed and constructed more than 29 miles of levee improvements including, slurry walls, berms and relief well systems within Sutter and Butte Counties (reference section 3.2 for a more complete description of the scope of the FRWLP1 project) and additional critical repair work. This work has been funded by investing more than \$245 million to date with funding from SBFCA's assessment district, revenues from the sale of bonds yielding more than \$90 million of proceeds secured by SBFCA's assessment district and funding commitments/agreements with DWR providing more than \$253 million of State funding from DWR through its Early Implementation, Urban Flood Risk Reduction and direct funding programs. SBFCA's funding commitments, associated agreements with the DWR and the funding received to date for work associated with ULDC improvements are summarized in **Table 1** below.



**Table 1: Summary of DWR Funding for the Feather River West Levee Phase 1 Project**

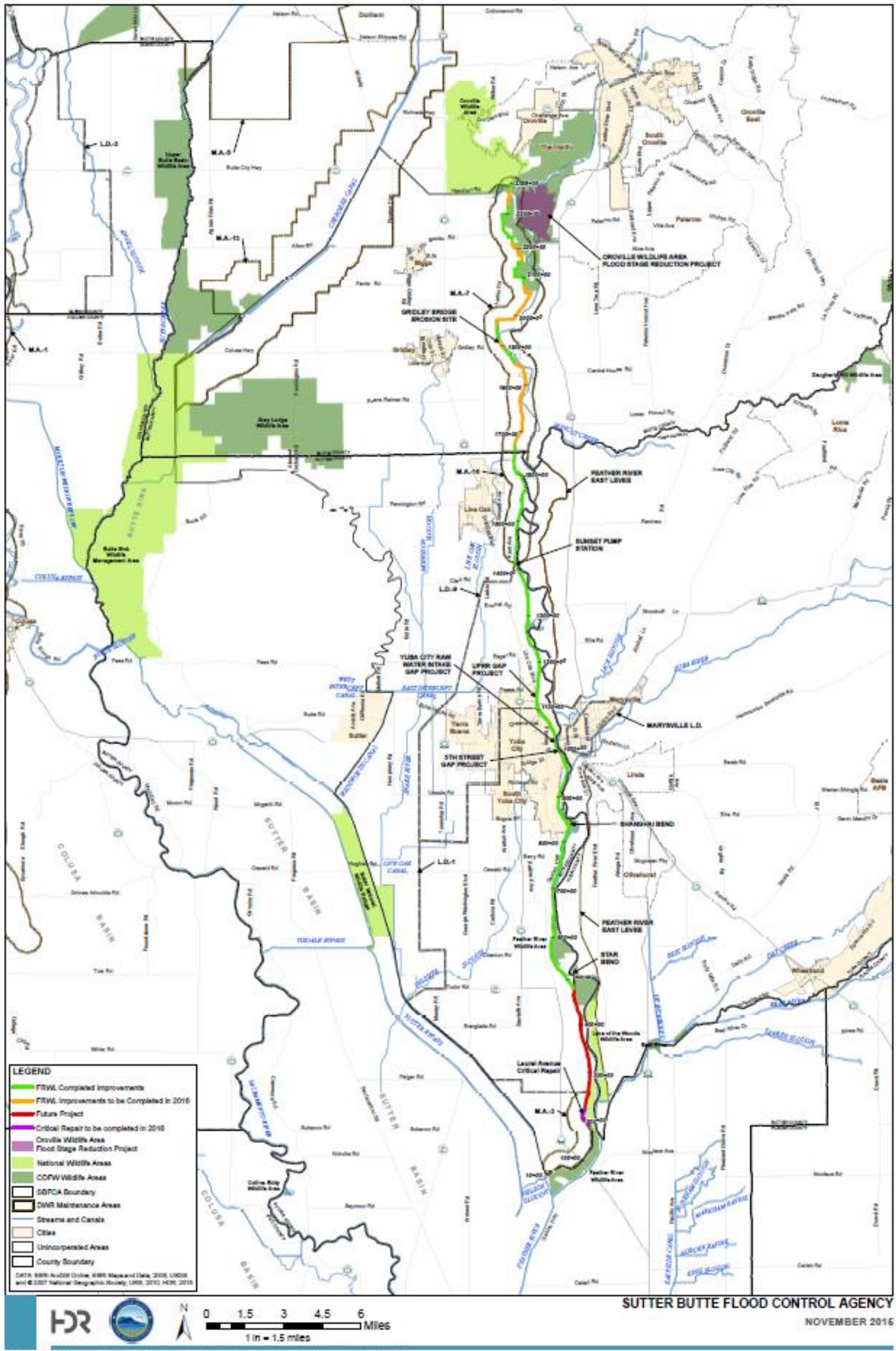
Agreement No.	Agreement		Total
	Design #4600009480	Construction #4600010296	
Capital Outlay Amount	\$9,000,000	\$56,780,000	\$65,780,000
Amendment 1	\$0 [1]	\$0 [2]	\$0
Amendment 2	\$14,869,280 [3]	\$57,803,791 [4]	\$72,673,071
Amendment 3		\$43,861,587 [5]	\$43,861,587
Amendment 4		\$40,828,931 [6]	\$40,828,931
Amendment 5 (committed)	-\$2,529,451 [7]	\$32,529,451 [7]	\$30,000,000
<b>Total Funding</b>	<b>\$21,339,829</b>	<b>\$231,803,760</b>	<b>\$253,143,589</b>
Receipts			
PMT 1	\$2,328,141	\$14,103,457	\$16,431,597
PMT 2	\$1,160,580	\$18,447,722	\$19,608,302
PMT 3	\$4,842,366	\$19,469,632	\$24,311,998
PMT 4	\$8,704,665	\$15,358,844	\$24,063,509
PMT 5	\$2,709,411	\$13,846,991	\$16,556,402
PMT 6	\$0	\$14,479,664	\$14,479,664
PMT 7	\$0	\$13,168,126	\$13,168,126
PMT 8	\$0	\$26,429,866	\$26,429,866
PMT 9	\$0	\$181,266	\$181,266
PMT 10	\$0	\$2,928,803	\$2,928,803
PMT 11	\$0	\$7,898,917	\$7,898,917
RET. & FINAL PMT	\$1,594,667 [6]	\$0	\$1,594,667
PENDING RECEIPTS	\$0	\$16,871,059	\$16,871,059
PAYMENT REQUESTS	\$0	\$8,653,969	\$8,653,969
<b>Total Payments</b>	<b>\$21,339,829</b>	<b>\$171,838,316</b>	<b>\$193,178,145</b>
<b>Remaining Grant Balance</b>	<b>\$0</b>	<b>\$59,965,444</b>	<b>\$59,965,444</b>

- [1] Amendment 1 to the Design Agreement amended the term of the agreement.
- [2] Amendment 1 to the Construction Agreement amended the scope agreement to include the closure of gaps (at reaches 13 and 24) in Area C.
- [3] Amendment 2 to the Design Agreement increased the cost share from 50% to 76% State Cost Share and increased the State funding limit.
- [4] Amendment 2 to the Construction Agreement increased the scope to include Areas B & D2A and increased the State funding limit. It also incorporated many of the guideline provisions of the UFRR Program.
- [5] Amendment 3 to the Construction Agreement increased the funding amount and scope of the work. The amount reflects the amount committed on 12/22/14.
- [6] Reflects Amendment No. 4 and amount committed by DWR on May 15, 2015.
- [7] Reflects the transfer of remaining design funding agreement and additional funding committed by DWR to repair Reaches 14 through 16 in downtown Yuba City.

**Figure 2** shows the areas of work completed and under construction to date;



Figure 2: FRWLP1 Phasing Map





At the time of the drafting of this report, SBFCA has completed work in Area D and is wrapping up work on gaps and completion projects. SBFCA is beginning construction of replacement of slurry walls in Reaches 14 through 16 in Yuba City to repair identified deficiencies in a previously constructed slurry wall. SBFCA's plan is now to complete all construction activities on the flood protection facilities that provide an Urban Level of Protection by December 31, 2017. Until that time, SBFCA will support the land use agencies within the Sutter-Butte Basin by preparing documentation to support Adequate Progress toward an Urban Level Protection.

### **3.2. Summary of Scope, Schedule & Cost to Complete the Facilities**

#### Scope of Work

The FRWLP1 project involves rehabilitating the Feather River right bank levee from Thermalito Afterbay to Star Bend as described below.

Through its hydraulic modeling and floodplain mapping efforts, SBFCA has determined that rehabilitating the Feather River west levee from Thermalito Afterbay to Star Bend provides a 200-year level of protection for the urban areas within the basin.

The improvement includes a combination of cutoff walls and berms and other minor measures such as infill of depressions and relief wells. Cutoff wall depths will range between 18 and 127 feet. **Table 2** below lists the measures being implemented by reach.

SBFCA is not currently improving Reaches 26, 27 or 28 (FRWL stationing 1674+37 thru 1769+31) since these levees are located on high ground and the 200-year water surface would not contribute to the Sutter Basin floodplain if these levees were removed. These 'freeboard levees' are not required to meet ULDC standards and are therefore not a necessary component of an Urban Level of Protection.

Since June 2016 SBFCA, as a result of the severe Winter storm events in February 2017, SBFCA identified deficiencies in the levee adjacent Second street in Yuba City (Reaches 14 through 16 as noted below). Based upon the observed through seepage in these reaches of levee, SBFCA has determined that a new cutoff wall must be installed in order to meet ULDC criteria. SBFCA has updated this report to reflect this additional work (as identified in **Table 2** below). SBFCA's cost estimate has been updated and revenues have been identified to complete this work. SBFCA will be proceeding on an emergency basis to complete this work prior to December 1, 2017.

The FRWLP1 has undergone a rigorous, multilayered technical and environmental review and approval process including:

- DWR Levee Evaluations and EIP review;
- USACE Section 408 review;
- USACE Safety Assurance Review (SAR);
- Independent Panel of Experts review;
- Central Valley Flood Protection Board Encroachment Permit review;
- DEIS and FEIS public review;
- DEIR and FEIR public review;
- US Fish & Wildlife Biological Opinion;
- California Department of Fish & Wildlife review; and
- USACE Section 404 review.



**Table 2: Summary of FRWLP1 Reaches & Improvements (Updated)**

Reach	Beginning Station	Ending Station	Length (feet)	Landmarks	Dominant Adjacent Land Uses	Levee Height (Ft)	Crown Width (ft)	Waterside Slope	Landside Slope	Proposed Modifications	Status of Work	
7	510+37	596+00	8,563	Abbott Lake	Ruderal grassland; open space	22	15-20	3:1	2:1	510+37 to 513+95: No seepage remediation required. 513+95 to 596+00: Cutoff wall	Complete	
8	596+00	654+75	5,875		Ruderal grassland; open space	23	20	3:1	2:1	596+00 to 654+75, Cutoff wall	Complete	
9	654+75	706+50	5,175	Boyd's Boat Launch; Nursery	Ruderal grassland; open space	25	20	3:1	2:1	654+75 to 706+50: Cutoff wall	Complete	
10	706+50	774+00	6,750	Barry Road	Ruderal grassland; open space	25	18-20	3:1	2:1	706+50 to 774+00: Cutoff wall	Complete	
11	774+00	830+00	5,600		Ruderal grassland; open space	24	15-20	3:1	2:1	774+00 to 830+35: Cutoff wall	Complete	
12	830+00	845+00	1,500	Shanghai Bend	Ruderal grassland; open space	23	18-20	3:1	2.5:1	No seepage remediation required.	Complete	
13	845+00	927+00	8,200		Ruderal grassland; open space	25	15-20	3:1	2:1	844+575 to 923+75: Cutoff wall	Complete	
14	927+00	954+40	2,740	Airport	Ruderal grassland; open space	20	15-20	3:1	2:1	Installation of new Cutoff Wall to replace the existing cutoff wall as result of identified seepage during the February 2017 highwater event.	To Be Completed prior to December 1, 2017	
15	954+40	968+50	1,410	Airport	Developed; grassland	ruderal	17	15-20	3:1	2:1	Installation of new Cutoff Wall to replace the existing cutoff wall as result of identified seepage during the February 2017 highwater event.	To Be Completed prior to December 1, 2017
16	968+50	1080+00	11,150	Garden Highway, 2nd Street; Twin Cities Memorial Bridge; Colusa Avenue	Developed; grassland	ruderal	24	18-20	3:1	2:1	1007+00: Closure of gap in existing cutoff wall at 5th Street bridge';  1026+00: Closure of gap in existing cutoff wall at 10th Street bridge crossing by using a berm; 1077+85 to 1080+00, cutoff wall and backfill landside toe depression.  Miscellaneous landside encroachment relocations/removals.  Installation of new Cutoff Wall to replace the existing cutoff wall as result of identified seepage during the February 2017 highwater event.	Complete  Complete  To Be Completed prior to December 1, 2017



**Table 2: Summary of FRWLP1 Reaches & Improvements (Updated)**

Reach	Beginning Station	Ending Station	Length (feet)	Landmarks	Dominant Adjacent Land Uses	Levee Height (Ft)	Crown Width (ft)	Waterside Slope	Landside Slope	Proposed Modifications	Status of Work
17	1080+00	1130+86	5,086	Live Oak Boulevard; Union Pacific Railroad	Developed; ruderal	22	15-20	3:1	2:1	1080+00 to 1125+00 : Cutoff wall and backfill landside toe depression; 1125+00 to 1130+86: Cutoff wall'	Complete
18	1130+86	1213+85	8,299	Live Oak Boulevard; Union Pacific Railroad; Rednall Road	Orchard	19	15-20	3:1	2:1	1130+86 to 1213+85: Cutoff wall	Complete
19	1213+85	1297+83	8,398		Orchard	18	17	2.9:1	1.9:1	1213+85 to 1297+83: Cutoff wall	Complete
20	1297+83	1374+33	7,650		Orchard; ruderal grassland	17	17	3:1	1.9:1	1297+83 to 1374+33: Cutoff wall	Complete
21	1374+33	1433+83	5,950		Ruderal grassland	10	16	3:1	2:1	1374+33 to 1433+00: Cutoff wall	Complete
22	1433+83	1503+83	7,000		Riparian forest; ruderal grassland	10-12	17	3:1	1.9:1	1433+83 to 1503+83: Cutoff wall	Complete
23	1503+83	1609+37	10,554		Orchard	7-12	17	3:1	1.9:1	1503+83 to 1608+75: Cutoff wall	Complete
24	1609+37	1623+86	1,449		Riparian forest; ruderal grassland	10	17	3:1	1.9:1	1608+75 to 1623+86: Cutoff wall	Complete
25	1623+86	1674+37	5,051		Orchard; ruderal grassland	8	19	3:1	1.8:1	1623+86 to 1626+00: Cutoff wall; 1626+00 to 1673+00: No seepage remediation is required.  <b>1639+00: Replace two 24-inch steel storm drain pipes.</b>	Complete
29	1769+31	1813+33	4,402		Orchard; riparian forest	5	15	3:1	2:1	No seepage remediation required.  1770+00, 1785+24, 1785+55, 1792+96, 1799+44, 1809+65 storm drain and irrigation pipe replacements.	Complete
30	1813+33	1902+00	8,867		Orchard	12	17	3:1	1.9:1	1815+00 to 1902+00: Cutoff wall	Complete
31	1902+00	1958+00	5,600		Orchard; ruderal grassland	11	19	3:1	1.8:1	1902+00 to 1958+00: Cutoff wall	Complete
32	1958+00	1989+00	3,100		Orchard	17	19	3:1	2:1	1958+00 to 1989+00: Cutoff wall	Complete
33	1989+00	2122+00	13,300		Orchard	13	18	3:1	1.9:1	1989+00 to 2122+00: Cutoff wall	Complete
34	2122+00	2182+00	6,000		Orchard	13	18	3:1	1.8:1	2122+00 to 2182+00: Cutoff wall	Complete
35	2182+00	2224+00	4,200		Orchard; ruderal grassland	13	19	3:1	2:1	2182+00 to 2224+00: Cutoff wall	Complete
36	2224+00	2259+00	3,500		Orchard; ruderal grassland	14	18	3:1	2:1	2224+00 to 2259+00: Cutoff wall	Complete
37	2259+00	2290+00	3,100		Orchard; ruderal grassland	16	17	3:1	2:1	2259+00 to 2290+00: Cutoff wall	Complete



**Table 2: Summary of FRWLP1 Reaches & Improvements (Updated)**

Reach	Beginning Station	Ending Station	Length (feet)	Landmarks	Dominant Adjacent Land Uses	Levee Height (Ft)	Crown Width (ft)	Waterside Slope	Landside Slope	Proposed Modifications	Status of Work
38	2290+00	2303+00	1,300		Ruderal grassland	11	20	3:1	1.7:1	2290+00 to 2292+00: Cutoff wall 2290+00 to 2303+00: Construct seepage berm	Complete
39	2303+00	2319+00	1,600		Ruderal grassland	9	20	3:1	2:1	No seepage remediation required.	Complete
40	2319+00	2359+00	4,000		Ruderal grassland	16	20	3:1	1.9:1	2331+00 to 2359+00: Construct seepage berm	Complete
41	2359+00	2368+00	900	Thermalito Afterbay	Ruderal grassland	14	18	3:1	2:1	2359+00 to 2368+00: Construct seepage berm 2360+00: Fill landside and waterside pits	Complete



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Budget & Remaining Costs

The total budget for SBFCA's FRWLP1 project has been updated to reflect additional work identified and scoped since June 2016 and is shown in **Table 3** below.

**Table 3: Feather River West Levee Phase 1 Project Cost Estimate**

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Item Description	Estimated Amount Costs 2017\$
Project Management	\$4,696,471
Adminisitration	\$9,014,843
Planning	\$337,504
Design	\$15,981,136
Environmental Docs and Permitting	\$5,244,641
R/W Transactions	\$11,785,502
Design Support During Construction	\$18,248,240
Construction Management	\$22,154,260
Mitigation	\$27,267,524
Rights-of-Way (Lands)	\$11,107,457
Borrow Royalties	\$1,720,820
Construction	\$188,577,434
Utility Relocations	\$2,328,143
<b>FRWLP1 Costs (rounded)</b>	<b>\$318,464,000</b>

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*Reference: SBFCA ULOP AP Report Tables 2017 0719.xlsx*

The cost of the remaining work as of the date of this report is demonstrated through a comparison of the overall budget for the FRWLP1 including the additional work identified within the last year to the costs submitted to DWR to date for reimbursement through the respective funding agreements providing funding for design and construction. **Table 4** summarizes the projected remaining costs of the FRWLP1.



**Table 4: Feather River West Levee Phase 1 Remaining Cost Estimate**

<b>Item Description</b>	<b>Estimated Amount Costs 2016\$</b>
Total FRWLP1 Costs	<b>\$318,464,000</b>
Total Design Costs Incurred to Date [1]	\$30,098,548
Total Construction Costs Incurred to Date [2]	\$245,302,667
Sub-Total Costs Incurred to Date	<b>\$275,401,215</b>
<b>Estimated Remaining FRWLP1 Costs (rounded)</b>	<b>\$43,063,000</b>

[1] As reported within SBFCA's Design Funding Agreement (DWR Agreement No. 4600009480) Final Statement of Costs provided to DWR April 21, 2015.

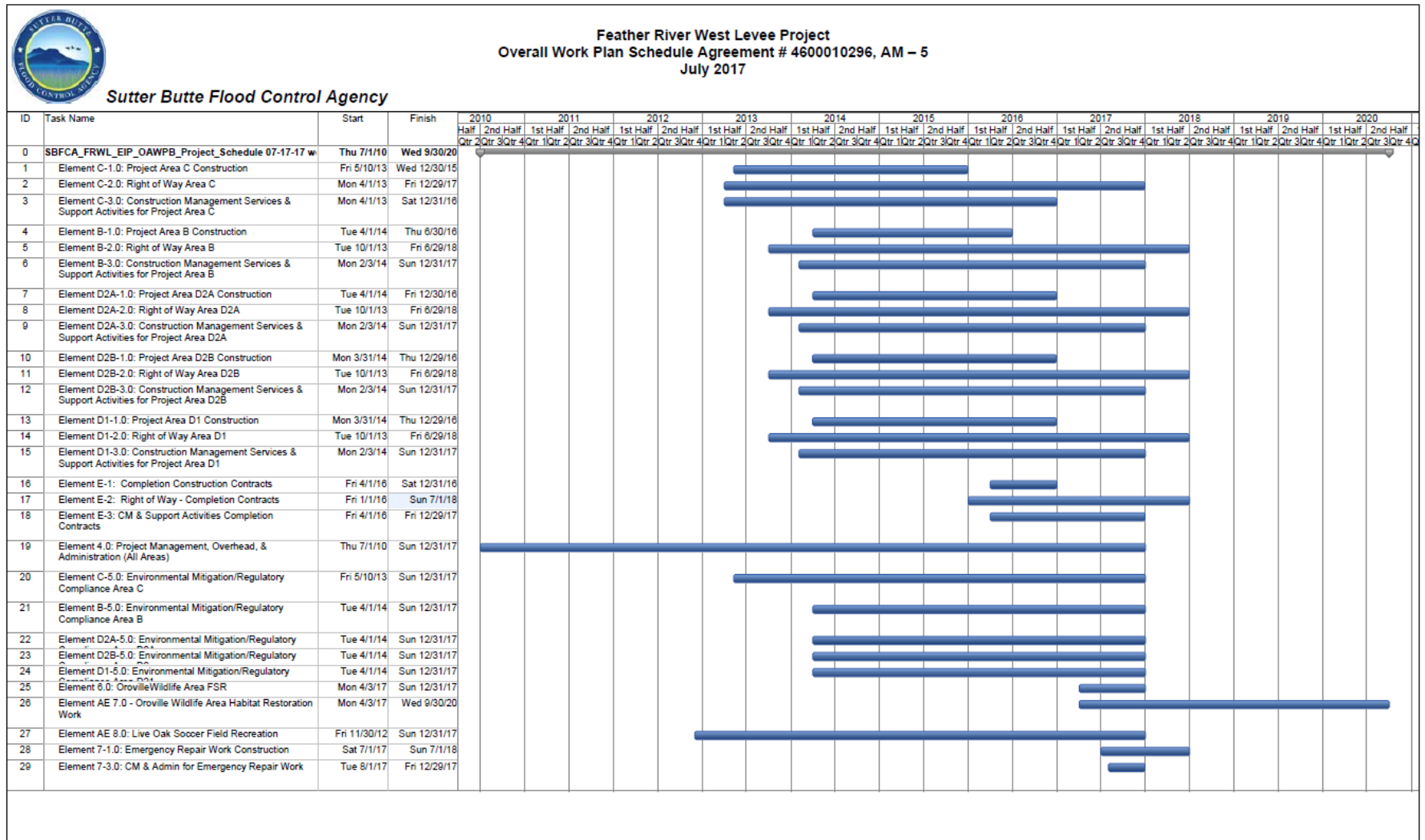
[2] As reported within SBFCA's Construction Funding Agreement (DWR Agreement No. 4600010296) draft Statement of Costs for work through April 2017.

Schedule

SBFCA plans to complete all construction work associated with the FRWLP1 such that an Urban Level of Protection will be provided by December 31, 2017. A detailed schedule, which has been prepared for the purpose of SBFCA's construction funding agreement with DWR for the FRWLP1 is included here.



Figure 3: SBFCA FRWLP1 Schedule





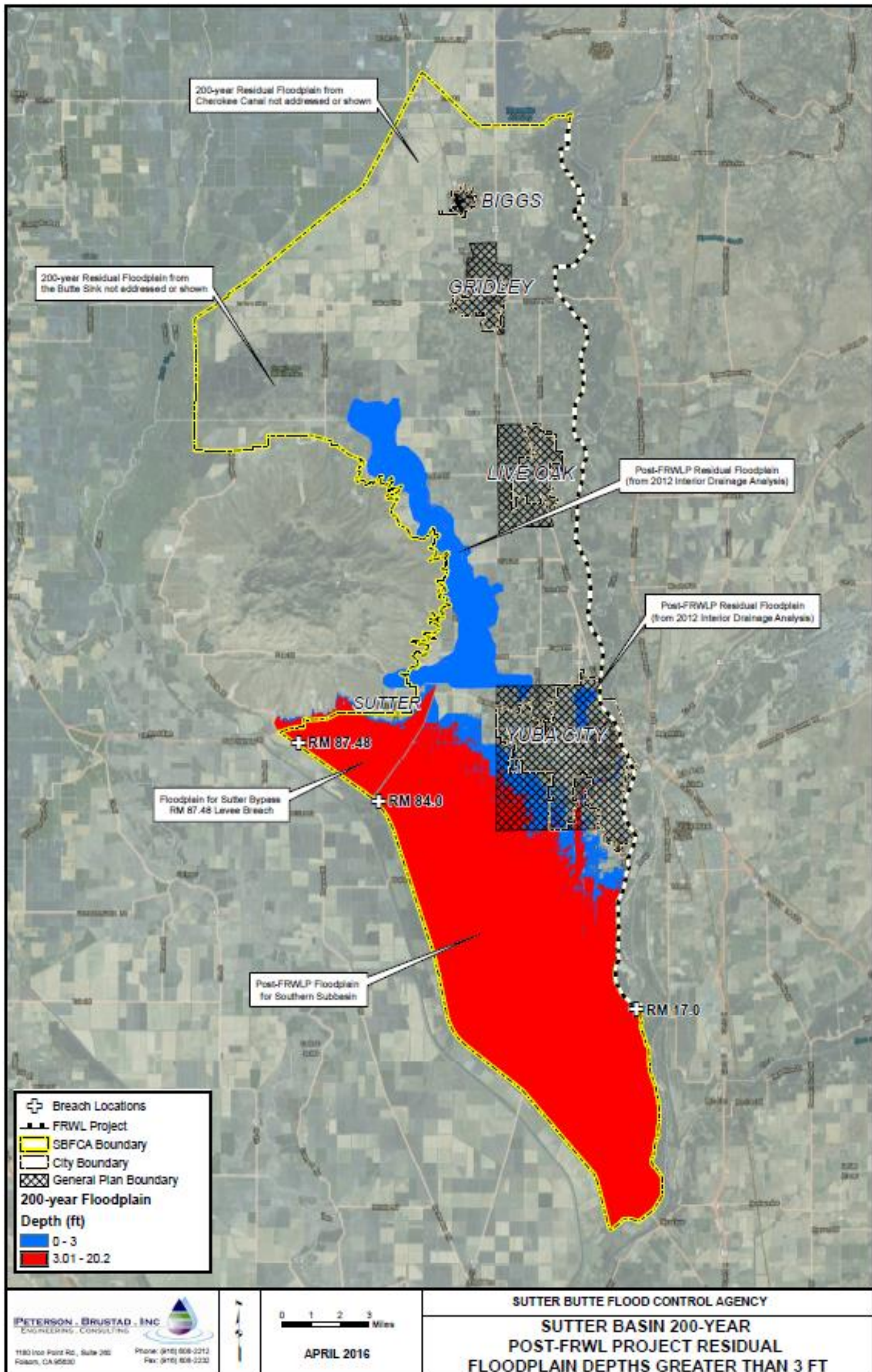
## 4.0 Applicable Geographic Area reliant on Adequate Progress

**Figure 4** shows the area of the basin which will have an Urban Level of Flood Protection following completion of the FRWLP1 Project. Areas shown as blue are shallow flooding areas which will remain in the 200-year floodplain, but are exempt from ULOP findings requirements due to the shallow flooding exemption in the DWR ULOP Guidelines. Areas shown and red will not have a ULOP following completion of the FRWLP1. **Figure 4** does not show flooding from the Cherokee Canal or Butte Sink, so agencies are cautioned that ULOP findings in the vicinity of those two flooding sources must be supported by floodplain mapping of those two sources.

**Figure 4** can also be superseded by an agency at its discretion if a different floodplain map is adopted by that agency.



Figure 4: Applicable Geographic Area of ULOP in Sutter-Butte Basin





## 5.0 Revenues have been Appropriated and are Being Expended

Adequate Progress, as defined by state law, will address the revenues and expenditures by the Local Flood Management Agency furthering the completion of the flood protection system. Specifically, Government Code Section 65007(a)(2)(A) states:

“Revenues that are sufficient to fund each year of the project schedule developed in paragraph (1) have been identified and, in any given year and consistent with that schedule, at least 90 percent of the revenues scheduled to be received by that year have been appropriated and are currently being expended.”

This 2017 Annual Adequate Progress Report Update, within Section 3.1 identified the sources of revenue for the FRWLP1. This section, discusses the appropriations and expenditures by SBFCA of the identified funds for the FRWLP. As required by law, annually, SBFCA budgets funds for its operations and capital projects. Because SBFCA’s main mission is to implement multi-year capital projects, in order to take a more comprehensive look toward the completion of capital projects, SBFCA has prepared its budgets on multi-year bases. SBFCA’s original budgeting platform was on a 5-year basis covering fiscal years 2010/11 through 2015/16. In 2016, SBFCA extended its budgeting horizon an additional three years covering fiscal years 2016/17 through 2018/19. SBFCA’s most recent annual budget amending the budget for 2016/17 and appropriating funds for 2017/18 is hereby incorporated by reference to this document. A public hearing before the SBFCA board took place on May 10, 2017 where at its conclusion, the SBFCA Board approved of a multi-year budget covering all fiscal years through 2018/19. A copy of the budget approved by the SBFCA Board on May 10, 2017 is included as **Appendix A**.

In addressing Government Code Section 65007(a)(2)(A), DWR’s ULOP Guidance suggests that within the Annual Report prepared for the CVFPB, the Local Flood Management Agency should consider including;

- Identified revenues to fund each year of project implementation.
- History of revenue allocations and expenditures, including the current year.

**Table 5**, below covers all years in which SBFCA will (or has) expend(ed) revenues it will (or has) receive(d) for the FRWLP1. **Table 5** has been updated to reflect actual expenses for Fiscal Year 15/16 and subsequent Fiscal Years have been updated based upon SBFCA’s amended multi-year budget. SBFCA’s amended budget is based upon a combination of actual expenses in four Fiscal Year 2016/17 and revised projections based upon the actual completion of work in subsequent years.

SBFCA’s June 2016 Adequate Progress Report projected revenues in FY 2015/16 of \$25.8 million. SBFCA’s actual revenues were \$23.6 (91.5% of projections). SBFCA’s projected expenses for FY 2015/16 in June 2016 were \$70.8 million and SBFCA’s actual expenditures in FY 2015/17 (as shown in **Table 5**) were \$68.1 (96.2% of projections). Based upon this analysis, SBFCA is compliant with Government Code Section 65007(a).



**Table 5: SBFCA FRWLP1 Historical and Projected Revenues & Expenditures**

Fiscal Year	Actual 2007-08	Actual 2008-09	Actual 2009-10	Actual 2010-11	Actual 2011-12	Actual 2012-13	Actual 2013-14	Actual 2014-15	Actual 2015-16	Budgeted 2016-17	Budgeted 2017-18	Budgeted 2018-19	Total
<b>Revenues</b>													
DWR Proposition 1E - Funding (EIP/UFRR)	\$0	\$0	\$0	\$0	\$3,488,721	\$4,842,366	\$41,255,844	\$65,864,542	\$21,757,630	\$42,464,489	\$20,587,039	\$0	\$200,260,630
Local Funding (Revenues)	\$0	\$540,799	\$0	\$5,605,455	\$5,797,647	\$5,795,767	\$5,881,206	\$8,613,061	\$5,979,697	\$5,750,000	\$5,750,000	\$5,750,000	\$55,463,631
Local Funding (Net Financing Revenues)	\$0	\$0	\$0	\$0	\$629,824	\$40,983,767	(\$1,535,194)	\$40,885,387	(\$4,118,991)	(\$3,154,178)	(\$4,104,081)	(\$5,468,181)	\$64,118,352
<b>Total Revenues</b>	<b>\$0</b>	<b>\$540,799</b>	<b>\$0</b>	<b>\$5,605,455</b>	<b>\$9,916,192</b>	<b>\$51,621,900</b>	<b>\$45,601,856</b>	<b>\$115,362,990</b>	<b>\$23,618,335</b>	<b>\$45,060,311</b>	<b>\$22,232,958</b>	<b>\$281,819</b>	<b>\$319,842,613</b>
<b>Expenditures</b>													
Allocated Share of Agency Operational Services	\$0	\$0	\$0	\$351,188	\$583,982	\$714,399	\$720,235	\$704,714	\$742,080	\$695,736	\$597,234	\$0	\$5,109,567
Direct Consulting Services (PM & Oversight)	\$0	\$0	\$0	\$511,710	\$876,179	\$968,557	\$895,276	\$1,049,563	\$927,941	\$892,067	\$965,929	\$0	\$7,087,222
Engineering Services	\$0	\$262,295	\$123,139	\$3,065,679	\$7,084,824	\$5,173,611	\$9,877,026	\$13,315,281	\$10,293,593	\$5,952,393	\$6,962,929	\$0	\$62,110,771
ROW Services	\$0	\$0	\$0	\$269,839	\$1,297,688	\$2,120,939	\$2,280,594	\$1,088,052	\$871,022	\$796,755	\$829,316	\$0	\$9,554,205
ROW Capital & Borrow	\$0	\$0	\$0	\$0	\$400	\$216,553	\$3,505,995	\$2,267,989	\$2,887,172	\$1,715,303	\$1,755,000	\$0	\$12,348,411
Environmental & Permitting Services	\$0	\$0	\$0	\$225,538	\$693,613	\$1,504,591	\$1,367,905	\$6,554,515	\$8,193,761	\$2,006,078	\$3,222,364	\$0	\$23,768,366
Environmental Mitigation	\$0	\$0	\$0	\$0	\$0	\$600	\$3,600	\$606,938	\$317,227	\$2,525,379	\$3,867,000	\$0	\$7,320,744
Construction	\$0	\$0	\$0	\$0	\$0	\$1,152,900	\$30,839,560	\$68,383,044	\$43,861,927	\$25,425,627	\$21,589,707	\$0	\$191,252,766
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$262,295</b>	<b>\$123,139</b>	<b>\$4,423,955</b>	<b>\$10,536,685</b>	<b>\$11,852,151</b>	<b>\$49,490,191</b>	<b>\$93,970,096</b>	<b>\$68,094,723</b>	<b>\$40,009,337</b>	<b>\$39,789,479</b>	<b>\$0</b>	<b>\$318,552,051</b>



**Appendix A**  
**Adopted SBFCA Annual Budget**



## Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 10, 2017

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
Seth Wurzel, Budget Manager

**SUBJECT:** Public Hearing and Approval of Final Amended 3-Year Budget Covering Fiscal Years 2016-17 through 2018-19

### Recommendation

Staff recommends:

1. The Board of Directors conduct a public hearing and receive public testimony regarding the Agency's Final Amended 3-Year Budget Covering Fiscal Years 2016-17 through 2018-19 ("Final Amended 3-Year Budget");
2. After discussion and consideration, the Board of Directors approve the attached resolution adopting the Final Amended 3-Year Budget.

### Discussion

On April 12, 2017, the Board approved a Proposed Amended 3-Year Budget covering fiscal years 2016-17 through 2018-19. At that time, the Board scheduled a public hearing for May 10, 2017, directed staff to make copies of the Proposed Amended 3-Year Budget available to the public and directed noticing of the hearing as required by law. These actions have all taken place.

In June 2016, the Agency adopted a 3-year planning horizon and an associated 3-year budget that encompasses the completion of Phase 1 of the Feather River West Levee Project (FRWLP1) and commences the implementation of the Agency's adopted Strategic Plan. As the Agency enters into the final stages of the FRWLP1, staff recommends amending the current 3-Year Budget to incorporate additional budget detail and update the appropriated revenues and expenditures budgets to reflect the implementation of certain near-term objectives of SBFCA's adopted Strategic Plan.

Staff recommends that the Board review the Final 3-Year Budget and conduct the public hearing, consider all public testimony and, if necessary recommend any changes, then adopt the attached resolution approving the Final Amended 3-Year budget.

Staff presented draft materials to members of the Finance Committee on April 11, 2017, and preliminary budget materials to the Board on April 12, 2017. Staff will provide a brief overview of the Final Amended Budget at the meeting and detail any recommended modifications from the Proposed Budget presented to the Board on April 12.

### Fiscal Impact

The adoption of the Final Amended 3-Year Budget will modify the currently approved and appropriated expenditures and revenues for Fiscal Years 2016-17 through 2018-19. The budget documents provide a detailed comparison of the proposed amendments to the currently approved budget to show the specific impacts of the amended budget. In summary, the Final Amended 3-Year Budget provides for the following:

Item **1**

### Decrease in total projected expenditures

- The total expenditures of \$91.03 million represent a decrease from the total current approved budget of \$107.87 million. The net decrease is primarily as a result of a refined approach for the delivery of critical projects along the Feather River south of FRWLP1. The prior 3-Year Budget projected that these projects would be implemented by SBFCA by way of a FSRP funding agreement with DWR. The budget now assumes delivery of this work by the USACE where SBFCA is a Project Partner making payments to the USACE.

### Decrease in total projected revenue estimates

- The total revenues of \$124.37 million represent a decrease of approximately \$ 4.42 million (3.4%). This net decrease is primarily as a result of the change in delivery of critical projects along the Feather River south of FRWLP1. This decrease removed funding previously anticipated to come from DWR through its FSRP Program but is offset by increases in other areas.

### Comparison to the Preliminary Budget presented on April 12, 2017

The following details the minor changes from the preliminary budget presented to the Board on April 12, 2017:

- An increase of operational expenses of approximately \$1,592 based on refined expenditures for services and supplies.
- An increase of \$1,173 in Regional Planning program expenditures based on Work-In-Kind expended costs to date.
- An increase of \$12,166 in USACE costs based on Work-In-Kind expended costs to date.

### Attachments

- A: Budget Transmittal – Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19;
- B: Resolution of the Board of Directors of the Sutter Butte Flood Control Agency to Approve the Amended Budget for Fiscal Years 2016-17 through 2018-19 ("Final Amended 3-Year Budget");
- C: Exhibit A Budget –Proposed Amended Budget for Fiscal Years 2016-17, 2017-18 and 2018-19 "Final Amended 3-Year Budget" dated May 10, 2017;
- D: Affidavit of Publication.

Item **1**



**Sutter Butte Flood Control Agency**  
*A Partnership for Flood Safety*

May 10, 2017

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
 Seth Wurzel, Budget Manager

**SUBJECT:** Budget Transmittal: Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

**Introduction**

On April 12, 2017, the Board approved a Proposed Amended Budget for fiscal years 2016-17 through 2018-19. The Board scheduled a public hearing for May 10, 2017, directed staff to make copies of the Proposed Amended 3-Year Budget available to the public and directed noticing of the hearing as required by law. These actions have all taken place.

This report transmits to the Board the Final “Amended 3-Year Budget” covering fiscal years 2016-17 through 2018-19. Staff recommends that the Board conduct a public hearing and approve the attached Final Amended 3-Year Budget.

**Background**

On January 13, 2016, the Board adopted a Strategic Plan (<http://sutterbutteflood.org/wp-content/uploads/2013/09/Final-SBFCA-Strategic-Plan-02.2016.pdf>) that set out the Vision, Goals and Objectives of the Agency for the ensuing 10-years. In June 2016, the Board approved the first budget prepared under this fundamental planning document. Staff proposes that the Board adopt an Amended 3-Year Budget that updates the budget implementing the Plan to reflect the following significant events:

- Updated costs and timing associated with the Feather River West Levee Project 1 (“FRWLP1”) as a result of the completed construction, engineering, scheduling and state funding coordination efforts to date;
- Updated costs, timing and funding associated with the implementation of work in the Oroville Wildlife Area;
- An updated approach to the implementation of the Feather River West Levee Project 2 efforts via the implementation of the Sutter Basin Project through a Project Partnership Agreement with the United States Army Corps of Engineering (USACE), and,
- The incorporation of and updates to work associated with Emergency Work authorized during February 2017.

The approval of this budget will enable SBFCA to complete its primary near-term objective to complete the FRWLP1 Project as well as advance work on other objectives consistent with the approved Strategic Plan. To this end, SBFCA has completed work on the FRWLP1 in Project Areas C & B, completed heavy construction in Project Area D and will complete the remaining Gap closure work in Sutter County in 2017. While the Amended Budget reflects the completion of major construction portions of the FRWLP1 project in 2017, additional closeout and administrative efforts will continue beyond 2017. To facilitate financial management, staff has prepared a

*Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19*

detailed, updated cash flow projection for the Agency, which provides a basis for the Proposed Amended 3-Year Budget.

The gross estimated expenditures for the Proposed 3-Year Budget now total \$91.03 million excluding the costs of financing/borrowing (\$12.7 million for the Final Amended 3-Year Budget). SBFCA has secured funding from various sources to complete much of the work included within the Final Amended 3-Year budget. The following funding sources have been secured by SBFCA to complete the described work:

- Annual revenues from the property owner-approved local assessment district to provide cost sharing for improvement projects and administration of the Agency;
- Multiple sources of State Proposition 1E funds for the State share of the FRWLP1 including design, environmental mitigation, permitting and construction costs through the Early Implementation Projects (EIP) and Urban Flood Risk Reduction (UFRR) programs;
- State Proposition 1E funds for the flood control features of the Oroville Wildlife Area Flood Stage Reduction Project;
- State Proposition 1E funds for the preparation of the Feather River Regional Flood Management Plan;
- State Proposition 1E funds through the Flood System Repair Program for the Laurel Avenue Project;
- State Proposition 84 funds for the implementation of a Flood Emergency Response Project;
- State Proposition 13 funds through the Yuba Feather Flood Protection Program for the permitting and design of the Oroville Wildlife Area Flood Stage Reduction Project;
- State Proposition 1 funds for the restoration of the Oroville Wildlife Area Project;
- Proceeds from the issuance of multiple financings secured by future local assessment district revenues; and,
- The use of existing available fund balances.

Objective 7 of the Strategic Plan calls for the implementation of critical repairs in the Southern Sub-Basin including two sites on the Feather River and three sites on the Sutter Bypass. Within the current 3-Year budget adopted in June 2016, SBFCA assumed that the sites on the Feather River would be repaired with funding from the State through the Flood System Repair Project (FSRP) Program. However, these funds have not been secured by SBFCA at this time. With this Proposed Amended 3-Year Budget, SBFCA recommends a change within the budget to show that these sites are completed by the USACE through the implementation of the recently modified Sutter Basin Flood Risk Management Project. The budget now reflects the required payments and associated Local Sponsor obligations of the Federal project in lieu of State FSRP projects.

The remaining projects on the Sutter Bypass are not part of a federally Authorized Sutter Basin Flood Risk Management Project. For these projects, SBFCA will be relying on State funding for this work under the State’s program to address critical repairs. However, these projects are projected to take place after Fiscal Year 2018-19.

**Feather River West Levee Project 1 Budget**

The expenditures included within the Final Amended 3-Year Budget associated with the SBFCA Capital Fund Early Implementation Project (EIP) and Urban Flood Risk Reduction (UFRR) Program accounts represent those anticipated expenditures included within the planned costs that will occur through completion of the Project. Since the adoption of the original 5-Year Budget in March 2012 and subsequent amendments, staff has provided monthly updates to the Board on the scope, schedule and budget for the project and provided updates on the

*Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19*

actual costs incurred in relation to both the annual budget and planned costs of the project. Staff will continue this practice as described in the proposed accompanying draft budget resolution.

As the FRWLP1 has progressed into construction, the planned cost of the project has been refined and updated. As compared to the prior budget presented in June 2016, the planned costs of the project have increased by approximately \$3.3 million, from \$294.3 million to \$297.6 million. These costs are primarily attributed to projected increases in the estimated cultural resource mitigation, construction management, direct construction, design support and the general additional costs associated with the extended time necessary to complete the Project.

**Budget for FRWLP1 (Segments 1-6, Thermalito to Star Bend) & OWA Flood Stage Reduction Project (excluding Restoration)**

Element	Estimated Amount
Project Management	4,600,471
Administration	9,014,843
Planning	337,504
Design	14,022,671
Environmental Docs and Permitting	3,657,277
R/W Transactions	11,785,502
Design Support During Construction	18,248,239
Construction Management	19,785,796
Mitigation	27,267,523
Rights-of-Way (Lands)	11,107,457
Borrow Royalties	1,720,820
Construction	169,587,726
Utility Relocations	2,328,142
<b>Subtotal FRWLP1 Project Areas C, B, D (rounded)</b>	<b>\$293,463,974</b>
<b>OWA Flood Stage Reduction Project (Weirs)</b>	<b>\$4,195,000</b>
<b>Total Cost</b>	<b>\$297,658,974</b>

**FRWLP1 Funding and Financing**

As described in the Strategic Plan, SBFCA plans, designs and constructs flood control facilities that protect communities and resources within SBFCA boundaries. As the FRWLP1 is the major initiative of the Agency, SBFCA has secured funding and financing for this project through the following measures:

- In July 2010, SBFCA concluded a successful Proposition 218 mail-in ballot election that was needed for local funding;
- In October 2011, SBFCA secured a \$9,000,000 funding agreement with DWR for the design of the FRWLP1 project;

*Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19*

- In April 2012, SBFCA secured a \$25,000,000 line of credit loan to provide the needed working capital to advance the design and commence the right of way acquisition for the project;
- In June 2013, the Agency sold \$41,035,000 of bonds secured by assessment revenues;
- In October 2013, SBFCA secured a \$56,780,000 grant for the initial phase of construction of the FRWLP1 project; and,
- In December 2014, SBFCA received an additional commitment of \$43,861,587 of competitive UFRR funding for an amendment to its current construction funding to increase the State's cost share on current construction work to 76%;
- In December 2014, SBFCA received two Prop 13 Grants under the YFFPP to design and permit projects at Gridley Bridge (\$460,000) and the Oroville Wildlife Area (\$1,658,800);
- In May 2015, the Agency sold \$47,070,000 of bonds secured by assessment revenues and with the proceeds refunded approximately \$14,780,000 of its outstanding line of credit loan netting approximately \$33,700,000 in proceeds available for projects;
- In June 2016, SBFCA worked with DWR to amend the current construction funding agreement to provide funding for the remainder of the work in the northern reaches of the FRWLP1 and increase funding by \$40,828,931.

SBFCA is rapidly advancing the completion of FRWLP1 activities which includes: closing out completed construction activities from Fiscal Year 2016-17, continuing construction on the balance of the FRWLP through 2017, securing the final amount of matching funds from the State, and prosecuting the near-term objectives of the Strategic Plan.

**Budget Policy**

Pursuant to SBFCA's Joint Exercise of Powers Agreement, the process for adopting the budget is the same process that Sutter County employs to adopt its budget.

The Board took action at its April 12, 2017 meeting, preliminarily approving the Proposed Amended 3-Year Budget for the purpose of holding a public hearing on May 10, 2017 at 1 p.m. at the Yuba City Council Chambers located at 1201 Civic Center Boulevard, Yuba City, CA. This action did not constitute budget approval. Staff recommends approval of the Final Amended 3-Year Budget on May 10, 2017 after the receipt of public testimony at the scheduled public hearing and after discussion among the Board of Directors.

**Proposed 3-Year Budget Expenditures**

Staff is aggressively proceeding with the planned FRWLP1 project and the current schedule calls for the final remaining construction this summer. The cost for the remaining construction and associated supporting activities to deliver the FRWLP1 have been refined and updated and are included in this budget in addition to close-out and right of way transfer activities. This budget also provisions for the costs of SBFCA operations through Fiscal Year 2018-19. Expenditures included in the combined Proposed Amended 3-Year Budget total \$91.03 million.

In support of the FRWLP1 project, the cost of SBFCA's participation in the Regional Flood Management Planning are also included. With respect to Regional Flood Management Planning, SBFCA acts as the administrator for the

*Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19*

effort on behalf of the stakeholders within the Feather River Region, and as such, the costs and revenues associated with this effort are included with SBFCA's 3-Year budget.

The Board has also supplemented the budget to include funding for the rural project at Laurel Avenue funded through DWR's Flood System Repair Program. This project will be the first permanent work in Project Area 2 that SBFCA is advancing with the State. DWR has committed \$7,225,000 for this project. As of the date of this report DWR has informed SBFCA that it will be augmenting additional funding for this project.

As a result of severe winter storms and the failure of the service and emergency spillways at Oroville Dam in January and February 2017, the Board, acting under an emergency declaration, approved efforts to improve critically damaged areas not previously addressed by the FRWLP1 project. At a Special Meeting on February 15, 2017, the Board approved a request for up to \$5 million to be expended on mitigation measure to prevent catastrophic failures of levees protecting the urban and rural portions of the basin as well as critical evacuation routes. This work, among other activities included the construction of approximately 10,000 feet of rock berm at the landside toe of the levee south of Laurel Avenue to Sacramento Avenue. SBFCA is working to secure reimbursement funding for these efforts from both DWR and CalOES/FEMA. These appropriations and expected revenues have been incorporated into the Final Amended 3-Year Budget. In addition to these previously appropriated expenditures, this Final Amended Budget includes additional funds for the required removal of a small portion of this rock berm located on private property.

Finally, SBFCA operations, interest cost on all SBFCA-incurred long-term borrowing are included within the budget. The total budgeted interest, principal and financing cost included within the budget over the 3-Years is \$12.7 million.

**Changes from the Current Approved 3-Year Budget***Decrease in total revenues*

- For the Final Amended 3-Year Budget, the total revenues of \$124.37 million represent a decrease over the total current approved budget of \$128.79 million. This decrease is primarily as a result of the following:
  - The removal of the revenues from Future FSRP projects (approximately \$36.9 million) partially offset by increases as a result of; 1) the shifting forward of revenues from the prior 5-Year Budget period for the EIP/UFRR project, 2) increases in revenues associated with additional elements of the OWA Project, and 3) revenues from CalOES/FEMA associated with the severe winter storm activities in February 2017.

*Decrease in total expenditures*

- For the Final Amended 3-Year Budget, the total expenditures of \$91.03 million represent a decrease over the total current approved budget of \$107.87 million. This decrease is primarily as a result of the following:
  - The removal of the Future FSRP program projects (a decrease of approximately \$44.4 million) partially offset by; 1) increases in expenditures associated with the EIP/UFRR project, 2) the addition of SBFCA's participation in the federal Sutter Basin Flood Risk Management Project, 3) increase in expenditures associated with additional elements of the Oroville Wildlife Area Project, and 4) expenditures associated with the severe winter storm activities in February 2017.

*Final Amended 3-Year Budget covering Fiscal Years 2016-17 through 2018-19**Shifting of expenses and revenues between fiscal years*

- Staff has prepared monthly cash flows that now reflect detailed scheduling efforts and the reprogramming of the entire delivery of the FRWLP1 project. These cash flows now reflect more detailed engineering efforts and input from the Director of Engineering and the design and construction team.

**Conclusion**

The Final Amended 3-Year Budget is based upon the sound concept of financial sustainability – matching necessary expenditures to realistic but conservative revenue projections, and the expectations of what SBFCA can financially support into the future. This budget continues the implementation of SBFCA's adopted Strategic Plan and allows the Agency to adapt to evolving opportunities to increase flood protection in the basin.

**SUTTER BUTTE FLOOD CONTROL AGENCY  
RESOLUTION NO 2017-02**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE SUTTER BUTTE FLOOD CONTROL AGENCY TO APPROVE THE AMENDED BUDGET FOR FISCAL YEARS 2016-17, 2017-18, AND 2018-19 ("FINAL AMENDED 3-YEAR BUDGET")**

WHEREAS, the Sutter Butte Flood Control Agency ("Agency") is a Joint Powers Authority created in 2007 to plan, finance and construct levee improvements in the Sutter Basin; and

WHEREAS, the Agency's Board of Directors, after consideration of public testimony at a noticed public hearing on June 22, 2016, adopted a "Final 3-Year Budget" for fiscal years 2016-17, 2017-18, 2018-19; and

WHEREAS, the Agency's Executive Director presented a proposal to further amend the current adopted budget covering fiscal year and 2016-17, 2017-18 and 2018-19 hereby referred to as the "Proposed Amended 3-Year Budget"; and

WHEREAS, on April 12, 2017, the Agency's Board of Directors preliminarily approved the Sutter Butte Flood Control Agency's Proposed Amended 3-Year Budget solely for the purpose of scheduling a noticed public hearing for consideration and adoption of a Final Amended 3-Year Budget. The hearing was set for May 10, 2017 at 1 p.m. at the Yuba City Council Chambers, 1201 Civic Center Boulevard, Yuba City, CA, and was noticed as required for a 10-day successive period; and

WHEREAS, during the public hearing, the Board of Directors considered all public comments.

NOW, THEREFORE, BE IT RESOLVED THAT:

- A) The above recitals are true and correct; and
- B) The Final Amended Budgets for fiscal year 2016-17, 2017-18, and 2018-19 herein referred to as the "Final Amended 3-Year Budget", attached hereto as Exhibit A, is hereby approved.
- C) Sections 1 through 12 below, which define the authority and responsibilities of the Executive Director in implementing the Final Amended 3-Year Budget is hereby approved.

**1. Section 1. Scope**

- 1.1 This resolution defines the authority and responsibilities of the Executive Director in implementing the Final Amended 3-Year Budget.

**2. Section 2. Definitions**

- 2.1 Executive Director means that person so designated by the Board of Directors to serve in the capacity as the Executive Director as defined by the Sutter Butte Flood Control Agency Joint Exercise of Powers Agreement or, if so designated, the Agency's Treasurer/Chief Financial Officer.
- 2.2 The Final Amended 3-Year Budget is the adopted Final Budget for fiscal years 2016-17, 2017-18 and 2018-19, the Final 3-Year Budget which has been attached hereto as Exhibit A.
- 2.3 Budget Categories reflect internal program reporting structures and sub-structures based on established program budgets such as "Operations", "Capital- USACE Study", "Capital- EIP", "Capital- Stakeholder", "Capital - Regional Planning", "Capital - ER Planning", "Capital - LC FSRP", "Capital - Future FSRP",

"Capital - OWA", "Capital - GBSP", "Capital-Star Bend", "Capital-Flood Fight", and "Capital - ULOP & Accreditation".

- 2.4 Account is defined as the primary accounting field in the budget used to describe the type of financial transaction.
- 2.5 Expenditure class is defined as a categorical grouping of individual similar accounts for purposes of reporting expenditures (i.e., Employee Services, Service and Supplies, Equipment, etc.).
- 2.6 Full-Time Equivalent (FTE) means the decimal equivalent of a position; e.g., one full-time position is 1.00 FTE and one quarter-time position is 0.25 FTE.
- 2.7 Unfunded FTE means an Agency authorized FTE without an associated labor budget. Unfunded FTE will remain vacant until funding has been approved for it by the Agency's Board of Directors or Executive Director, as appropriate.

**3. Section 3. Revenue Budget**

- 3.1 The Revenue Budget for the Final Amended 3-Year Budget is summarized in Exhibit A of this Resolution.
- 3.2 The Executive Director is authorized to increase or decrease an estimated revenue source within the Final Budgets by an amount up to and including \$50,000. Any increase or decrease of a particular revenue source by greater than \$50,000 requires approval by the Agency's Board of Directors.

**4. Section 4. Authorized Staffing and Appropriations**

- 4.1 The Executive Director is authorized to make any expenditure and resource adjustments to the Final Amended 3-Year Budget based on the Board of Directors' final action to adopt the Final Amended 3-Year Budget.
- 4.2 The Executive Director is authorized to establish the appropriations and FTE staffing as part of the Final Amended Final 3-Year Budget displayed in Exhibit A.
- 4.3 The Executive Director is authorized to revise any appropriation made in the Final Amended 3-Year Budget where a revision is of a technical nature and is consistent with the intent of the Board of Directors. This includes revisions to appropriations that are required due to required changes in the accounting codes and the structure of the cost categories within the accounting system in order to capture and report detailed costs to the Agency's external review and/or granting agencies.

**5. Section 5. Appropriation Increases/Decreases**

- 5.1 All appropriation changes (increases or decreases) in excess of \$50,000 to the Budget Categories defined above shall be approved by the Agency's Board of Directors.

**6. Section 6. Staffing Changes**

- 6.1 Any increase or decrease, by Budget Category, in FTE as authorized in the Final Amended 3-Year Budget must be approved by the Board of Directors, or the Executive Director, as appropriate. The phrase "as appropriate" means whether the amount of increase or decrease in FTE results in a required budget adjustment of over, or under, \$50,000. If the amount of the adjustment is under \$50,000 the Executive Director is authorized to approve the transaction. If the amount of the adjustment is over \$50,000, Board approval is required.

**7. Section 7. Appropriation Transfers from Contingency/Reserve Funds**

- 7.1 The Executive Director is authorized to make appropriation changes (increases or decreases) not exceeding \$50,000 from available fund balances. Appropriation changes exceeding \$50,000 must be approved by the Agency's Board of Directors.

7.2 Transfers shall not be made from available fund balance if the transfer will result in a negative balance.

**8. Section 8. Other Appropriation Transfers**

- 8.1 Appropriation transfers within the same Budget Category and the same fund must be approved by the Executive Director.
- 8.2 Appropriation transfers between two or more Budget Categories, up to and including \$50,000, must be approved by the Executive Director. Such transfers in excess of \$50,000 must be approved by the Agency's Board of Directors.

**9. Section 9. Unspent Appropriations and Encumbrances**

- 9.1 All appropriations in the Operations Budget Category which remain unencumbered or unexpended on June 30<sup>th</sup> of any given fiscal year shall revert to the available fund balance of the respective fund.
- 9.2 All appropriations in the Capital Budget Categories which remain unencumbered or unexpended on June 30<sup>th</sup> of any given fiscal year shall be carried over to the next fiscal year.

**10. Section 10. Capital Improvements**

- 10.1 Capital appropriations shall be used solely for the originally approved project or projects.
- 10.2 All multi-year capital projects within the Capital Budget Categories in existence on June 30<sup>th</sup> of any given fiscal year shall be continued to the next fiscal year.
- 10.3 Consultants performing professional services related to completing the "soft costs" related to a capital project must be retained by the Agency through the execution of a professional services' Master Contract, and the contract must be approved by the Agency's Board of Directors at a meeting of the Board.
- 10.4 If a Master Contract for professional services has been approved by the Board of Directors, the Executive Director is authorized to execute specific task orders as the work is identified provided that:
  - 10.4.1 The identified work is generally consistent with the goals and objectives of the Agency as well as the work plan being pursued by the Agency's staff.
  - 10.4.2 The amount is within the capital appropriation shown in the approved Final Budgets as Amended.
  - 10.4.3 The amount does not exceed \$50,000. Amounts in excess of \$50,000 must be approved by the Board of Directors.
  - 10.4.4 The staff reports back to the Board, in a public report, on a monthly basis regarding the specific task orders that were executed and related amounts involved since the last time the Board met to ensure transparency and good procurement practices.

**11. Section 11. Regular Financial Reporting**

- 11.1 The Agency's Board of Directors shall be provided a regular Financial Report including a revised estimate of the financial condition of all funds, revised estimated revenues and expenditures, and recommendations for eliminating any projected fund deficits.
- 11.2 The Agency's Board of Directors shall act on any projected fund deficits as part of the fiscal year-end close process.

**12. Section 12. Miscellaneous Controls/Considerations**

- 12.1 No expenditures by fund at the level of Budget Category shall exceed the Final Amended 3-Year Budget as it may be further amended and or supplemented from time to time.

12.2 Subject to approval by the Executive Director and pursuant to the above sections governing transfers and appropriations, projected deficiencies shall be corrected by:

- 12.1 Reducing expenditures within a Budget Category; or
- 12.2 Making an appropriation transfer from available fund balance subject to the provisions of Section 8.
- 12.3 In all staff reports that come before the Agency's Board of Directors, the net budgetary impacts on all funds shall be stated clearly and concisely, including indirect impacts, so that the Board of Directors has a full understanding of the financial considerations and impact of its decisions.

**ADOPTED** as a resolution of the Board of Directors of the Sutter Butte Flood Control Agency at a regular meeting duly held on the 10th day of May 2017.

  
 CHAIRMAN

APPROVED AS TO FORM:

  
 AGENCY COUNSEL

STATE OF CALIFORNIA )  
 COUNTY OF SUTTER )  
 SUTTER BUTTE FLOOD CONTROL AGENCY )

I, TERRA YANDEL, Clerk of the Board of Directors of the Sutter Butte Flood Control Agency, do hereby certify that the foregoing is a true and correct copy of Resolution No. 2017-\_\_\_\_\_ adopted by the Board of Directors of the Sutter Butte Flood Control Agency, California, at a regular meeting thereof, held on the 10th day of May, 2017 by the following vote:

AYES: DAN FLORES, MAT CONANT, BILL CONNELLY, JOHN BUCKLAND, STAN CLEVELAND,  
 JASON BANKS, FRANK HALL, BO SHEPPARD, FRANCIS SILVA, CHARLIE HOPPIN,  
 NOES: NONE  
 MIKE MORRIS,  
 CHRIS SCHMID

ABSENT: LARRY MUNKER, STEVE LAMBORT

  
 BOARD CLERK



**FINAL AMENDED BUDGET FOR FISCAL YEARS 2016-17, 2017-18 AND 2018-19 - "FINAL AMENDED 3-YEAR BUDGET"**

**MAY 10, 2017**

**(Including current approved budget)**

**Submitted by:**

**Michael Inamine, PE  
Executive Director**



**SUTTER BUTTE FLOOD CONTROL AGENCY  
COMBINED BUDGET SUMMARY**

**EXHIBIT A**  
**SBFCA COMBINED BUDGET SUMMARY**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**May 10, 2017**

Line Item Description	Current (6/10/15) Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Year	Discussion
<b>Working Capital Beginning of Period</b>										
Operational Fund 730	1,423,104	3,282,035	3,282,035	3,034,049	3,430,285	2,331,504	3,065,031	3,282,035	3,282,035	
Capital Fund 731 - USACE SBFRM (1064)	(370,540)	(508,062)	(508,062)	(508,062)	(521,618)	(508,062)	(2,779,379)	(508,062)	(508,062)	
Capital Fund 731 - EIP/UFRR (5001/6001)	1,414,404	10,783,615	10,783,615	17,880,119	12,999,590	25,637,965	23,278,068	10,783,615	10,783,615	
Capital Fund 731 - Stakeholder (1068)	-	5,430	5,430	(19,570)	(19,570)	(19,570)	(19,570)	5,430	5,430	
Capital Fund 731 - Regional Planning (2001)	-	(318,677)	(318,677)	(276,509)	(231,363)	(234,864)	221,534	(318,677)	(318,677)	
Capital Fund 731 - ER Planning (2002)	-	(8,646)	(8,646)	(8,646)	(69,991)	(8,646)	(69,991)	(8,646)	(8,646)	
Capital Fund 731 - LC FSRP (2004)	-	(1,003,848)	(1,003,848)	(3,524,758)	(492,337)	392,315	(3,113,165)	(1,003,848)	(1,003,848)	
Capital Fund 731 - Fut FSRP (XXXX) removed	-	-	-	-	-	(539,000)	-	-	-	
Capital Fund 731 - OWA (2005)	-	(562,954)	(562,954)	(367,402)	(2,399)	(367,402)	(101,166)	(562,954)	(562,954)	
Capital Fund 731 - GBSP (2006)	-	(227,282)	(227,282)	(227,282)	(73,099)	(227,282)	(73,099)	(227,282)	(227,282)	
Capital Fund 731 - Star Bend (XXXY)	1,969,885	-	-	-	-	-	-	-	-	
Capital Fund 731 - ULOP & Accreditation (XXXX)	-	-	-	(275,604)	(66,000)	(335,814)	(335,814)	-	-	
Capital Fund 731 - Flood Fighting & Emerg Prot (1064b)	-	-	-	-	(5,500,000)	-	(500,000)	-	-	
<b>Total Working Capital Beginning of Period</b>	<b>4,436,853</b>	<b>11,441,611</b>	<b>11,441,611</b>	<b>15,706,335</b>	<b>9,453,497</b>	<b>26,121,144</b>	<b>19,572,449</b>	<b>11,441,611</b>	<b>11,441,611</b>	
<b>Transfers</b>										
Operational Fund 730	130,239	-	-	-	-	-	-	-	-	
Capital Fund 731	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - USACE SBFRM (1064)	1,294,346	-	-	-	-	-	-	-	-	
Capital Fund 731 - EIP/UFRR (State) (6001)	(104,942)	-	-	-	-	-	-	-	-	
Capital Fund 731 - Star Bend (1068)	(1,367,643)	-	-	-	-	-	-	-	-	
Subtotal Capital Fund	(130,239)	-	-	-	-	-	-	-	-	
<b>Net Transfers</b>	<b>(0)</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Revenues</b>										
Operational Fund 730	3,822,752	750,000	750,000	750,000	750,000	750,000	750,000	2,250,000	2,250,000	
Capital Fund 731	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - USACE SBFRM (1064)	935,764	-	-	-	-	-	-	-	-	
Capital Fund 731 - EIP/UFRR (Local) (5001)	29,134,548	5,750,000	5,750,000	5,750,000	5,750,000	5,750,000	5,750,000	17,250,000	17,250,000	
Capital Fund 731 - EIP/UFRR (State) (6001)	141,380,762	46,219,877	42,464,489	10,998,433	24,782,039	-	14,419,919	57,218,310	81,666,447	
Capital Fund 731 - Stakeholder (1068)	61,750	-	-	-	-	-	-	-	-	
Capital Fund 731 - Regional Planning (2001)	878,364	281,759	281,759	41,645	543,584	-	-	323,404	825,342	
Capital Fund 731 - ER Planning (2002)	128,801	-	(61,252)	-	-	-	-	-	(61,252)	
Capital Fund 731 - LC FSRP (2004)	-	7,225,000	4,188,375	3,917,072	3,917,072	-	-	11,142,072	8,105,447	
Capital Fund 731 - Fut FSRP (XXXX) removed	-	-	-	2,261,000	-	34,680,354	-	36,941,354	-	
Capital Fund 731 - OWA (2005)	818,478	1,665,322	1,214,089	-	2,960,933	2,000,000	5,000,000	3,665,322	9,175,022	
Capital Fund 731 - GBSP (2006)	460,000	-	158,169	-	-	-	-	-	158,169	
Capital Fund 731 - Star Bend (1068)	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - ULOP & Accreditation	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - Flood Fighting & Emerg Prot (1064b)	-	-	-	-	5,000,000	-	-	-	5,000,000	
Subtotal Capital Fund	173,798,466	61,141,958	53,995,630	22,968,150	42,953,628	42,430,354	25,169,919	126,540,463	122,119,177	
<b>Total Revenues Operating &amp; Capital</b>	<b>177,621,219</b>	<b>61,891,958</b>	<b>54,745,630</b>	<b>23,718,150</b>	<b>43,703,628</b>	<b>43,180,354</b>	<b>25,919,919</b>	<b>128,790,463</b>	<b>124,369,177</b>	

**EXHIBIT A**  
**SBFCA COMBINED BUDGET SUMMARY**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**May 10, 2017**

Line Item Description	Current (6/10/15) Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Year	Discussion
<b>Expenses</b>										
Operational Fund 730	2,015,227	997,987	601,750	1,452,545	1,115,254	1,484,986	1,397,165	3,935,517	3,114,169	
Capital Fund 731	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - USACE SBFRM (1064)	2,741,312	-	13,556	-	2,257,761	-	1,476,500	-	3,747,817	
Capital Fund 731 - EIP/UFRR (5001/6001)	236,731,027	40,773,316	42,844,336	4,886,506	16,149,479	-	-	45,659,822	58,993,815	
Capital Fund 731 - Stakeholder (1068)	76,320	25,000	25,000	-	-	-	-	25,000	25,000	
Capital Fund 731 - Regional Planning (2001)	1,036,174	239,591	194,445	-	90,687	-	-	239,591	285,131	
Capital Fund 731 - ER Planning (2002)	146,010	-	94	-	-	-	-	-	94	
Capital Fund 731 - LC FSRP (2004)	1,622,453	9,745,909	3,676,863	-	6,537,901	-	-	9,745,909	10,214,764	
Capital Fund 731 - Fut FSRP (XXXX) removed	-	-	-	2,800,000	-	41,618,333	-	44,418,333	-	
Capital Fund 731 - OWA (2005)	1,228,410	1,469,771	653,535	-	3,059,700	2,000,000	5,000,000	3,469,771	8,713,235	
Capital Fund 731 - GBSP (2006)	460,000	-	3,987	-	-	-	-	-	3,987	
Capital Fund 731 - Star Bend (1068)	602,242	-	-	-	-	-	-	-	-	
Capital Fund 731 - ULOP & Accreditation	-	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
Capital Fund 731 - Flood Fighting & Emerg Prot (1064b)	-	-	5,500,000	-	-	-	-	-	5,500,000	
Subtotal Capital Fund	244,643,949	52,529,191	52,977,815	7,746,716	28,365,341	43,658,853	6,575,801	103,934,760	87,918,957	
<b>Total Expenses Operating &amp; Capital</b>	<b>246,659,177</b>	<b>53,527,178</b>	<b>53,579,565</b>	<b>9,199,260</b>	<b>29,480,595</b>	<b>45,143,839</b>	<b>7,972,965</b>	<b>107,870,277</b>	<b>91,033,125</b>	
<b>Financing Activities [1]</b>										
Gross Proceeds from New Debt [Trustee]	98,422,725	-	-	-	-	-	-	-	-	
Proceeds from New Debt [SBFCA]	5,997,376	-	-	-	-	-	-	-	-	
Short/Long Term Debt Repayment	(20,912,485)	-	-	-	-	-	-	-	-	
Costs of Financing	(1,303,228)	-	-	-	-	-	-	-	-	
Interest Paid on Outstanding Debt	(7,116,992)	(4,100,056)	(3,154,178)	(4,104,081)	(4,104,081)	(5,468,181)	(5,468,181)	(13,672,319)	(12,726,441)	
<b>Net Financing Activities</b>	<b>75,087,396</b>	<b>(4,100,056)</b>	<b>(3,154,178)</b>	<b>(4,104,081)</b>	<b>(4,104,081)</b>	<b>(5,468,181)</b>	<b>(5,468,181)</b>	<b>(13,672,319)</b>	<b>(12,726,441)</b>	
<b>Working Capital End of Period</b>										
Operational Fund 730	3,360,867	3,034,049	3,430,285	2,331,504	3,065,031	1,596,518	2,417,866	1,596,518	2,417,866	
Capital Fund 731 - USACE SBFRM (1064)	(881,742)	(508,062)	(521,618)	(508,062)	(508,062)	(2,779,379)	(2,779,379)	(508,062)	(4,255,879)	
Capital Fund 731 - EIP/UFRR (5001/6001) [1]	10,181,142	17,880,119	12,999,590	25,637,965	23,278,068	25,919,784	37,979,806	25,919,784	37,979,806	
Capital Fund 731 - Stakeholder (1068)	33,430	(19,570)	(19,570)	(19,570)	(19,570)	(19,570)	(19,570)	(19,570)	(19,570)	
Capital Fund 731 - Regional Planning (2001)	(157,810)	(276,509)	(231,363)	(234,864)	221,534	(234,864)	221,534	(234,864)	221,534	
Capital Fund 731 - ER Planning (2002)	(17,210)	(8,646)	(69,991)	(8,646)	(69,991)	(8,646)	(69,991)	(8,646)	(69,991)	
Capital Fund 731 - LC FSRP (2004)	(1,622,453)	(3,524,758)	(492,337)	392,315	(3,113,165)	392,315	(3,113,165)	392,315	(3,113,165)	
Capital Fund 731 - Fut FSRP (XXXX) removed	-	-	-	(539,000)	-	(7,476,979)	-	(7,476,979)	-	
Capital Fund 731 - OWA (2005)	(409,932)	(367,402)	(2,399)	(367,402)	(101,166)	(367,402)	(101,166)	(367,402)	(101,166)	
Capital Fund 731 - GBSP (2006)	(0)	(227,282)	(73,099)	(227,282)	(73,099)	(227,282)	(73,099)	(227,282)	(73,099)	
Capital Fund 731 - Star Bend	-	-	-	-	-	-	-	-	-	
Capital Fund 731 - ULOP & Accreditation (XXXX)	-	(275,604)	(66,000)	(335,814)	(335,814)	(376,334)	(435,115)	(376,334)	(435,115)	
Capital Fund 731 - Flood Fighting & Emerg Prot (1064b)	-	-	(5,500,000)	-	(500,000)	-	(500,000)	-	(500,000)	
<b>Total Working Capital End of Period</b>	<b>10,486,291</b>	<b>15,706,335</b>	<b>9,453,497</b>	<b>26,121,144</b>	<b>19,572,449</b>	<b>18,689,478</b>	<b>32,051,222</b>	<b>18,689,478</b>	<b>32,051,222</b>	

[1] Financing Activities are reflected in the Capital Fund EIP/UFRR Ending Working Capital Balance



**SUTTER BUTTE FLOOD CONTROL AGENCY  
OPERATING FUND: 730**

**SBFCA OPERATING FUND 730  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
<b>Revenues:</b>											
43195	Federal Intergovernmental Funds										
43495	State Intergovernmental Funds-Proposition 13 Funds-\$1.4 M										
43495	State Intergovernmental Funds-Proposition 13 Funds-\$650 K										
43530	State Intergovernmental Funds-EIP Grant Funds-Prop. 84/Prop. 1E (Local Credit)										
43531	State Intergovernmental Funds-EIP Grant Funds-Prop. 84/Prop. 1E (State Share)										
43717	Local Intergovernmental Contributions										
45520	Assessment District Revenues	3,750,000	750,000	750,000	750,000	750,000	750,000	750,000	2,250,000	2,250,000	
46110	Interest on Investments	72,752									
49010	Other Revenue	28,515									
	<b>Total Operating Revenues</b>	<b>3,851,267</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>750,000</b>	<b>2,250,000</b>	<b>2,250,000</b>	
<b>Expenditures:</b>											
<b>Staffing:</b>											
62701	Executive Director*	16,423									
62730	Attorney*	72,834	36,000	6,167	52,000	52,000	54,200	60,000	142,200	118,167	
62701	Analyst/Administrative Assistant*	20,074	-	2,600	-	-	-	-	-	2,600	
62701	Clerk/Secretary*	-	24,000	-	36,000	-	36,000	-	96,000	-	
62798	Executive Director - Salaries & Wages	135,401	61,890	73,228	190,129	110,374	196,812	202,039	448,831	365,641	
62798	Admin Mgr - Salaries & Wages	190,813	-	28,174	-	61,710	-	65,724	-	155,607	
62798	PB Admin Labor Overhead	21,478	48,000	42,688	48,000	48,000	48,000	48,000	144,000	138,688	
62799	Executive Director - Benefits	47,282	12,250	16,519	49,000	28,600	49,000	55,000	110,250	100,119	
62799	Admin Mgr - Benefits	33,654	-	7,213	-	20,710	-	15,000	-	42,923	
61210	Director of Engineering - Salary	107,227	37,406	74,209	166,513	97,674	174,839	190,433	378,756	362,317	
615X	Director of Engineering-Benefits	50,985	19,000	33,979	79,296	48,837	81,278	81,278	179,575	164,094	
62701	Director of Engineering - Consulting Support*	79,365	171,167	84,325	171,167	150,000	171,167	100,000	513,500	334,325	
62701	Public Information*	29,831	22,050	15,475	100,000	50,000	105,000	50,000	227,050	115,475	
62701	Financial Management*	268,604	63,000	58,776	120,000	120,000	126,000	75,000	309,000	253,776	
62701	Assessment District Administration	541,297	45,000	49,650	45,000	45,000	47,250	47,250	137,250	141,900	
65647	CADAC Administration	42,572	10,000	10,000	10,000	10,000	10,000	10,000	30,000	30,000	
62701	SWIF Development	-	132,667	32,500	-	-	-	-	132,667	32,500	
62701	Governance	-	-	-	-	-	-	-	-	-	
62701	Basin Floodplain Management	-	57,500	-	57,500	57,500	57,500	57,500	172,500	115,000	
	<b>Sub-Total</b>	<b>1,657,840</b>	<b>739,930</b>	<b>535,504</b>	<b>1,124,605</b>	<b>900,406</b>	<b>1,157,046</b>	<b>1,057,225</b>	<b>3,021,581</b>	<b>2,493,134</b>	

**SBFCA OPERATING FUND 730  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
<b>County/City Services:</b>											
62701	Budget/Accounting/Finance*	-	4,410	3,308	22,050	22,050	22,050	22,050	48,510	47,408	
62701	Engineering/Public Works										
62701	Human Resources/Risk Management										
62701	Federal Advocacy (Sutter Basin)	14,000									
62701	Other										
	<b>Sub-Total</b>	<b>14,000</b>	<b>4,410</b>	<b>3,308</b>	<b>22,050</b>	<b>22,050</b>	<b>22,050</b>	<b>22,050</b>	<b>48,510</b>	<b>47,408</b>	
<b>Services and Supplies:</b>											
62201	Telephone*	2,810	2,400	229	3,600	1,800	3,600	3,600	9,600	5,629	
62301	Postage & Freight*	1,461	720	505	1,080	900	1,080	1,080	2,880	2,485	
62401	Advertising*	302	90	-	180	180	180	180	450	360	
62501	Office Supplies*	3,810	2,400	154	3,600	1,800	3,600	3,600	9,600	5,554	
62507	Computer Forms & Supplies*	173	1,008	21	1,512	1,000	1,512	1,512	4,032	2,533	
62601	Printing and Binding*	875	2,400	107	2,400	1,200	2,400	2,400	7,200	3,707	
62701	Professional Services*	278,411	140,000	19,853	180,000	80,000	180,000	180,000	500,000	279,853	
62701	Paychex Payroll Services*	5,691	2,400	660	1,200	1,200	1,200	1,200	4,800	3,060	
62801	Travel & Meeting*	57,309	30,000	5,439	45,000	25,000	45,000	45,000	120,000	75,439	
63101	Dues & Subscriptions*	6,950	15,000	16,256	100	500	100	100	15,200	16,896	
63201	Rentals-Buildings/Equipment/Land*	2,730	7,710	270	18,000	15,000	18,000	30,000	43,710	45,270	
63301	O&M-Office Equipment*	-	-	-	-	-	-	-	-	-	
63320	O&M-Computer Equipment*	-	-	-	-	-	-	-	-	-	
63801	Tools, Supplies & Equip.<-\$5000*	15,538	28,000	-	28,000	28,000	28,000	28,000	84,000	56,000	
63901	Training Program/Aids*	-	-	-	-	-	-	-	-	-	
64310	Liability Insurance*	15,336	15,918	14,444	15,918	15,918	15,918	15,918	47,754	46,280	
66001	Other Materials & Supplies*	107,338	600	1	300	300	300	300	1,200	601	
	<b>Sub-Total</b>	<b>498,734</b>	<b>248,646</b>	<b>57,939</b>	<b>300,890</b>	<b>172,798</b>	<b>300,890</b>	<b>312,890</b>	<b>850,426</b>	<b>543,627</b>	
<b>Capital/Small Equipment Items:</b>											
69201	Equipment	-	5,000	5,000	5,000	5,000	5,000	5,000	15,000	15,000	
65602	Contingency	-	-	-	-	-	-	-	-	-	
	<b>Total Operating Expenditures</b>	<b>2,170,574</b>	<b>997,987</b>	<b>601,750</b>	<b>1,452,545</b>	<b>1,115,254</b>	<b>1,484,986</b>	<b>1,397,165</b>	<b>3,935,517</b>	<b>3,114,169</b>	
	Transfer out to Cap.Fd to Support Capital Soft Costs	-	-	-	-	-	-	-	-	-	
	Transfer in to Support Operations	178,239	-	-	-	-	-	-	-	-	
	<b>Operating Revenues Over &lt;Under&gt; Expenditures</b>	<b>1,858,932</b>	<b>(247,987)</b>	<b>148,250</b>	<b>(702,545)</b>	<b>(365,254)</b>	<b>(734,986)</b>	<b>(647,165)</b>	<b>(1,685,517)</b>	<b>(864,169)</b>	
	Working Capital - Beginning of Period	1,423,104	3,282,035	3,282,035	3,034,049	3,430,285	2,331,504	3,065,031	3,282,035	3,282,035	
	Working Capital - End of Period	3,282,035	3,034,049	3,430,285	2,331,504	3,065,031	1,896,518	2,417,866	1,896,518	2,417,866	
	<b>Variance</b>	<b>1,858,932</b>	<b>(247,987)</b>	<b>148,250</b>	<b>(702,545)</b>	<b>(365,254)</b>	<b>(734,986)</b>	<b>(647,165)</b>	<b>(1,685,517)</b>	<b>(864,169)</b>	

[\*] - All items denoted with an asterisk are generally split Agency Administration and EIP based on allocation of overhead to the EIP Program.



**SUTTER BUTTE FLOOD CONTROL AGENCY  
CAPITAL FUND: 731**

**SBFCA CAPITAL FUND - USACE SBFRM (731-941064)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Final 2016-17 Budget	Final 2017-18 Budget	Final 2018-19 Budget	Final Three Years	Discussion
<b>Revenues:</b>							
43195	Federal Intergovernmental Funds						
43495	State Intergovernmental Funds-Proposition 13 Funds-\$1.4 M	479,060.23					
43495	State Intergovernmental Funds-Proposition 13 Funds-\$650 K	456,703.87					
43530	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Local Credit)	-					
43531	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (State Share)	-					
43717	Local Intergovernmental Contributions	-					
45520	Assessment District Proceeds/Bond Anticipation Notes	-					
46110	Interest on Investments	-					
49010	Other Revenue	-					
	<b>Total Capital Revenues</b>	<b>935,764</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
<b>Expenditures (Capital Project Soft Costs):</b>							
941064	<b>USACE SBFRM Study/Implementation:</b>						
65629	Edgar & Associates (Feasibility Study Management) - WIK	10,517.31	-	-	-	-	
65630	Downey Brand (Feasibility Study Legal) - WIK	176,295.57	12,938	-	-	12,938	
65631	Peterson Brustad Inc (Feasibility Study Technical) - WIK	173,195.59	-	-	-	-	
65637	Peterson Brustad Inc (Feasibility Study Civil) - WIK	57,102.23	618	-	-	618	
62798	DOE/ED/AM (Feasibility Study Technical) - WIK	75,436.58	-	-	-	-	
65610	Peterson, Brustad, Inc. (Hydraulic Design TO2) - Non-Credited Work	81,486.98	-	-	-	-	
65623	Jones & Stokes (Recreation Opportunities TO1) - Non-Credited Work	-	-	-	-	-	
65624	Jones & Stokes (Eco Sys Rest Opportunities TO2) - Non-Credited Work	-	-	-	-	-	
65632	Jones & Stokes (Envir Baseline Study TO5) - Non-Credited Work	104,786.04	-	-	-	-	
65633	Jones & Stokes (EIS Public Scoping) - Non-Credited Work	-	-	-	-	-	
65611	Jones & Stokes (EIS/EIR for Feasibility Study) - Non-Credited Work	-	-	-	-	-	
65638	ICF Jones & Stokes (Env Compliance TO9 WIK)	634,811.98	-	-	-	-	
65635	SBFCA Cash Transmitted to the USACE	1,054,000.00	-	1,287,761	1,066,500	2,354,261	
	PED	-	-	387,761	-	387,761	
	NED	-	-	-	1,066,500	1,066,500	
	LERRDs	-	-	900,000	-	900,000	
6XXXX	Consultant Costs	-	-	970,000	410,000	1,380,000	
	NFS PM & IDR	-	-	220,000	160,000	380,000	
	Design	-	-	750,000	250,000	1,000,000	
991066	<b>Assessment District Formation:</b>						
65614	Parsons Brinkerhoff (Assessment District Engineering)	-	-	-	-	-	
65615	Lincoln Crow (Public Education and Outreach)	-	-	-	-	-	

**SBFCA CAPITAL FUND - USACE SBFRM (731-941064)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Final 2016-17 Budget	Final 2017-18 Budget	Final 2018-19 Budget	Final Three Years	Discussion
	Sub-Total Professional Services	2,367,632	13,556	2,257,761	1,476,500	3,747,817	
	Tools, Supplies & Equip. < \$5,000						
	Sub-Total Materials, Supplies & Services	2,367,632	13,556	2,257,761	1,476,500	3,747,817	
	Equipment						
	Sub-Total Capital/Small Equipment Items	-	-	-	-	-	
	Sub-Total-Soft Costs	2,367,632	13,556	2,257,761	1,476,500	3,747,817	
	<b>Capital Projects</b>						
	Sub-Total-Projects	-	-	-	-	-	
	<b>Total Capital Expenditures</b>	<b>2,367,632</b>	<b>13,556</b>	<b>2,257,761</b>	<b>1,476,500</b>	<b>3,747,817</b>	
	Transfer in fm Oper Fd to Support Capital Soft Costs	-					
	Transfer in fm Star Bend to Support Capital Soft Costs	1,294,346					
	Transfer out to Support Operations	-					
	Capital Revenues Over <Under> Expenditures	(137,522)	(13,556)	(2,257,761)	(1,476,500)	(3,747,817)	
	Working Capital - Beginning of Period	(370,540)	(508,062)	(521,618)	(2,779,379)	(508,062)	
	Working Capital - End of Period	(508,062)	(521,618)	(2,779,379)	(4,255,879)	(4,255,879)	
	Variance	(137,522)	(13,556)	(2,257,761)	(1,476,500)	(3,747,817)	

**SBFCA CAPITAL FUND - FLOOD FIGHTING AND EMERGENCY PROTECTIVE MEASURES (731-941064)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**

May 10, 2017

Account Number	Line Item Description	Final 2016-17 Budget	Final 2017-18 Budget	Final 2018-19 Budget	Final Three Years	Discussion
<b>Revenues:</b>						
XXXX	FEMA / CalOES / DWR - Revenue	-	5,000,000	-	5,000,000	
	<b>Total Capital Revenues</b>	<b>-</b>	<b>5,000,000</b>	<b>-</b>	<b>5,000,000</b>	
<b>Expenditures (Capital Project Soft Costs):</b>						
941064	<u>Flood Fighting and Emergency Protective Measures</u>					
65614	Oroville Incident	4,953,000	-	-	4,953,000	Teichert / NMJV / AECOM / PB / Others
	Parsons Brinkerhoff	300,000			300,000	
	Nordic Magnus JV	1,800,000			1,800,000	
	Teichert	2,800,000			2,800,000	
	AECOM	35,000			35,000	
	Handen	10,000			10,000	
	Downey Brand	3,000			3,000	
	ICF	5,000			5,000	
	Other	-			-	
65615	February Storms	47,000	-	-	47,000	Teichert / NMJV / AECOM / PB / Others
	Parsons Brinkerhoff	10,000			10,000	
	Nordic Magnus JV	-			-	
	Teichert	30,000			30,000	
	AECOM	7,000			7,000	
	Handen	-			-	
	Downey Brand	-			-	
	ICF	-			-	
	Other	-			-	
65XXX	Emergency Materials Removal	500,000	-	-	500,000	TBD
	Sub-Total Professional Services	5,500,000	-	-	5,500,000	
	Tools, Supplies & Equip. < \$5,000					
	<b>Sub-Total Materials, Supplies &amp; Services</b>	<b>5,500,000</b>	<b>-</b>	<b>-</b>	<b>5,500,000</b>	
	Equipment					
	<b>Sub-Total Capital/Small Equipment Items</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	
	<b>Sub-Total-Soft Costs</b>	<b>5,500,000</b>	<b>-</b>	<b>-</b>	<b>5,500,000</b>	
	<b>Capital Projects</b>					

**SBFCA CAPITAL FUND - FLOOD FIGHTING AND EMERGENCY PROTECTIVE MEASURES (731-941064)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**

May 10, 2017

Account Number	Line Item Description	Final 2016-17 Budget	Final 2017-18 Budget	Final 2018-19 Budget	Final Three Years	Discussion
	Sub-Total-Projects	-	-	-	-	
	<b>Total Capital Expenditures</b>	<b>5,500,000</b>	<b>-</b>	<b>-</b>	<b>5,500,000</b>	
	Transfer in fm Oper Fd to Support Capital Soft Costs	-				
	Transfer out to Support Operations					
	Capital Revenues Over <Under> Expenditures	(5,500,000)	5,000,000	-	(500,000)	
	Working Capital - Beginning of Period	-	(5,500,000)	(500,000)	-	
	Working Capital - End of Period	(5,500,000)	(500,000)	(500,000)	(500,000)	
	Variance	(5,500,000)	5,000,000	-	(500,000)	

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
<b>Revenues:</b>											
43195	Federal Intergovernmental Funds	-	-	-	-	-	-	-	-	-	-
43495	State Intergovernmental Funds - Proposition 13 Funds-\$1.4 M	-	-	-	-	-	-	-	-	-	-
43495	State Intergovernmental Funds-Proposition 13 Funds-\$650 K	-	-	-	-	-	-	-	-	-	-
43530	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Loc	20,568,155	740,146	-	-	-	-	-	740,146	-	-
43531	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Stat	112,463,497	45,479,731	42,464,489	10,998,433	24,782,039	-	14,419,919	56,478,164	81,666,447	-
43717	Local Intergovernmental Contributions	-	-	-	-	-	-	-	-	-	-
45520	Assessment District Proceeds/Bond Anticipation Notes	29,108,337	5,750,000	5,750,000	5,750,000	5,750,000	5,750,000	5,750,000	17,250,000	17,250,000	-
46110	Interest on Investments	255,766	-	-	-	-	-	-	-	-	-
49010	Other Revenue	6,880,724	-	-	-	-	-	-	-	-	-
	<b>Total Capital Revenues</b>	<b>169,276,480</b>	<b>51,969,877</b>	<b>48,214,489</b>	<b>16,748,433</b>	<b>30,532,039</b>	<b>5,750,000</b>	<b>20,169,919</b>	<b>74,468,310</b>	<b>98,916,447</b>	
<b>Expenditures (Capital Project Soft Costs):</b>											
<b>991067 Early Implementation Project - Pre-Planning</b>											
65621	Peterson Brustad, Inc. (EIP Grant Application TO3)	-	-	-	-	-	-	-	-	-	-
65621	David Ford (Emergency Response Grant App.)	14,962	-	-	-	-	-	-	-	-	-
65618	Kleinfelder (Preliminary Design Geotechnical Analysis)	-	-	-	-	-	-	-	-	-	-
65617	Peterson Brustad, Inc. (Preliminary Design)	-	-	-	-	-	-	-	-	-	-
65621	PBI Future Task Order (EIP Construction Grant)	39,184	13,794	13,794	-	-	-	-	13,794	13,794	-
<b>Expenditures (Capital Project Soft Costs):</b>											
995001	66301	Edgar & Associates (Alloc. Share to EIP Management)	32,847	-	-	-	-	-	-	-	-
996001	66301	Edgar & Associates (Alloc. Share to EIP Management)	32,847	-	-	-	-	-	-	-	-
996001	66301	Edgar & Associates (Alloc. Share to EIP Management)	65,694	-	-	-	-	-	-	-	-
995001	66302	Kennedy Modests Comm. (Alloc. Share to EIP Management)	93,008	45,600	49,254	-	-	-	45,600	49,254	-
996001	66302	Kennedy Modests Comm. (Alloc. Share to EIP Management)	82,072	14,400	15,554	-	-	-	14,400	15,554	-
996001	66302	Kennedy Modests Comm. (Alloc. Share to EIP Management)	175,079	60,000	64,808	-	-	-	60,000	64,808	-
995001	66311	General (Supplies & PPE) (Alloc. Share - Des Phase)	209,684	-	-	-	-	-	-	-	-
996001	66311	General (Supplies & PPE) (Alloc. Share - Des Phase)	276,021	-	-	-	-	-	-	-	-
996001	66311	General (Supplies & PPE) (Alloc. Share - Des Phase)	485,705	-	-	-	-	-	-	-	-
995001	67310	General (Supplies & PPE) (Alloc. Share - Con Phase)	305,589	120,192	182,938	55,588	125,400	-	175,780	308,338	-
996001	67310	General (Supplies & PPE) (Alloc. Share - Con Phase)	113,846	38,652	57,781	17,554	39,600	-	56,206	97,381	-
996001	67310	General (Supplies & PPE) (Alloc. Share - Con Phase)	419,435	158,843	240,720	73,143	165,000	-	231,986	405,720	-
995001	66321	Downey Brand (EIP Legal - Feather River Project)	634,668	15,327	107,547	-	114,950	-	15,327	222,497	-
996001	66321	Downey Brand (EIP Legal - Feather River Project)	398,993	4,840	33,962	-	36,300	-	4,840	70,262	-
996001	66321	Downey Brand (EIP Legal - Feather River Project)	1,033,660	20,168	141,509	-	151,250	-	20,168	292,759	-
995001	66322	Downey Brand (EIP Legal - Alloc. Share of General)	439,675	129,969	96,499	42,215	104,500	-	172,184	200,999	-
996001	66322	Downey Brand (EIP Legal - Alloc. Share of General)	317,440	41,043	30,473	13,331	33,000	-	54,374	63,473	-
996001	66322	Downey Brand (EIP Legal - Alloc. Share of General)	757,115	171,012	126,972	55,546	137,500	-	226,558	264,472	-
995001	66512/66713	Downey Brand (ROW Legal) - General	1,374,491	-	201,730	-	193,800	-	-	395,530	-
996001	66512/66713	Downey Brand (ROW Legal) - General	978,448	-	63,704	-	61,200	-	-	124,904	-
996001	66512/66713	Downey Brand (ROW Legal) - General	2,352,939	-	265,435	-	255,000	-	-	520,435	-
995001	67113	Downey Brand (ROW Legal) - Area C & Gaps	153,559	94,118	43,753	71,666	45,600	-	165,784	89,353	-
996001	67113	Downey Brand (ROW Legal) - Area C & Gaps	48,589	29,721	13,817	22,631	14,400	-	52,353	28,217	-
996001	67113	Downey Brand (ROW Legal) - Area C & Gaps	202,148	123,839	57,569	94,297	60,000	-	218,137	117,569	-

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
995001	68113	Downey Brand (ROW Legal) - Area B	-	53,835	15,200	40,993	45,600	-	94,828	60,800	-
996001	68113	Downey Brand (ROW Legal) - Area B	-	17,001	4,800	12,945	14,400	-	29,946	19,200	-
996001	68113	Downey Brand (ROW Legal) - Area B	-	70,836	20,000	53,938	60,000	-	124,774	80,000	-
995001	68713	Downey Brand (ROW Legal) - Area D	88,989	80,047	18,246	60,951	46,350	-	140,998	64,596	-
996001	68713	Downey Brand (ROW Legal) - Area D	28,102	25,278	5,762	19,248	13,650	-	44,526	19,412	-
996001	68713	Downey Brand (ROW Legal) - Area D	117,091	105,325	24,008	80,199	60,000	-	185,524	84,008	-
995001	66331	Kim Floyd Communications (EIP Public Information)	192,705	53,705	37,229	-	16,476	-	53,705	53,705	-
996001	66331	Kim Floyd Communications (EIP Public Information)	147,078	16,960	-	-	5,203	-	16,960	16,960	-
996001	66331	Kim Floyd Communications (EIP Public Information)	339,783	70,665	48,986	-	21,679	-	70,665	70,665	-
995001	66514	Kim Floyd Communications (ROW Coordination)	31,595	12,800	4,693	5,813	13,920	-	18,614	18,614	-
996001	66514	Kim Floyd Communications (ROW Coordination)	29,420	4,042	1,482	1,836	4,396	-	5,878	5,878	-
996001	66514	Kim Floyd Communications (ROW Coordination)	61,015	16,842	6,176	7,649	18,316	-	24,492	24,492	-
995001	6(7,8)203	Kim Floyd Communications (EIP Const. Outreach - C, B & D)	-	-	-	-	-	-	-	-	-
996001	6(7,8)203	Kim Floyd Communications (EIP Const. Outreach - C, B & D)	-	-	-	-	-	-	-	-	-
996001	6(7,8)203	Kim Floyd Communications (EIP Const. Outreach - C, B & D)	-	-	-	-	-	-	-	-	-
995001	62798	Exec Dir. & Dir of Eng (EIP-Sal & Ben)	342,429	191,269	-	86,868	-	-	278,137	-	-
996001	62798	Exec Dir. & Dir of Eng (EIP-Sal & Ben)	341,009	60,401	-	27,432	-	-	87,833	-	-
996001	62798	Exec Dir. & Dir of Eng (EIP-Sal & Ben)	683,438	251,670	-	114,300	-	-	365,970	-	-
995001	67300	ED, DOE, Admin Mgr (EIP-Sal & Ben)	657,562	72,960	200,059	72,960	223,998	-	145,920	424,057	-
996001	67300	ED, DOE, Admin Mgr (EIP-Sal & Ben)	221,382	23,040	63,177	23,040	70,736	-	46,080	133,913	-
996001	67300	ED, DOE, Admin Mgr (EIP-Sal & Ben)	878,944	96,000	263,236	96,000	294,734	-	192,000	557,970	-
995001	66341	EIP-Consul Support-PBI	559,748	99,630	180,415	41,513	209,000	-	141,143	389,415	-
996001	66341	EIP-Consul Support-PBI	402,528	31,462	56,973	13,109	66,000	-	44,572	122,973	-
996001	66341	EIP-Consul Support-PBI	962,277	131,093	237,388	54,622	275,000	-	185,715	512,388	-
995001	66351	KNN Public Finance Inc (EIP Debt Financing Advice)	5,449	-	-	-	-	-	-	-	-
996001	66351	KNN Public Finance Inc (EIP Debt Financing Advice)	45,449	-	-	-	-	-	-	-	-
996001	66351	KNN Public Finance Inc (EIP Debt Financing Advice)	50,897	-	-	-	-	-	-	-	-
995001	66521	LWA, Inc. (EIP Financial Admin)	552,366	100,320	134,298	-	83,600	-	100,320	217,898	-
996001	66521	LWA, Inc. (EIP Financial Admin)	342,867	31,680	42,410	-	26,400	-	31,680	68,810	-
996001	66521	LWA, Inc. (EIP Financial Admin)	895,234	132,000	176,708	-	110,000	-	132,000	286,708	-
995001	67311	LWA (EIP Administration - Const Phase)	278,468	83,600	145,924	66,880	153,520	-	150,480	299,444	-
996001	67311	LWA (EIP Administration - Const Phase)	102,631	26,400	46,081	21,120	48,480	-	47,520	94,561	-
996001	67311	LWA (EIP Administration - Const Phase)	381,099	110,000	192,005	88,000	202,000	-	198,000	394,005	-
995001	66541	PB America (EIP Master Proj Sched)	547,929	54,720	34,200	-	-	-	54,720	34,200	-
996001	66541	PB America (EIP Master Proj Sched)	362,073	17,280	10,800	-	-	-	17,280	10,800	-
996001	66541	PB America (EIP Master Proj Sched)	910,003	72,000	45,000	-	-	-	72,000	45,000	-
995001	66501	Peterson Brustad, Inc (EIP Management of Final Design TO6)	-	-	-	-	-	-	-	-	-
996001	66501	Peterson Brustad, Inc (EIP Management of Final Design TO6)	-	-	-	-	-	-	-	-	-
996001	66501	Peterson Brustad, Inc (EIP Management of Final Design TO6)	-	-	-	-	-	-	-	-	-
995001	66502	Peterson Brustad Inc (EIP Technical Support TO7)	58,466	-	-	-	-	-	-	-	-
996001	66502	Peterson Brustad Inc (EIP Technical Support TO7)	58,467	-	-	-	-	-	-	-	-
996001	66502	Peterson Brustad Inc (EIP Technical Support TO7)	116,933	-	-	-	-	-	-	-	-
995001	66503	Peterson Brustad Inc (EIP Interior Drainage TO8)	37,875	-	-	-	-	-	-	-	-
996001	66503	Peterson Brustad Inc (EIP Interior Drainage TO8)	37,875	-	-	-	-	-	-	-	-
996001	66503	Peterson Brustad Inc (EIP Interior Drainage TO8)	75,749	-	-	-	-	-	-	-	-
995001	66513	Peterson Brustad Inc (EIP ROW Coord C, B & D)	372,203	59,753	43,052	27,138	41,800	-	86,891	84,852	-
996001	66513	Peterson Brustad Inc (EIP ROW Coord C, B & D)	302,662	18,869	14,322	8,570	13,200	-	27,439	27,522	-
996001	66513	Peterson Brustad Inc (EIP ROW Coord C, B & D)	674,865	78,623	57,374	35,708	55,000	-	114,331	112,374	-

Account Number	Line Item Description	Actual	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Discussion
		Five Years	2016-17 Budget	2016-17 Budget	2017-18 Budget	2017-18 Budget	2018-19 Budget	2018-19 Budget	Three Years	Three Years	
995001	66551 Peterson Brustad Inc (Env & Reg Coord)	155,247	2,154	11,206	-	15,200	-	-	2,154	26,406	
996001	66551 Peterson Brustad Inc (Env & Reg Coord)	122,467	680	3,539	-	4,800	-	-	680	8,339	
	66551 Peterson Brustad Inc (Env & Reg Coord)	277,713	2,834	14,744	-	20,000	-	-	2,834	34,744	
995001	66531 Peterson Brustad Inc (FEMA Certification)	56,815	52,303	118,729	7,375	217,360	-	-	59,678	336,089	
996001	66531 Peterson Brustad Inc (FEMA Certification)	17,942	16,517	37,493	2,329	68,640	-	-	18,846	106,133	
	66531 Peterson Brustad Inc (FEMA Certification)	74,757	68,819	156,222	9,704	286,000	-	-	78,524	442,222	
995001	66504 MBK Engineers (Peer Review and Coord)	34,074	-	-	-	-	-	-	-	-	
996001	66504 MBK Engineers (Peer Review and Coord)	36,080	-	-	-	-	-	-	-	-	
	66504 MBK Engineers (Peer Review and Coord)	70,154	-	-	-	-	-	-	-	-	
995001	66561 Legal Claims Avoidance Review	75,549	51,346	38,321	-	83,600	-	-	51,346	121,921	
996001	66561 Legal Claims Avoidance Review	69,015	16,214	12,151	-	26,400	-	-	16,214	38,551	
	66561 Legal Claims Avoidance Review	144,564	67,560	50,472	-	110,000	-	-	67,560	160,472	
995001	66(6,7,8)02 HDR, URS, Wood Rodgers, Etc. (EIP 30% Eng Design-Task Order 1)	140,532	-	-	-	-	-	-	-	-	
996001	66(6,7,8)02 HDR, URS, Wood Rodgers, Etc. (EIP 30% Eng Design-Task Order 1)	207,191	-	-	-	-	-	-	-	-	
	66(6,7,8)02 HDR, URS, Wood Rodgers, Etc. (EIP 30% Eng Design-Task Order 1)	347,723	-	-	-	-	-	-	-	-	
995001	66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)	500,441	-	-	-	-	-	-	-	-	
996001	66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)	737,814	-	-	-	-	-	-	-	-	
	66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)	1,238,255	-	-	-	-	-	-	-	-	
995001	66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)	95,822	-	-	-	-	-	-	-	-	
996001	66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)	141,274	-	-	-	-	-	-	-	-	
	66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)	237,096	-	-	-	-	-	-	-	-	
995001	66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)	1,875,954	-	-	-	-	-	-	-	-	
996001	66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)	1,875,954	-	-	-	-	-	-	-	-	
	66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)	3,751,909	-	-	-	-	-	-	-	-	
995001	66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)	-	-	-	-	-	-	-	-	-	
996001	66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)	575,292	-	-	-	-	-	-	-	-	
	66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)	575,292	-	-	-	-	-	-	-	-	
995001	66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)	369,698	-	-	-	-	-	-	-	-	
996001	66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)	297,025	-	-	-	-	-	-	-	-	
	66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)	666,723	-	-	-	-	-	-	-	-	
995001	66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)	64,981	-	-	-	-	-	-	-	-	
996001	66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)	64,981	-	-	-	-	-	-	-	-	
	66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)	129,962	-	-	-	-	-	-	-	-	
995001	66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)	84,353	-	-	-	-	-	-	-	-	
996001	66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)	124,363	-	-	-	-	-	-	-	-	
	66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)	208,716	-	-	-	-	-	-	-	-	
995001	66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)	183,504	-	-	-	-	-	-	-	-	
996001	66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)	183,504	-	-	-	-	-	-	-	-	
	66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)	367,008	-	-	-	-	-	-	-	-	
995001	66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)	3,914,453	-	17,453	-	-	-	-	-	17,453	
996001	66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)	3,911,460	-	5,511	-	-	-	-	-	5,511	
	66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)	7,825,913	-	22,964	-	-	-	-	-	22,964	
995001	67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11)	4,919,131	376,705	331,247	471,229	581,400	-	-	847,934	912,647	
996001	67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11)	1,553,410	118,959	104,604	148,809	183,600	-	-	267,769	288,204	
	67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11)	6,472,541	495,664	435,851	620,038	765,000	-	-	1,115,702	1,200,851	
995001	68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12)	1,835,132	-	893,011	-	-	-	-	-	283,011	
996001	68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12)	579,515	-	283,372	-	-	-	-	-	89,372	
	68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12)	2,414,647	-	372,382	-	-	-	-	-	372,382	

Account Number	Line Item Description	Actual	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Discussion
		Five Years	2016-17 Budget	2016-17 Budget	2017-18 Budget	2017-18 Budget	2018-19 Budget	2018-19 Budget	Three Years	Three Years	
995001	68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12)	2,095,914	2,502,154	1,002,224	-	855,000	-	-	2,502,154	1,857,224	
996001	68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12)	1,843,774	586,925	322,260	-	270,000	-	-	586,925	592,260	
	68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12)	3,939,688	3,089,079	1,324,484	-	1,125,000	-	-	3,089,079	2,449,484	
995001	66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)	333,630	26,953	68,664	-	43,320	-	-	26,953	111,984	
996001	66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)	327,483	8,512	21,683	-	13,680	-	-	8,512	35,363	
	66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)	661,113	35,465	90,348	-	57,000	-	-	35,465	147,348	
995001	66(6,7,8)11 BRI (EIP Acquisition ROW Lands)	56,112	-	-	-	-	-	-	-	-	
996001	66(6,7,8)11 BRI (EIP Acquisition ROW Lands)	82,727	-	-	-	-	-	-	-	-	
	66(6,7,8)11 BRI (EIP Acquisition ROW Lands)	138,839	-	-	-	-	-	-	-	-	
995001	66(6,7,8)13 Right of Entry (For Survey)	200	-	-	-	-	-	-	-	-	
996001	66(6,7,8)13 Right of Entry (For Survey)	200	-	-	-	-	-	-	-	-	
	66(6,7,8)13 Right of Entry (For Survey)	400	-	-	-	-	-	-	-	-	
995001	66714 BRI TO2 Right of Way - Area C	968,931	26,477	50,912	15,558	-	-	-	42,034	50,912	
996001	66714 BRI TO2 Right of Way - Area C	845,958	8,361	16,077	4,913	-	-	-	13,274	16,077	
	66714 BRI TO2 Right of Way - Area C	1,814,888	34,838	66,989	20,471	-	-	-	55,309	66,989	
995001	66716 BRI TO2 Am 2 Right of Way - Area B	289,755	24,473	23,855	11,115	-	-	-	35,587	23,855	
996001	66716 BRI TO2 Am 2 Right of Way - Area B	239,963	7,728	7,533	3,510	-	-	-	11,238	7,533	
	66716 BRI TO2 Am 2 Right of Way - Area B	529,717	32,201	31,389	14,625	-	-	-	46,826	31,389	
995001	66717 BRI TO2 Am 2 Right of Way - Area D	527,886	51,215	43,278	23,260	-	-	-	74,475	43,278	
996001	66717 BRI TO2 Am 2 Right of Way - Area D	504,495	12,013	14,908	5,456	-	-	-	17,469	14,908	
	66717 BRI TO2 Am 2 Right of Way - Area D	1,032,381	63,228	58,187	28,716	-	-	-	91,945	58,187	
995001	67114 BRI Right of Way (ROW FAPS Area C - TO3)	20,022	65,700	51,018	29,839	95,760	-	-	95,539	146,778	
996001	67114 BRI Right of Way (ROW FAPS Area C - TO3)	6,323	20,747	16,111	9,423	30,240	-	-	30,170	46,351	
	67114 BRI Right of Way (ROW FAPS Area C - TO3)	26,345	86,448	67,128	39,262	126,000	-	-	125,709	193,128	
995001	68114 BRI Right of Way (ROW FAPS Areas B - TO4)	-	19,370	22,800	8,797	45,600	-	-	28,167	68,400	
996001	68114 BRI Right of Way (ROW FAPS Areas B - TO4)	-	6,117	7,200	2,778	14,400	-	-	6,895	21,600	
	68114 BRI Right of Way (ROW FAPS Areas B - TO4)	-	25,487	30,000	11,575	60,000	-	-	37,062	90,000	
995001	68714 BRI Right of Way (ROW FAPS Areas D - TO4)	-	56,531	50,625	25,674	107,600	-	-	82,205	158,225	
996001	68714 BRI Right of Way (ROW FAPS Areas D - TO4)	-	13,260	11,875	6,022	27,400	-	-	19,283	39,275	
	68714 BRI Right of Way (ROW FAPS Areas D - TO4)	-	69,791	62,500	31,697	135,000	-	-	101,488	197,500	
995001	66(6,7,8)15 Title & Misc (EIP Right of Way)	40,454	-	-	-	-	-	-	-	-	
996001	66(6,7,8)15 Title & Misc (EIP Right of Way)	58,509	-	-	-	-	-	-	-	-	
	66(6,7,8)15 Title & Misc (EIP Right of Way)	98,963	-	-	-	-	-	-	-	-	
995001	66(6,7,8)2_ Construction Mgt. (EIP Management of Construction Contracts)	-	-	-	-	-	-	-	-	-	
996001	66(6,7,8)2_ Construction Mgt. (EIP Management of Construction Contracts)	-	-	-	-	-	-	-	-	-	
	66(6,7,8)2_ Construction Mgt. (EIP Management of Construction Contracts)	-	-	-	-	-	-	-	-	-	
995001	66721 PB Preliminary Review CM Svcs Sched C	104,122	-	-	-	-	-	-	-	-	
996001	66721 PB Preliminary Review CM Svcs Sched C	149,389	-	-	-	-	-	-	-	-	
	66721 PB Preliminary Review CM Svcs Sched C	253,511	-	-	-	-	-	-	-	-	
995001	67200 PB General CM Svcs Sched C	4,977,605	-	60,800	-	-	-	-	-	60,800	
996001	67200 PB General CM Svcs Sched C	1,571,875	-	19,200	-	-	-	-	-	19,200	
	67200 PB General CM Svcs Sched C	6,549,480	-	80,000	-	-	-	-	-	80,000	
995001	68200 PB General CM Svcs Sched B	2,142,073	-	46,248	-	18,240	-	-	-	64,488	
996001	68200 PB General CM Svcs Sched B	1,013,948	-	14,605	-	5,760	-	-	-	20,365	
	68200 PB General CM Svcs Sched B										

EXHIBIT A

Account Number	Line Item Description	Actual	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Discussion
		Five Years	2016-17 Budget	2016-17 Budget	2017-18 Budget	2017-18 Budget	2018-19 Budget	2018-19 Budget	2018-19 Budget	Three Years	
995001	66723	6,955	-	-	-	-	-	-	-	-	-
996001	66723	6,955	-	-	-	-	-	-	-	-	-
995001	67201	241,559	62,387	30,939	19,176	48,640	-	-	81,562	79,579	-
996001	67201	83,272	19,701	9,770	6,056	15,360	-	-	25,757	25,130	-
995001	68201	324,831	82,088	40,710	25,231	64,000	-	-	107,319	104,710	-
996001	68201	11,562	-	-	-	-	-	-	10,779	10,779	-
995001	68801	3,651	-	-	-	-	-	-	3,404	3,404	-
996001	68801	15,213	-	-	-	-	-	-	14,183	14,183	-
995001	68801	84,991	62,387	90,515	-	40,250	-	-	62,387	130,765	-
996001	68801	57,270	19,701	34,783	-	9,750	-	-	19,701	44,533	-
995001	68801	142,261	82,088	125,298	-	50,000	-	-	82,088	175,298	-
995001	68931	29,471	1,050,482	826,078	-	141,750	-	-	1,050,482	967,828	-
996001	68931	9,307	331,731	260,867	-	33,250	-	-	331,731	294,117	-
995001	68931	38,778	1,382,213	1,086,945	-	175,000	-	-	1,382,213	1,261,945	-
995001	66741	22,136	172	46,604	-	-	-	-	172	46,604	-
996001	66741	21,577	54	14,717	-	-	-	-	54	14,717	-
995001	66741	43,713	226	61,321	-	-	-	-	226	61,321	-
995001	66401	-	-	-	-	-	-	-	-	-	-
996001	66401	-	-	-	-	-	-	-	-	-	-
995001	66402	648	-	-	-	-	-	-	-	-	-
996001	66402	648	-	-	-	-	-	-	-	-	-
995001	66404	1,296	-	-	-	-	-	-	-	-	-
996001	66404	-	-	-	-	-	-	-	-	-	-
995001	66411	555,348	-	-	-	-	-	-	-	-	-
996001	66411	547,942	-	-	-	-	-	-	-	-	-
995001	66412	1,103,290	-	-	-	-	-	-	-	-	-
996001	66412	344,514	-	-	-	-	-	-	-	-	-
995001	66412	328,396	-	-	-	-	-	-	-	-	-
996001	66412	672,910	-	-	-	-	-	-	-	-	-
995001	67204	4,457,824	-	135,902	-	-	-	-	-	135,902	-
996001	67204	1,407,734	-	42,916	-	-	-	-	-	42,916	-
995001	68204	5,865,558	-	178,818	-	-	-	-	-	178,818	-
996001	68204	772,833	25,298	130,039	-	266,000	-	-	25,298	396,039	-
995001	68204	244,052	7,989	41,065	-	84,000	-	-	7,989	125,065	-
996001	68204	1,016,885	33,286	171,103	-	350,000	-	-	33,286	521,103	-
995001	68804	6,640,259	4,143,345	1,265,809	-	978,650	-	-	4,143,345	2,244,459	-
996001	68804	2,096,924	1,105,429	375,630	-	286,350	-	-	1,105,429	661,953	-
995001	68804	8,737,183	5,248,774	1,641,412	-	1,265,000	-	-	5,248,774	2,906,412	-
996001	66413	250,549	-	-	-	-	-	-	-	-	-
995001	66413	259,039	-	-	-	-	-	-	-	-	-
996001	66413	509,588	-	-	-	-	-	-	-	-	-
995001	6740(0,1)	313,805	781,109	1,160,883	90,844	1,630,200	-	-	871,953	2,791,083	-
996001	6740(0,1)	99,096	246,666	366,595	28,688	514,800	-	-	275,354	881,395	-
995001	6740(0,1)	412,901	1,027,775	1,527,478	119,532	2,145,000	-	-	1,147,307	3,672,478	-

EXHIBIT A

Account Number	Line Item Description	Actual	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Current (6/22/16)	Final	Discussion
		Five Years	2016-17 Budget	2016-17 Budget	2017-18 Budget	2017-18 Budget	2018-19 Budget	2018-19 Budget	2018-19 Budget	Three Years	
995001	6840(0,1)	139,548	-	160,228	-	351,120	-	-	-	511,348	-
996001	6840(0,1)	44,068	-	50,598	-	110,880	-	-	-	161,478	-
995001	6840(0,1)	183,616	-	210,826	-	462,000	-	-	-	672,826	-
996001	6890(0,1)	180,838	587,555	628,068	-	975,600	-	-	587,555	1,603,668	-
995001	6890(0,1)	151,011	137,822	159,008	-	284,400	-	-	137,822	443,408	-
996001	6890(0,1)	331,848	725,377	787,076	-	1,260,000	-	-	725,377	2,047,076	-
995001	6710X	1,832,814	297,500	222,654	135,115	281,200	-	-	432,615	503,854	-
996001	6710X	-	93,947	10,776	42,668	88,800	-	-	136,615	99,576	-
995001	6710X	1,832,814	391,448	233,430	177,783	370,000	-	-	569,230	603,430	-
995001	6810X	1,465,099	418,753	196,100	190,184	388,800	-	-	608,937	584,900	-
996001	6810X	-	132,238	60,000	60,058	91,200	-	-	192,296	151,200	-
995001	6810X	1,465,099	550,991	256,100	250,242	480,000	-	-	801,233	736,100	-
995001	6870X	4,276,174	1,103,472	905,382	501,160	535,800	-	-	1,604,632	1,441,182	-
996001	6870X	-	258,839	70,391	117,556	169,200	-	-	376,395	239,591	-
995001	6870X	4,276,174	1,362,311	975,773	618,716	705,000	-	-	1,981,027	1,680,773	-
995001	66718	2,500	9,222	38,000	4,189	-	-	-	13,411	38,000	-
996001	66718	2,500	2,912	12,000	1,323	-	-	-	4,235	12,000	-
995001	66718	5,000	12,135	50,000	5,511	-	-	-	17,646	50,000	-
995001	67205	3,296	-	-	-	-	-	-	-	-	-
996001	67205	1,041	-	-	-	-	-	-	-	-	-
995001	67205	4,336	-	-	-	-	-	-	-	-	-
995001	68205	2,057	-	-	-	-	-	-	-	-	-
996001	68205	2,057	-	-	-	-	-	-	-	-	-
995001	68205	4,114	-	-	-	-	-	-	-	-	-
995001	68805	2,912	-	-	-	-	-	-	-	-	-
996001	68805	2,818	-	-	-	-	-	-	-	-	-
995001	67510	478,940	-	-	-	-	-	-	-	-	-
996001	67510	151,244	-	-	-	-	-	-	-	-	-
995001	68510	260,285	-	-	-	-	-	-	-	-	-
996001	68510	82,195	-	-	-	-	-	-	-	-	-
995001	68610	342,481	-	-	-	-	-	-	-	-	-
996001	68610	251,526	145,066	190,000	-	152,000	-	-	145,066	342,000	-
995001	68610	79,429	34,028	60,000	-	48,000	-	-	34,028	108,000	-
996001	68610	330,956	179,094	250,000	-	200,000	-	-	179,094	450,000	-
995001	66552	82,250	-	12,160	-	-	-	-	-	12,160	-
996001	66552	266,250	56,000	67,840	-	-	-	-	56,000	67,840	-
995001	66552	348,500	56,000	80,000	-	-	-	-	56,000	80,000	-
995001	67500	43,961,382	8,086,787	2,668,441	-	1,778,400	-	-	8,086,787	4,446,841	-
996001	67500	13,882,542	2,553,722	842,666	-	561,600	-	-	2,553,722	1,404,266	-
995001	67500	57,843,923	10,640,509	3,511,107	-	2,340,000	-	-	10,640,509	5,851,107	-
995001	67501	1,370,514	-	137,295	-	-	-	-	-	137,295	-
996001	67501	432,794	-	43,356	-	-	-	-	-	43,356	-
995001	67501	1,803,308	-	180,651	-	-	-	-	-	180,651	-
995001	67520	28,317	-	-	-	-	-	-	-	-	-
996001	67520	8,942	-	-	-	-	-	-	-	-	-
995001	67520	37,260	-	-	-	-	-	-	-	-	-

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
995001	68500 EIP Construction Contract Project Area B	25,115,259	-	7,007	-	-	-	-	-	7,007	
996001	68500 EIP Construction Contract Project Area B	7,931,135	-	2,213	-	-	-	-	-	2,213	
	68500 EIP Construction Contract Project Area B	33,046,394	-	9,219	-	-	-	-	-	9,219	
995001	68501 EIP Construction Util Relocations - B	64,082	-	-	-	-	-	-	-	-	
996001	68501 EIP Construction Util Relocations - B	20,236	-	-	-	-	-	-	-	-	
	68501 EIP Construction Util Relocations - B	84,318	-	-	-	-	-	-	-	-	
995001	68600 EIP Construction Contract Project Area D	27,031,115	7,387,728	12,382,309	-	-	-	-	7,387,728	12,382,309	
996001	68600 EIP Construction Contract Project Area D	24,099,177	1,732,924	9,220,016	-	-	-	-	1,732,924	9,220,016	
	68600 EIP Construction Contract Project Area D	51,130,292	9,120,652	21,602,324	-	-	-	-	9,120,652	21,602,324	
995001	68601 EIP Construction Util Relocations - D	158,224	35,589	60,800	-	125,400	-	-	35,589	186,200	
996001	68601 EIP Construction Util Relocations - D	133,713	8,348	61,525	-	39,600	-	-	8,348	101,125	
	68601 EIP Construction Util Relocations - D	291,937	43,937	122,325	-	165,000	-	-	43,937	287,325	
995001	68930 EIP/UFRR - FRWLP Final Completion Report	-	28,350	-	48,600	72,200	-	-	76,950	72,200	
996001	68930 EIP/UFRR - FRWLP Final Completion Report	-	6,650	-	11,400	22,800	-	-	18,050	22,800	
	68930 EIP/UFRR - FRWLP Final Completion Report	-	35,000	-	60,000	95,000	-	-	95,000	95,000	
995001	68940 OWA - FSR Improvements - Construction	-	1,701,000	1,620,000	1,701,000	760,000	-	-	3,402,000	2,380,000	
996001	68940 OWA - FSR Improvements - Construction	-	399,000	380,000	399,000	240,000	-	-	798,000	620,000	
	68940 OWA - FSR Improvements - Construction	-	2,100,000	2,000,000	2,100,000	1,000,000	-	-	4,200,000	3,000,000	
995001	6XXXX OWA - FSR Design	-	106,400	106,400	-	45,600	-	-	152,000	152,000	
996001	6XXXX OWA - FSR Design	-	33,600	33,600	-	14,400	-	-	48,000	48,000	
	6XXXX OWA - FSR Design	-	140,000	140,000	-	60,000	-	-	200,000	200,000	
995001	68941 OWA - FSR Improvements - Program Management	-	-	302,896	-	152,000	-	-	-	454,896	
996001	68941 OWA - FSR Improvements - Program Management	-	-	95,066	-	48,000	-	-	-	143,066	
	68941 OWA - FSR Improvements - Program Management	-	-	397,962	-	200,000	-	-	-	597,962	
995001	6XXXX OWA - FSR Environmental Monitoring	-	-	230,600	-	76,000	-	-	-	306,600	
996001	6XXXX OWA - FSR Environmental Monitoring	-	-	66,437	-	24,000	-	-	-	90,437	
	6XXXX OWA - FSR Environmental Monitoring	-	-	297,036	-	100,000	-	-	-	397,036	
995001	6XXXX TBD-Construction (EIP Construction Contracts)	-	-	-	-	-	-	-	-	-	
996001	66(6,7,8)9 TBD-Construction (EIP Construction Contracts)	-	-	-	-	-	-	-	-	-	
	66(6,7,8)9 TBD-Construction (EIP Construction Contracts)	-	-	-	-	-	-	-	-	-	
	Sub-Total Professional Services	233,943,846	40,773,316	42,844,336	4,886,506	16,149,479	-	-	45,659,822	58,993,815	
	Tools, Supplies & Equip. < \$5,000	-	-	-	-	-	-	-	-	-	
	Sub-Total Materials, Supplies & Services	233,943,846	40,773,316	42,844,336	4,886,506	16,149,479	-	-	45,659,822	58,993,815	
	Equipment	-	-	-	-	-	-	-	-	-	
	Sub-Total Capital/Small Equipment Items	-	-	-	-	-	-	-	-	-	
	Sub-Total-Soft Costs	233,943,846	40,773,316	42,844,336	4,886,506	16,149,479	-	-	45,659,822	58,993,815	
	Capital Projects	-	-	-	-	-	-	-	-	-	
	Sub-Total-Projects	-	-	-	-	-	-	-	-	-	
	Total Capital Expenditures	233,943,846	40,773,316	42,844,336	4,886,506	16,149,479	-	-	45,659,822	58,993,815	

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) Three Years	Final Three Years	Discussion
	Transfer in fm Oper Fd to Support Capital Soft Costs (58,995)	-	-	-	-	-	-	-	-	-	
	Transfer in fm Star Bend to Support Capital Soft Costs 73,297	-	-	-	-	-	-	-	-	-	
	Transfer out to Corps Study -	-	-	-	-	-	-	-	-	-	
	Transfer out to Regional Planning -	-	-	-	-	-	-	-	-	-	
	Transfer out to Support Operations (119,244)	-	-	-	-	-	-	-	-	-	
	Capital Revenues Over <Under> Expenditures	(64,772,308)	11,196,561	5,370,153	11,861,927	14,382,560	5,750,000	20,169,919	28,806,488	39,922,632	
	Financing Activities Related Items	-	-	-	-	-	-	-	-	-	
	Net Proceeds from the Issuance of New Debt 104,420,101	-	-	-	-	-	-	-	-	-	
	Short/Long Term Debt Repayment (20,912,485)	-	-	-	-	-	-	-	-	-	
	Cost of Financing (1,303,228)	-	-	-	-	-	-	-	-	-	
	Interest Paid on Outstanding Debt (8,062,870)	(4,100,056)	(3,154,178)	(4,104,081)	(4,104,081)	(4,104,081)	(5,468,181)	(5,468,181)	(13,672,319)	(12,726,441)	
	Net Financing Activities	74,141,518	(4,100,056)	(3,154,178)	(4,104,081)	(4,104,081)	(5,468,181)	(5,468,181)	(13,672,319)	(12,726,441)	
	Working Capital - Beginning of Period 12,267,107	21,636,317	21,636,317	28,732,822	23,852,292	36,490,668	34,130,771	21,636,317	21,636,317	21,636,317	
	Working Capital - End of Period Before Financing (52,505,201)	32,832,878	27,006,470	40,594,749	38,234,852	42,240,668	54,300,690	50,444,805	61,558,949	61,558,949	
	Working Capital - End of Period After Financing 21,636,317	28,732,822	23,852,292	36,490,668	34,130,771	36,772,486	48,832,509	36,772,486	48,832,509	48,832,509	
	Variance - Before Financing (64,772,308)	11,196,561	5,370,153	11,861,927	14,382,560	5,750,000	20,169,919	28,806,488	39,922,632	39,922,632	
	Variance - After Financing 9,369,210	7,096,505	2,215,975	7,757,846	10,278,479	281,819	14,701,738	15,136,169	27,196,192	27,196,192	

**SBFCA CAPITAL FUND - STAKEHOLDER MANAGEMENT PROGRAM (731-99-1068)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
May 10, 2017

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Year Budget	Final 3 Year Budget	Discussion
<b>Revenues:</b>											
43530	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Local Credit)										
43531	State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (State Share)										
43717	Local Intergovernmental Contributions										
45520	Assessment District Proceeds/Bond Anticipation Notes										
46110	Interest on Investments										
49010	Other Revenue (From Outside Agencies)	61,750									
	<b>Total Capital Revenues</b>	<b>61,750</b>									
<b>Expenditures (Capital Project Soft Costs):</b>											
991068											
65637	Stakeholder Management Efforts: FEMA Zone Reform (Downey Brand)	27,894		1,680							1,680
65638	Stakeholder Management Efforts: Fed Credit Reform (Downey Brand)	7,676									
65939	Stakeholder Management Efforts: Other	20,750	25,000	23,320					25,000	23,320	
	Sub-Total Professional Services	<b>56,320</b>	<b>25,000</b>	<b>25,000</b>					<b>25,000</b>	<b>25,000</b>	
	Tools, Supplies & Equip. < \$5,000										
	<b>Sub-Total Materials, Supplies &amp; Services</b>	<b>56,320</b>	<b>25,000</b>	<b>25,000</b>					<b>25,000</b>	<b>25,000</b>	
	Equipment										
	<b>Sub-Total Capital/Small Equipment Items</b>										
	<b>Sub-Total-Soft Costs</b>	<b>56,320</b>	<b>25,000</b>	<b>25,000</b>					<b>25,000</b>	<b>25,000</b>	
	<b>Capital Projects</b>										
	<b>Sub-Total-Projects</b>										
	<b>Total Capital Expenditures</b>	<b>56,320</b>	<b>25,000</b>	<b>25,000</b>					<b>25,000</b>	<b>25,000</b>	
	Transfer in fm Oper Fd to Support Capital Soft Costs										
	Transfer out to Support Operations										
	Transfer out to Support EIP Project										
	<b>Capital Revenues Over &lt;Under&gt; Expenditures</b>	<b>5,430</b>	<b>(25,000)</b>	<b>(25,000)</b>					<b>(25,000)</b>	<b>(25,000)</b>	
	Working Capital - Beginning of Period		5,430	5,430	(19,570)	(19,570)	(19,570)		5,430	5,430	
	Working Capital - End of Period	5,430	(19,570)	(19,570)	(19,570)	(19,570)	(19,570)		(19,570)	(19,570)	
	Variance	5,430	(25,000)	(25,000)					(25,000)	(25,000)	

Denotes item with remaining authorized budget from FY 13/14 that has been carried over to FY 14/15 in order to provide a comparison of the Final amended 5-Year Budget to the current 5-Year budget.

**SBFCA CAPITAL FUND - REGIONAL FLOOD MANAGEMENT PLANNING PROGRAM (731-99-2001)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
May 10, 2017

Account Number	Line Item Description	Actual Five Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) Two Years	Final Two Years	Discussion
<b>Revenues:</b>									
43530	State Intergovernmental Funds - EIP Grant Funds - Prop.84/Prop.1E (Local Credit)								
43531	State Intergovernmental Funds - EIP Grant Funds - Prop.84/Prop.1E (State Share)								
43534	State Intergovernmental Funds - Directed RFMP Grant (Prop 1E)	658,184	281,759	281,759	41,645	543,584	323,404	825,342	
43717	Local Intergovernmental Contributions								
45520	Assessment District Revenues								
	<b>Total Capital Revenues</b>	<b>658,184</b>	<b>281,759</b>	<b>281,759</b>	<b>41,645</b>	<b>543,584</b>	<b>323,404</b>	<b>825,342</b>	
<b>Expenditures (Capital Project Soft Costs):</b>									
99-2001									
65640	Regional Planning Grant Application/WIK		9,000	10,901			9,000	10,901	
	Executive Director Allocation Time		9,000				9,000		
	Director of Engineering Allocation Time								
	Admin Manager Allocation Time								
	Larsen Wurzel & Assoc.								
	Kim Floyd Communications								
	Downey Brand								
	MBK								
65641	Regional Planning T1: Program Management	284,277	47,751	45,707		6,281	47,751	51,988	
65642	Regional Planning T2: Outreach & Data Collection	171,289	27,100	40,145		135	27,100	40,279	
65643	Regional Planning T3: Plan Formulation	213,601							
65644	Regional Planning T4: Financial Plan	112,750	26,881				26,881		
65645	Regional Planning T5: Governance	25,393	70,597	39,432		60,174	70,597	99,607	
65646	Regional Planning T6: Institutional Barriers	42,643	58,261	58,261		24,097	58,261	82,357	
	Sub-Total Professional Services	<b>849,953</b>	<b>239,591</b>	<b>194,445</b>		<b>90,687</b>	<b>239,591</b>	<b>285,131</b>	
	Tools, Supplies & Equip. < \$5,000								
	<b>Sub-Total Materials, Supplies &amp; Services</b>	<b>849,953</b>	<b>239,591</b>	<b>194,445</b>		<b>90,687</b>	<b>239,591</b>	<b>285,131</b>	
	Equipment								
	<b>Sub-Total Capital/Small Equipment Items</b>								
	<b>Sub-Total-Soft Costs</b>	<b>849,953</b>	<b>239,591</b>	<b>194,445</b>		<b>90,687</b>	<b>239,591</b>	<b>285,131</b>	
	<b>Capital Projects</b>								
	<b>Sub-Total-Projects</b>								
	<b>Total Capital Expenditures</b>	<b>849,953</b>	<b>239,591</b>	<b>194,445</b>		<b>90,687</b>	<b>239,591</b>	<b>285,131</b>	
	Transfer in fm Oper Fd to Support Capital Soft Costs								
	Transfer out to Support Operations								
	Transfer out to Support EIP Project								
	<b>Capital Revenues Over &lt;Under&gt; Expenditures</b>	<b>(191,768)</b>	<b>42,168</b>	<b>87,314</b>	<b>41,645</b>	<b>452,897</b>	<b>83,813</b>	<b>540,211</b>	
	Working Capital - Beginning of Period		(191,768)	(318,677)	(149,600)	(231,363)	(191,768)	(191,768)	
	Working Capital - End of Period	(191,768)	(149,600)	(231,363)	(107,955)	221,534	(107,955)	348,443	
	Variance	(191,768)	42,168	87,314	41,645	452,897	83,813	540,211	

Denotes item with remaining authorized budget from FY 13/14 that has been carried over to FY 14/15 in order to provide a comparison of the Final amended 5-Year Budget to the current 5-Year budget.

**SBFCA CAPITAL FUND - EMERGENCY RESPONSE PLANNING PROGRAM (731-99-2002)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual Five Years	Final 2016-17 Budget	Discussion
<b>Revenues:</b>				
43535	State Revenues - Flood Emergency Response Grant	128,195	(61,252)	*Neg Income Due to Accrual
<b>Total Capital Revenues</b>		<b>128,195</b>	<b>(61,252)</b>	
<b>Expenditures (Capital Project Soft Costs):</b>				
99-2002				
65650	David Ford Flood Safety Plan	132,392		
65651	Live Oak Generator	-		
65652	Gridley Generator	-		
65653	Admin & Contingency	4,449	94	
<b>Sub-Total Professional Services</b>		<b>136,841</b>	<b>94</b>	
Tools, Supplies & Equip. < \$5,000				
<b>Sub-Total Materials, Supplies &amp; Services</b>		<b>136,841</b>	<b>94</b>	
Equipment				
<b>Sub-Total Capital/Small Equipment Items</b>		<b>-</b>	<b>-</b>	
<b>Sub-Total-Soft Costs</b>		<b>136,841</b>	<b>94</b>	
<b>Capital Projects</b>				
<b>Sub-Total-Projects</b>		<b>-</b>	<b>-</b>	
<b>Total</b>		<b>136,841</b>	<b>94</b>	
Transfer in fm Oper Fd to Support Capital Soft Costs				
Transfer out to Support Operations				
Transfer out to Support EIP Project				
<b>Capital Revenues Over &lt;Under&gt; Expenditures</b>		<b>(8,646)</b>	<b>(61,345)</b>	
Working Capital - Beginning of Period				
		-	(8,646)	
<b>Working Capital - End of Period</b>		<b>(8,646)</b>	<b>(69,991)</b>	
<b>Variance</b>		<b>(8,646)</b>	<b>(61,345)</b>	

Denotes item with remaining authorized budget from FY 13/14 that has been carried over to FY 14/15 in order to provide a comparison of the Final amended 5-Year

**SBFCA CAPITAL FUND - LAUREL FSRP (LAUREL-FSRP) (731-2004)\*  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual 2 Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
<b>Revenues:</b>											
43537	State Revenue - L-FSRP Prop 1E Grant	-	7,225,000	4,188,375	3,917,072	3,917,072	-	-	11,142,072	8,105,447	
<b>Total Capital Revenues</b>		<b>-</b>	<b>7,225,000</b>	<b>4,188,375</b>	<b>3,917,072</b>	<b>3,917,072</b>	<b>-</b>	<b>-</b>	<b>11,142,072</b>	<b>8,105,447</b>	
<b>Expenditures (Capital Project Soft Costs):</b>											
65681	Task 1.1 - L FSRP Project Mngmnt - SBFCA Staff Time	9,374	55,050	17,252	-	46,795	-	-	55,050	64,047	
	Exec Dir	2,815	27,585	9,250	-	13,108	-	-	27,585	22,358	
	Dir of Eng	6,432	27,465	8,003	-	13,461	-	-	27,465	21,463	
	Admin Mgr	127	-	-	-	20,226	-	-	-	20,226	
65682	Task 1.1 - L FSRP Project Management - Non Staff	83,768	91,931	73,126	-	125,708	-	-	91,931	198,834	
	PBI	28,361	48,186	16,353	-	26,922	-	-	48,186	43,275	
	LWA	30,135	10,412	23,440	-	40,452	-	-	10,412	63,892	
	IPE	25,273	33,333	33,333	-	58,333	-	-	33,333	91,667	
65688	Task 1.1 - L FSRP Project Mngmnt - Closeout	-	100,000	-	-	80,000	-	-	100,000	80,000	
	BRI	-	25,000	-	-	20,000	-	-	25,000	20,000	
	PB CM	-	25,000	-	-	20,000	-	-	25,000	20,000	
	HDR DSDC	-	25,000	-	-	20,000	-	-	25,000	20,000	
	ICF Env Monitoring	-	25,000	-	-	20,000	-	-	25,000	20,000	
	IPE	-	-	-	-	20,000	-	-	-	20,000	
65689	Task 1.2 - L FSRP Overhead	28,853	28,828	34,186	-	15,814	-	-	28,828	50,000	
	In-Direct Overhead & Admin	28,853	28,828	34,186	-	15,814	-	-	28,828	50,000	
65683	Task 2.1 - L FSRP Design	465,339	134,784	34,661	-	-	-	-	134,784	34,661	
	HDR TO14	465,339	134,784	34,661	-	-	-	-	134,784	34,661	
65684	Task 2.2 - L FSRP Environmental	236,764	28,480	82,243	-	-	-	-	28,480	82,243	
	ICF TO17	236,764	28,480	82,243	-	-	-	-	28,480	82,243	
65690	Task 2.3 - L FSRP Environmental Mitigation/Fees	-	421,103	530,993	-	-	-	-	421,103	530,993	
	Environmental Mitigation Fees	-	421,103	530,993	-	-	-	-	421,103	530,993	
65691	Task 2.4 - L FSRP Archeological Investigations/Explorations	36,592	139,204	187,803	-	375,605	-	-	139,204	563,408	
	Archeological Investigations/Explorations	36,592	139,204	187,803	-	375,605	-	-	139,204	563,408	
65692	Task 3.1 - L FSRP Right-of-Way	-	50,000	50,000	-	50,000	-	-	50,000	100,000	
	Capital Cost of Acquisition (Land/TCE)	-	50,000	50,000	-	50,000	-	-	50,000	100,000	
65685	Task 3.2 - L FSRP Right-of-Way	124,809	211,729	101,437	-	80,333	-	-	211,729	181,770	
	HDR TO14	108,189	91,939	100,223	-	26,778	-	-	91,939	127,001	
	BRI TO5	9,832	60,000	1,214	-	26,778	-	-	60,000	27,991	
	Downey Brand	6,789	59,790	-	-	26,778	-	-	59,790	26,778	
65686	Task 4 - L FSRP Construction	-	6,620,000	2,209,902	-	4,410,098	-	-	6,620,000	6,620,000	
	Construction Contractor / Relocations	-	6,620,000	2,209,902	-	4,410,098	-	-	6,620,000	6,620,000	
65687	Task 5 - L FSRP Construction Management	17,804	1,865,000	355,262	-	1,333,547	-	-	1,865,000	1,688,809	
	Handon / PB CM	17,804	806,667	167,762	-	583,547	-	-	806,667	751,309	
	HDR DSDC	-	525,000	95,833	-	383,333	-	-	525,000	479,167	
	ICF Env Monitoring	-	533,333	91,667	-	366,667	-	-	533,333	458,333	
	IPE	-	-	-	-	-	-	-	-	-	
	Diepenbrock	545	-	-	-	-	-	-	-	-	
Contingency											

SBFCA CAPITAL FUND - LAUREL FSRP (LAUREL-FSRP) (731-2004)\*  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19

May 10, 2017

Account Number	Line Item Description	Actual 2 Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
	Sub-Total Professional Services	1,003,848	9,745,909	3,676,863	-	6,537,901	-	-	9,745,909	10,214,764	
	Tools, Supplies & Equip. < \$5,000										
	Sub-Total Materials, Supplies & Services	1,003,848	9,745,909	3,676,863	-	6,537,901	-	-	9,745,909	10,214,764	
	Equipment										
	Sub-Total Capital/Small Equipment Items	-	-	-	-	-	-	-	-	-	
	Sub-Total-Soft Costs	1,003,848	9,745,909	3,676,863	-	6,537,901	-	-	9,745,909	10,214,764	
	Sub-Total-Projects	-	-	-	-	-	-	-	-	-	
	Total Capital Expenditures	1,003,848	9,745,909	3,676,863	-	6,537,901	-	-	9,745,909	10,214,764	
	Transfer in fm EIP to Support Capital Costs	-	-	-	-	-	-	-	-	-	
	Capital Revenues Over <Under> Expenditures	(1,003,848)	(2,520,909)	511,512	3,917,072	(2,620,828)	-	-	1,396,163	(2,109,317)	
	Working Capital - Beginning of Period	-	(1,003,848)	(1,003,848)	(3,524,758)	(492,337)	392,315	(3,113,165)	(1,003,848)	(1,003,848)	
	Working Capital - End of Period	(1,003,848)	(3,524,758)	(492,337)	392,315	(3,113,165)	392,315	(3,113,165)	392,315	(3,113,165)	
	Variance	(1,003,848)	(2,520,909)	511,512	3,917,072	(2,620,828)	-	-	1,396,163	(2,109,317)	

SBFCA CAPITAL FUND - OROVILLE WILDLIFE AREA (731-2005,7)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19

May 10, 2017

Account Number	Line Item Description	Actual 2 Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
	<b>Revenues:</b>										
43536	State Revenue - YFFPP Prop 13 OWA FSR Grant	818,478	840,322	840,322	-	-	-	-	840,322	840,322	
4XXXX	State Revenue - WCB Grant		825,000	373,767	-	451,233	-	-	825,000	825,000	
4XXXX	DFW State Grant (Prop 1)		-	-	-	2,509,700	-	-	2,509,700	2,509,700	
4XXXX	Future State Grant for Rec & Restoration - WCB (Prop 1)		-	-	-	-	2,000,000	5,000,000	2,000,000	5,000,000	
	<b>Total Capital Revenues</b>	<b>818,478</b>	<b>1,665,322</b>	<b>1,214,089</b>	<b>-</b>	<b>2,960,933</b>	<b>2,000,000</b>	<b>5,000,000</b>	<b>1,665,322</b>	<b>9,175,022</b>	
99-2005	<b>FSR Grant Expenditures (Capital Project Soft Costs):</b>										
65661	OWA SBFCA Work in Kind	21,682	9,000	4,805	-	-	-	-	9,000	4,805	
	Exec Dir	13,677	5,000	2,526.49	-	-	-	-	5,000	2,526	
	Dir of Eng	7,782	4,000	2,278.93	-	-	-	-	4,000	2,279	
	Admin Mgr	222	-	-	-	-	-	-	-	-	
65662	OWA T1 Project Mgt	119,663	9,939	35,061	-	-	-	-	9,939	35,061	
	PBI	111,424	8,829	31,279.58	-	-	-	-	8,829	31,280	
	LWA	8,239	1,110	3,781.25	-	-	-	-	1,110	3,781	
65663	OWA T2 Land Agreement	8,849	12,216	11,787	-	-	-	-	12,216	11,787	
	PBI	3,917	4,948	1,010.63	-	-	-	-	4,948	1,011	
	Downey Brand	4,932	7,268	10,776.50	-	-	-	-	7,268	10,777	
65664	OWA T3.1 Hydraulics	107,681	1,419	-	-	-	-	-	1,419	-	
	PBI	107,681	1,419	-	-	-	-	-	1,419	-	
65665	OWA T3.2 Alternatives Analysis	281,189	-	-	-	-	-	-	-	-	
	PBI	281,189	-	-	-	-	-	-	-	-	
65666	OWA T3.3 NEPA/CEQA	255,851	256,540	112,419	-	-	-	-	256,540	112,419	
	PBI	63,226	117,987	73,598.26	-	-	-	-	117,987	73,598	
	ICF Jones and Stokes	191,401	109,057	38,820.24	-	-	-	-	109,057	38,820	
	Downey Brand	1,225	29,497	-	-	-	-	-	29,497	-	
65667	OWA T4.1 Civil Design	456,133	184,032	133,689	-	-	-	-	184,032	133,689	
	PBI	27,575	46,008	61,070.64	-	-	-	-	46,008	61,071	
	HDR/WR	428,558	138,024	72,618.67	-	-	-	-	138,024	72,619	
65668	OWA T4.2 Landscape / Restoration Design	127,222	171,626	3,255	-	-	-	-	171,626	3,255	
	PBI	-	-	3,254.90	-	-	-	-	-	3,255	
	River Partners (PBI)	127,222	171,626	-	-	-	-	-	171,626	-	
65602	Contingency	-	-	-	-	-	-	-	-	-	
	Subtotal FSR Grant Related Expenses	1,378,270	644,771	301,016	-	-	-	-	636,771	301,016	
	WIK	21,682	9,000	4,805	-	-	-	-	9,000	4,805	
	Subtotal FSR Grant Expenses Net WIK	1,356,588	635,771	296,211	-	-	-	-	627,771	296,211	

**SBFCA CAPITAL FUND - OROVILLE WILDLIFE AREA (731-2005,7)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**May 10, 2017**

Account Number	Line Item Description	Actual 2 Years	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
99-2007	<b>WCB Grant Expenditures (Capital Project Soft Costs):</b>										
68942	Project Management and Coordination PBI		825,000	101,452	-	110,000	-	-	825,000	211,452	
68943	Design - Bathymetry PBI			205,795	-	275,000	-	-		480,795	
68944	Design - Interior Channel and Berm Design PBI HDR			45,272	-	165,000	-	-		210,272	
6XXXX	Complete Restoration and Rec Improvements			-	-	2,509,700	2,000,000	5,000,000	2,000,000	7,509,700	
	Sub-Total Professional Services	1,378,270	1,469,771	653,535	-	3,059,700	2,000,000	5,000,000	3,469,771	8,713,235	
	Tools, Supplies & Equip. < \$5,000										
	Sub-Total Materials, Supplies & Services	1,378,270	1,469,771	653,535	-	3,059,700	2,000,000	5,000,000	3,469,771	8,713,235	
	Equipment										
	Sub-Total Capital/Small Equipment Items										
	Sub-Total-Soft Costs	1,378,270	1,469,771	653,535	-	3,059,700	2,000,000	5,000,000	3,469,771	8,713,235	
	Sub-Total-Projects										
	Total Capital Expenditures	1,378,270	1,469,771	653,535	-	3,059,700	2,000,000	5,000,000	3,469,771	8,713,235	
	Transfer in fm Oper Fd to Support Capital Soft Costs										
	Capital Revenues Over <Under> Expenditures	(559,793)	195,551	560,555	-	(98,767)	-	-	(1,804,449)	461,788	
	Working Capital - Beginning of Period	(3,161)	(562,954)	(562,954)	(367,402)	(2,399)	(367,402)	(101,166)	(562,954)	(562,954)	
	Working Capital - End of Period	(562,954)	(367,402)	(2,399)	(367,402)	(101,166)	(367,402)	(101,166)	(2,367,402)	(101,166)	
	Variance	(559,793)	195,551	560,555	-	(98,767)	-	-	(1,804,449)	461,788	

**SBFCA CAPITAL FUND - GRIDLEY BRIDGE SITE PROJECT (GBSP) (731-2006)**  
**FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**May 10, 2017**

Account Number	Line Item Description	Actual 2 Year	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Discussion
	<b>Revenues:</b>								
43537	Slate Revenue - YFFPP Prop 13 GBSP Grant	271,832	-	158,169	-	-	-	158,169	
	Total Capital Revenues	271,832	-	158,169	-	-	-	158,169	
99-2006	<b>Expenditures (Capital Project Soft Costs):</b>								
65670	GBSP T1 Surveying HDR/WR	26,970	-	-	-	-	-	-	
65671	GBSP T2 60% Design HDR/WR	187,060	-	-	-	-	-	-	
65672	GBSP T3 IPE Review IPE Review	12,416	-	-	-	-	-	-	
65673	GBSP T4 Environmental Assessment ICF Jones and Stokes	110,142	-	-	-	-	-	-	
65674	GBSP T5 Permitting ICF Jones and Stokes Misc Permitting	126,220	-	-	-	-	-	-	
65675	GBSP T6 Final Design HDR/WR	15,196	-	-	-	-	-	-	
65676	GBSP T7 Project Management Exec Dir Dir of Eng Admin Mgr LWA - Grant Admin DB - Legal Support Misc Consultant Support Contingency	21,109	-	3,987	-	2,411.34	-	3,987	
		1,114	-	-	-	-	-	2,411	
		1,366	-	-	-	-	-	-	
		-	-	-	-	-	-	-	
		11,432	-	1,445.00	-	-	-	1,445	
		-	-	-	-	-	-	-	
		7,197	-	130.35	-	-	-	130	
		-	-	-	-	-	-	-	

**SBFCA CAPITAL FUND - GRIDLEY BRIDGE SITE PROJECT (GBSP) (731-2006)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Actual 2 Year	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
	Sub-Total Professional Services	499,114	-	3,987	-	3,987	
	Tools, Supplies & Equip. < \$5,000						
	<b>Sub-Total Materials, Supplies &amp; Services</b>	499,114	-	3,987	-	3,987	
	Equipment						
	<b>Sub-Total Capital/Small Equipment Items</b>	-	-	-	-	-	
	<b>Sub-Total-Soft Costs</b>	499,114	-	3,987	-	3,987	
	<b>Sub-Total-Projects</b>	-	-	-	-	-	
	<b>Total Capital Expenditures</b>	499,114	-	3,987	-	3,987	
	Transfer in fm Oper Fd to Support Capital So	-	-	-	-	-	
	<b>Capital Revenues Over &lt;Under&gt; Expenditures</b>	(227,282)	-	154,183	-	154,183	
	Working Capital - Beginning of Period	-	(227,282)	(227,282)	(227,282)	(227,282)	
	Working Capital - End of Period	(227,282)	(227,282)	(73,099)	(227,282)	(73,099)	
	<b>Variance</b>	(227,282)	-	154,183	-	154,183	

**SBFCA CAPITAL FUND - ULOP & ACCREDITATION PROGRAM (731-XXXY)  
FINAL AMENDED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19  
May 10, 2017**

Account Number	Line Item Description	Current (6/22/16) 2016-17 Budget	Final 2016-17 Budget	Current (6/22/16) 2017-18 Budget	Final 2017-18 Budget	Current (6/22/16) 2018-19 Budget	Final 2018-19 Budget	Current (6/22/16) 3 Years	Final 3 Years	Discussion
<b>Revenues:</b>										
4XXY	All Locally Funded									
	<b>Total Capital Revenues</b>	-	-	-	-	-	-	-	-	
<b>Expenditures (Capital Project Soft Costs):</b>										
99-XXXY	<b>65678 ULOP Adequate Progress Findings</b>	175,000	10,000	5,000	170,000	2,222	61,111	423,333	241,111	
	LWA	58,333	2,500	1,667	57,500	-	19,630	60,000	79,630	
	PBI	58,333	2,500	1,667	57,500	-	19,630	60,000	79,630	
	IPE	58,333	2,500	1,667	55,000	2,222	21,852	62,222	79,352	
	MHM	-	2,500	-	-	-	-	-	2,500	
99-XXXY	<b>65679 ULDC Certification</b>	13,043	11,000	23,913	25,957	10,870	8,710	47,826	45,667	
	PBI	4,348	2,000	7,971	10,319	3,623	2,903	15,942	15,222	
	Wood Rogers	4,348	7,000	7,971	5,319	3,623	2,903	15,942	15,222	
	Other	4,348	2,000	7,971	10,319	3,623	2,903	15,942	15,222	
99-XXXY	<b>65680 FEMA Accreditation</b>	87,560	45,000	31,297	73,857	27,429	29,480	146,286	148,337	
	PBI	29,187	15,000	10,432	24,619	9,143	9,827	48,762	49,446	
	HDR	29,187	15,000	10,432	24,619	9,143	9,827	48,762	49,446	
	Other	29,187	15,000	10,432	24,619	9,143	9,827	48,762	49,446	
	Sub-Total Professional Services	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
	Tools, Supplies & Equip. < \$5,000									
	<b>Sub-Total Materials, Supplies &amp; Services</b>	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
	Equipment									
	<b>Sub-Total Capital/Small Equipment Items</b>	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
	<b>Sub-Total-Soft Costs</b>	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
	<b>Sub-Total-Projects</b>	-	-	-	-	-	-	-	-	
	<b>Total Capital Expenditures</b>	275,604	66,000	60,210	269,814	40,520	99,301	376,334	435,115	
	Transfer in fm Oper Fd to Support Capital Soft Costs									
	Transfer out to Support Operations									
	Transfer in fm EIP to Support Capital Costs									
	<b>Capital Revenues Over &lt;Under&gt; Expenditures</b>	(275,604)	(66,000)	(60,210)	(269,814)	(40,520)	(99,301)	(376,334)	(435,115)	
	Working Capital - Beginning of Period	-	-	(275,604)	(66,000)	(335,814)	(335,814)	-	-	
	Working Capital - End of Period	(275,604)	(66,000)	(335,814)	(335,814)	(376,334)	(435,115)	(376,334)	(435,115)	
	<b>Variance</b>	(275,604)	(66,000)	(60,210)	(269,814)	(40,520)	(99,301)	(376,334)	(435,115)	



**SUTTER BUTTE FLOOD CONTROL AGENCY**  
**CASH FLOWS FOR FISCAL YEARS 2016-17 THROUGH 2018-19**  
**SUPPORTING AMENDED BUDGET REQUIREMENTS**

SBFCA REVENUES/EXPENDITURES, FORECAST, AND CASH FLOW

**EXHIBIT A**

CASH FLOW SUMMARY - 2016-17 FY		July	August	September	October	November	December	January	February	March	April	May	June	Available 6/30/2017
<b>OPERATIONS</b>														
	Beginning Working Capital	3,282,035	3,272,249	3,270,708	3,231,115	3,181,710	3,144,642	3,123,169	3,333,202	3,793,723	3,739,155	3,686,081	3,636,570	3,282,035
	Total Income	-	-	-	-	-	12,697	231,335	505,968	-	-	-	-	750,000
	Total Expenses	(9,766)	(1,541)	(39,292)	(49,460)	(37,668)	(34,179)	(21,202)	(48,448)	(54,869)	(53,074)	(49,511)	(206,289)	(601,750)
	Ending Working Capital	3,272,249	3,270,708	3,231,115	3,181,710	3,144,642	3,123,169	3,333,202	3,793,723	3,739,155	3,686,081	3,636,570	3,430,285	3,430,285
<b>CAPITAL - USACE STUDY</b>														
	Beginning Working Capital	(908,062)	(508,121)	(508,238)	(508,473)	(509,189)	(509,306)	(509,520)	(509,570)	(509,570)	(509,570)	(509,570)	(509,570)	(508,062)
	Total Expenses	(59)	(117)	(235)	(117)	(117)	(222)	(42)	-	(12,048)	-	-	-	(13,586)
	Ending Working Capital	(908,121)	(508,238)	(508,473)	(509,189)	(509,306)	(509,520)	(509,570)	(509,570)	(521,618)	(521,618)	(521,618)	(521,618)	(521,618)
<b>CAPITAL - STAKEHOLDER MANAGEMENT EFFORTS</b>														
	Beginning Working Capital	5,430	5,430	5,430	5,430	5,430	5,430	5,430	5,430	5,430	3,750	3,750	3,750	5,430
	Total Expenses	-	-	-	-	-	-	-	-	(1,600)	-	-	-	(23,320)
	Ending Working Capital	5,430	5,430	5,430	5,430	5,430	5,430	5,430	5,430	3,750	3,750	3,750	3,750	(19,570)
<b>CAPITAL - REGIONAL PLANNING EFFORTS</b>														
	Beginning Working Capital	(318,677)	(320,202)	(321,954)	(334,751)	(357,227)	(374,955)	(384,381)	(386,324)	(392,960)	(416,466)	(454,424)	(497,801)	(318,677)
	Total Income	-	-	-	-	-	-	-	-	-	-	-	-	281,759
	Total Expenses	(1,528)	(1,762)	(12,790)	(22,478)	(17,728)	(9,428)	(1,843)	(6,636)	(23,606)	(37,268)	(43,377)	(116,221)	(194,445)
	Ending Working Capital	(320,202)	(321,954)	(334,751)	(357,227)	(374,955)	(384,381)	(386,324)	(392,960)	(416,466)	(454,424)	(497,801)	(521,363)	(231,363)
<b>CAPITAL - EMERGENCY RESPONSE EFFORTS</b>														
	Beginning Working Capital	(8,646)	(84,141)	(84,141)	(84,141)	(89,897)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(8,646)
	Total Income	(75,498)	-	-	14,244	-	-	-	-	-	-	-	-	(81,252)
	Total Expenses	-	-	-	-	(94)	-	-	-	-	-	-	-	(94)
	Ending Working Capital	(84,141)	(84,141)	(84,141)	(89,897)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)	(89,991)
<b>CAPITAL - OROVILLE WILDLIFE AREA RESTORATION</b>														
	Beginning Working Capital	(862,954)	(563,361)	(283,317)	(284,135)	(319,919)	(254,489)	(255,646)	(307,892)	(365,161)	(459,497)	(601,531)	(233,097)	(862,954)
	Total Income	-	281,550	-	-	120,894	-	-	-	-	-	-	437,879	1,214,089
	Total Expenses	(407)	(1,506)	(818)	(35,784)	(85,464)	(1,157)	(82,246)	(87,269)	(94,336)	(142,034)	(89,444)	(143,089)	(653,535)
	Ending Working Capital	(863,361)	(283,317)	(284,135)	(319,919)	(254,489)	(255,646)	(307,892)	(395,151)	(459,497)	(601,531)	(233,097)	(2,399)	(2,399)
<b>CAPITAL - LAUREL CYPRESS FNSP</b>														
	Beginning Working Capital	(1,003,848)	3,176,880	2,699,226	2,694,437	2,648,223	2,604,474	2,602,634	2,599,312	2,584,240	2,569,086	2,460,070	2,346,981	(1,003,848)
	Total Income	4,188,375	-	-	-	-	-	-	-	-	-	-	-	4,188,375
	Total Expenses	(7,647)	(477,654)	(4,789)	(46,214)	(43,749)	(1,840)	(12,322)	(6,072)	(15,154)	(109,016)	(113,090)	(2,639,317)	(3,676,863)
	Ending Working Capital	3,176,880	2,699,226	2,694,437	2,648,223	2,604,474	2,602,634	2,599,312	2,584,240	2,569,086	2,460,070	2,346,981	(492,337)	(492,337)
<b>GRSP - GRIDLEY BRIDGE IMPLEMENTATION</b>														
	Beginning Working Capital	(227,282)	(227,575)	(228,696)	(230,314)	(114,514)	(114,776)	(114,776)	(114,869)	(114,869)	(114,869)	(114,869)	(114,869)	(227,282)
	Total Income	-	-	-	116,399	-	-	-	-	-	-	-	-	158,169
	Total Expenses	(293)	(1,121)	(1,618)	(599)	(263)	-	(93)	-	-	-	-	-	(3,987)
	Ending Working Capital	(227,575)	(228,696)	(230,314)	(114,514)	(114,776)	(114,776)	(114,869)	(114,869)	(114,869)	(114,869)	(114,869)	(114,869)	(73,099)
<b>CAPITAL - ULOP &amp; ACCREDITATION</b>														
	Beginning Working Capital	-	-	(305)	(305)	(6,133)	(6,133)	(6,133)	(6,133)	(6,133)	(6,133)	(41,000)	(66,000)	-
	Total Expenses	-	(305)	-	(5,828)	-	-	-	-	(34,867)	(29,000)	(5,000)	-	(66,000)
	Ending Working Capital	-	(305)	(305)	(6,133)	(6,133)	(6,133)	(6,133)	(6,133)	(41,000)	(66,000)	(66,000)	(66,000)	(66,000)
<b>FLOOD FIGHTING EFFORTS</b>														
	Beginning Working Capital	-	-	-	-	-	-	-	-	-	(4,038)	(601,288)	(1,201,788)	-
	Total Income	-	-	-	-	-	-	-	-	(4,038)	(897,259)	(600,590)	(4,298,213)	(5,000,000)
	Total Expenses	-	-	-	-	-	-	-	-	(4,038)	(601,288)	(1,201,788)	(5,000,000)	(5,000,000)
	Ending Working Capital	-	-	-	-	-	-	-	-	-	-	-	-	-
<b>CAPITAL - EDIPERR</b>														
	Beginning Working Capital*	10,783,615.00	29,379,087	24,960,834	17,507,609	16,233,028	9,018,216	5,791,907	4,982,312	16,034,144	12,161,577	8,580,282	4,965,122	10,783,615
	Transfers In (Out)	-	-	-	7	7	-	-	-	-	-	-	-	-
	Total Income	17,727,822	112,466	87,001	46,816	191,200	12,705	412,865	14,661,723	414	414	-	15,903,271	48,214,489
	Total Expenses	(75,228)	(4,530,718)	(5,335,410)	(1,321,997)	(3,236,318)	(890,835)	(3,103,923)	(1,977,741)	(3,581,298)	(3,616,160)	(4,540,628)	(4,068,052)	(42,844,336)
	Ending Working Capital	28,433,209	24,960,834	19,712,425	16,233,028	9,018,216	5,791,907	4,982,312	16,034,144	14,065,817	8,580,282	4,965,122	12,999,590	16,153,768
<b>TOTAL CASH FLOW - 2016-17 FISCAL YEAR</b>														
	Beginning Working Capital*	11,441,611	34,130,245	29,509,546	21,996,472	20,691,513	13,443,112	10,182,685	9,516,477	20,958,854	16,846,089	12,305,462	8,247,259	11,441,611
	Total Income	21,840,702	394,015	87,001	177,159	120,901	12,705	412,865	14,661,723	414	414	-	15,903,271	54,745,630
	Total Expenses	(97,946)	(5,014,714)	(5,395,259)	(1,482,119)	(7,369,303)	(3,273,131)	(1,978,733)	(5,219,340)	(2,217,939)	(4,540,628)	(4,496,052)	(15,394,327)	(48,079,658)
	Ending Working Capital (Before Financing)	33,184,367	29,509,546	24,201,288	20,691,513	13,443,112	10,182,685	9,516,477	20,958,854	18,741,329	12,305,462	8,247,259	9,453,488	18,107,676
	Beginning Working Capital (After Financing)	11,441,611	34,130,245	29,509,546	21,996,472	20,691,513	13,443,112	10,182,685	9,516,477	20,958,854	16,846,089	12,305,462	8,247,259	11,441,611
	Net Cash Flow	21,742,756	(4,620,699)	(5,395,258)	(1,304,959)	(7,245,402)	(3,260,427)	(668,208)	11,442,378	(2,217,825)	(4,540,628)	(4,068,204)	1,206,238	1,166,064
	Proceeds from New Financing Activity (Financing)	-	-	-	-	-	-	-	-	-	-	-	-	-
	Proceeds from New Financing Activity (SBFCA)	-	-	-	-	-	-	-	-	-	-	-	-	-
	Repayment of Short and Long Term Debt	-	-	-	-	-	-	-	-	-	-	-	-	-
	Interest Expense of Financing Activity	945,878	-	(2,204,816)	-	-	-	-	-	(1,895,241)	-	-	-	(3,154,778)
	Ending Working Capital (After Financing)	34,130,245	29,509,546	21,996,472	20,691,513	13,443,112	10,182,685	9,516,477	20,958,854	16,846,089	12,305,462	8,247,259	9,453,488	

\*Beginning working capital reflective of financing from prior period.



REC'D APR 25 2017

**PROOF OF PUBLICATION**  
(2015.5 C.C.P.)

**APPEAL-DEMOCRAT**

1530 Ellis Lake Drive, Marysville, CA 95901 \* (530) 749-4700

**STATE OF CALIFORNIA \* Counties of Yuba and Sutter**

I am not a party to, nor interested in the above entitled matter. I am the principal clerk of the printer and publisher of THE APPEAL-DEMOCRAT, a newspaper of general circulation, printed & published in the City of Marysville, County of Yuba, to which Newspaper has been adjudged a newspaper of general circulation by The Superior Court of the County of Yuba, State of California under the date of November 9, 1951, No. 11481, and County of Sutter to which Newspaper has been adjudged a newspaper of general circulation by the Superior Court of the County of Sutter, State of California under the date of May 17, 1999, Case No.CV PT99-0819. The Notice, of which the annexed is a copy, appeared in said newspaper on the following dates:

**April 20, 2017**

I declare under penalty of perjury that the foregoing is true and correct.

**April 20, 2017**

Date

Signature



**Sutter Butte Flood Control Agency      Notice of Public Hearing**

**COPY:**

**NOTICE OF PUBLIC HEARING**

**NOTICE OF PUBLIC HEARING OF THE SUTTER BUTTE FLOOD CONTROL AGENCY BOARD OF DIRECTORS ADOPTION AN AMENDED 3-YEAR BUDGET FOR FISCAL YEARS 2016-17 THROUGH 2018-19**

NOTICE IS HEREBY GIVEN that the Sutter Butte Flood Control Agency Board of Directors will hold a public hearing at 1 p.m. on Wednesday, May 10, 2017 in the City of Yuba City Council Chambers, 1201 Civic Center Blvd, Yuba City, CA for the purpose of receiving written and oral comment from the public on the adoption of an Amended 3-Year Budget for Fiscal Years 2016-17 through 2018-19, preparatory to making a final determination. NOTICE IS FURTHER GIVEN that at said time and place any citizen may appear and be heard regarding the increase, decrease or omission of any item in the budget, or for the inclusion of additional items. All proposals for the increase or inclusion of additional items shall be submitted in writing to the Board Clerk before the close of the public hearing. NOTICE IS FURTHER GIVEN that the proposed Amended 3-Year Budget for Fiscal Years 2016-17 through 2018-19 are available for public inspection weekdays from 8am-4:30pm at the Sutter Butte Flood Control Office, 1441 Garden Highway, Yuba City, CA. All interested citizens are encouraged to attend the hearing and provide written and oral comments or suggestions concerning the proposed budgets.

April 20, 2017 Ad #00199418