



# Sutter Butte Flood Control Agency

## Board of Directors Agenda Summary 1 p.m. – May 11, 2016 – Regular Meeting

### Meeting Location:

#### City of Yuba City Council Chambers - 1201 Civic Center Boulevard, Yuba City, CA

The agenda is posted in the building of the Sutter Butte Flood Control Agency at 1441 Garden Highway, Yuba City, and at the Sutter County Library, 750 Forbes Avenue, Yuba City. The agenda summary, backup materials, and approved minutes are also posted on the Sutter Butte Flood Control Agency website at [sutterbutteflood.org](http://sutterbutteflood.org). Materials related to an item on this agenda and submitted to the Board of Directors after distribution of the agenda packet are available for public inspection in the office of the Board Clerk at 1441 Garden Highway, Yuba City, during normal business hours. In compliance with the American with Disabilities Act, the meeting room is wheelchair accessible and disabled parking is available. If you have a disability and need disability related modifications or accommodations to participate in this meeting, please contact Sarah Modeste at 530-755-9859 or [s.modeste@sutterbutteflood.org](mailto:s.modeste@sutterbutteflood.org). Requests must be made one full business day before the start of the meeting.

#### County of Sutter

Barbara LeVake  
Larry Munger  
Alt. Jim Whiteaker  
Alt. Dan Flores

#### City of Live Oak

Gary Baland  
Alt. Jason Banks

#### Levee District 1

Francis Silva  
Charlie Hoppin  
Alt. Sally Serger  
Alt. Drew Stresser

#### County of Butte

Bill Connelly  
Steve Lambert

#### City of Gridley

Frank Hall  
Alt. Jeff Draper

#### Levee District 9

David Lamon  
Chris Schmidl  
Alt. Bob Sohal

#### City of Yuba City

John Dukes  
Kash Gill  
Alt. John Buckland

#### City of Biggs

Bo Sheppard  
Alt. John Busch

Persons wishing to address the Board during consideration of matters listed on the agenda will be allowed to do so. Testimony should always begin with the speaker giving his or her name and place of residence. Requests for assistive listening devices or other accommodations, such as interpretive services, should be made through the Sutter Butte Flood Control Agency office at 530-755-9859. Requests should be made at least 72 hours prior to the meeting. Later requests will be accommodated to the extent feasible.

### REGULAR MEETING/CALL TO ORDER

Roll Call  
Pledge of Allegiance

### CLOSED SESSION

1. Pending litigation pursuant to Government Code Section 54956.9(d)(1)
  - SBFCA v. Mariko Gushi, et al.; Sutter County Case No. CVCS 14-0095 (APN 09-295-008)
  - SBFCA v. Rancho Santa Maria, et al.; Sutter County Case No. CVCS 13-2432 (APN 09-050-001, 003)

### CONSENT CALENDAR

The Consent Calendar groups together those items which are considered noncontroversial or for which prior policy direction has been given to staff and that require only routine action by the Board. The Chair will advise the audience that the matters may be adopted in total by one motion; however, the Board may, at its option or upon request of a member of the public, consider any matter separately.

1. Approval of the Minutes for the April 20, 2016 Board Meeting

2. Approval of Amendment 6 to ICF International Task Order 13 for Additional Archeological Work Required for Compliance with Section 106 Cultural Resources along with Associated Tribal Monitoring

#### **PRESENTATION, DISCUSSION & ACTION ITEMS**

3. Recommendation to Preliminarily Approve the Proposed Amended Budget Covering FY 2015-16 and Subsequent 3-Year Budget Covering 2016-17 through 2018-19 and set a Public Hearing on June 22, 2016
4. Receive and File Monthly Financial Report

#### **INFORMATIONAL AND POSSIBLE APPROVAL ITEMS**

5. Program/Project Update
6. Other Reports from Agency Staff and Consultants
7. Report by the Citizens' Assessment District Advisory Committee (CADAC)
8. Report by Member and Partner Agencies

#### **CORRESPONDENCE**

9. Report on Correspondence Sent by and Received by the Board

#### **PUBLIC COMMENT**

Members of the public will be allowed to address the Sutter Butte Flood Control Agency's Board of Directors on items of interest to the public that are within the subject matter jurisdiction of the Board. Any member of the audience who may wish to bring a matter before the Board that has not been placed on the agenda may do so at this time; however, State law provides that no action may be taken on any item not appearing on the posted Agenda.

#### **ADJOURNMENT**



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director

**SUBJECT:** Approval of the Minutes for the April 20, 2016 Board Meeting

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The proposed minutes for the Board of Director's regular meeting on April 20, 2016 are attached for your review, modification and/or approval.

**Recommendation**

We recommend that the Board approve and authorize the Chair to sign the minutes.

Thank you.

**DRAFT MINUTES OF THE BOARD OF DIRECTORS OF THE  
SUTTER BUTTE FLOOD CONTROL AGENCY  
REGULAR MEETING OF  
APRIL 20, 2016**

The Sutter Butte Flood Control Agency (Agency) Board of Directors (Board), State of California, met on the above date at 1 p.m. at the City of Yuba City Council Chambers - 1201 Civic Center Boulevard, Yuba City, CA.

These minutes do not represent a transcript of the meeting and are intended to be a summary of the most important points. For a complete record, please refer to the video recording of the meeting, which is posted on SBFCA's website: <http://sutterbutteflood.org/board/meetings-agendas/>

**MEMBERS PRESENT**

|                    |                               |
|--------------------|-------------------------------|
| County of Sutter:  | Barbara LeVake, Dan Flores    |
| County of Butte:   |                               |
| City of Yuba City: | John Dukes                    |
| City of Gridley:   | Frank Hall                    |
| City of Live Oak:  | Gary Baland                   |
| City of Biggs:     | Bo Sheppard                   |
| Levee District 9:  | Dave Lamon, Chris Schmidl     |
| Levee District 1:  | Francis Silva, Charlie Hoppin |

**MEMBERS ABSENT:** Bill Connelly, Steve Lambert, Larry Munger, Kash Gill

**STAFF PRESENT:** Mike Inamine, Executive Director; Michael Bessette, Director of Engineering; Andrea Clark, Agency Counsel; Seth Wurzel, Budget Manager; Kim Floyd, Public Outreach; Sarah Modeste, Board Clerk

**MEETING/CALL TO ORDER**

In Chair Kash Gill and Vice Chair Larry Munger's absence, Director John Dukes opened the regular meeting and led the group in the pledge.

**CONSENT CALENDAR**

- 1) **Approval of the Minutes for the March 9, 2016 Board Meeting**
- 2) **Approval of Amendment 3 to the Construction Management Contract for Project Areas B&D with Parsons Brinckerhoff for Additional Work Required for the 2016 Construction Season**

Item 2 was removed from the Consent Calendar so it could be discussed in more detail. Director of Engineering Michael Bessette introduced the item and provided additional background.

Roberta Fletcher, Sutter County resident, provided comment on this item. Executive Director Mike Inamine responded to Ms. Fletcher's comments through the Chair.

Elaine Miles, assessment payer, provided public comment.

The discussion related to this item can be viewed in its entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

- 3) **Approval of Amendment 1 to ICF International Task Order 16 for Gridley Bridge Site Erosion Repair Project**
- 4) **Approval of Amendment 1 to ICF International Task Order 17 for Laurel Avenue Flood System Repair Project**

- 5) **Approval of a Small Communities Grant Memorandum of Understanding with Sutter County**

Executive Director Mike Inamine provided an overview of this item.

Roberta Fletcher, Sutter County Resident, provided public comment.

Director Barbara LeVake stated that RD1001 and RD 1500 is also looking to partner with Sutter County on this same grant funding. Director LeVake thanked Ms. Fletcher for her comments and stated that she will continue to work hard to secure funding to fix the bypass levee.

Director Charlie Hoppin stated that he also agreed with Director LeVake. He requested that Mr. Inamine continue his work on this effort and requested that a fact sheet be developed.

Director Gary Baland stated that he has discussed this type of repair with the City Manager and noted that this is also important to Live Oak as a public safety issue. He stated that Live Oak should also be included as a supporter of this work.

Director Bo Sheppard also commented that the City of Biggs is also supportive of this work and happy to help in any way.

The discussion related to this item can be viewed in its entirety on the SBFCA website:  
<http://sutterbutteflood.org/board/meetings-agendas/>.

**6) Approval to Execute a Professional Services Agreement with Wood Rodgers for Urban Level of Protection Documentation for the Star Bend Setback Levee Project**

Executive Director Mike Inamine provided an overview of this item. The discussion related to this item can be viewed in its entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

**7) Delegation of Authority to the Executive Director to Execute an Interim Design Agreement with USACE**

**On motion of Director Chris Schmidl, and seconded by Director Barbara LeVake, Items 1, 3, 4 and 7 on the Consent Calendar was approved as follows:**

- Bo Sheppard – Yes
- John Dukes – Yes
- Francis Silva – Yes
- Charlie Hoppin – Yes
- Frank Hall – Yes
- Gary Baland – Yes
- Barbara LeVake – Yes
- Dan Flores – Yes
- Chris Schmidl – Yes
- Dave Lamon - Yes

**On motion of Director Dave Lamon, and seconded by Director Bo Sheppard, Items 2, 5 and 6 on the Consent Calendar was approved as follows:**

- Bo Sheppard – Yes
- John Dukes – Yes
- Francis Silva – Yes
- Charlie Hoppin – Yes
- Frank Hall – Yes
- Gary Baland – Yes
- Barbara LeVake – Yes
- Dan Flores – Yes
- Chris Schmidl – Yes
- Dave Lamon - Yes

**8) Receive and file monthly financial report**

Budget Manager Seth Wurzel presented the monthly financial report and answered related questions. The presentation can be viewed in its entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

No public comment.

**No action required.**

**9) Approval to the Addendum to the May 13, 2015 Tribal Consultation Policy**

Executive Director Mike Inamine introduced the item and provided background.

Director Charlie Hoppin requested that the word “currently” in the second paragraph be removed as SBFCA is not an operations and maintenance agency. The presentation can be viewed in its entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

**Director Charlie Hoppin moved that that the Addendum to the May 13, 2015 Tribal Consultation Policy be approved with the removal of the “currently” in the second paragraph. The motion was seconded by Director Dan Flores and approved as follows:**

- Bo Sheppard – Yes
- John Dukes – Yes
- Francis Silva – Yes
- Charlie Hoppin – Yes
- Frank Hall – Yes
- Gary Baland – Yes
- Barbara LeVake – Yes
- Dan Flores – Yes
- Chris Schmidl – Yes
- Dave Lamon – Yes

**INFORMATIONAL AND POSSIBLE APPROVAL ITEMS**

**10) Program/Project Update**

Director of Engineering Michael Bessette and Executive Director Mike Inamine provided updates on recent and upcoming activities. The presentation can be viewed in its entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

No public comment.

**No action required.**

**11) Other reports from Agency staff and consultants**

Nothing to report.

**12) Report by the Citizens’ Assessment District Advisory Committee (CADAC)**

Nothing to report.

**13) Report by member and partner agencies**

Director Gary Baland invited the Board and members of the public to the ribbon cutting for Live Oak’s new soccer complex. The location was a borrow site for the SBFCA project and material that was removed from the site was used for the levee construction.

**CORRESPONDENCE**

**14) Report on correspondence sent by and received by the Board**

Board Clerk Sarah Modeste stated that the Board and members of the public were provided a copy of the correspondence received by the Board, as well as Chair Kash Gill’s response.

**PUBLIC COMMENT**

Roberta Fletcher, Sutter County resident, provided public comment.

Pat Miller, Sutter County Tax Payers Association, provided public comment.

Comments can be viewed in their entirety on the SBFCA website: <http://sutterbutteflood.org/board/meetings-agendas/>.

**CLOSED SESSION**

- 1) **Pending litigation pursuant to Government Code Section 54956.9(d)(1)**
  - a. **SBFCA v. Mariko Gushi, et al.; Sutter County Case No. CVCS 14-0095 (APN 09-295-008)**
  - b. **SBFCA v. Rancho Santa Maria, et al.; Sutter County Case No. CVCS 13-2432 (APN 09-050-001, 003)**

Nothing to report.

**ADJOURNMENT**

With no further business coming before the Board, the meeting was adjourned at 2:40 p.m.

ATTEST BY: \_\_\_\_\_  
SARAH MODESTE, BOARD CLERK

\_\_\_\_\_  
John Dukes, ACTING CHAIR



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
Michael Bessette, Director of Engineering

**SUBJECT:** Amendment 6 to ICF International Task Order 13 for Additional Archeological Work Required for Compliance with Section 106 Cultural Resources along with Associated Tribal Monitoring

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## Recommendation

Staff requests Board authorization for the Executive Director to execute Amendment 6 to ICF International's Task Order 13 for additional archeological work required for compliance with Section 106 Cultural Resources along with Tribal Monitoring within Project Area D.

## Background

In fall 2008, SBFCA conducted a competitive process to select a consulting firm to undertake environmental planning, permitting, and compliance work for the Feather River West Levee Project (FRWLP). From that process, ICF International (formerly Jones & Stokes) was selected as the most qualified consultant. In January 2009, the SBFCA Board approved a master agreement with ICF. The master agreement provides general contractual "boiler plate" language, and refers to task orders which will be executed as needed to complete work. Each task order includes a scope of work, fee, schedule, and special provisions related to the task order that are not included in the master agreement.

The FRWLP's Final Environmental Impact Statement (FEIS), Final Environmental Impact Report (FEIR), and project permits issued by regulatory agencies, require that SBFCA perform environmental compliance activities and monitor construction to ensure compliance with the FEIS/FEIR and permitting requirements. This work includes:

- Survey and Mark Endangered Species Act (ESA) Fencing;
- Inspect ESA Fencing and Prepare Inspection Reports;
- Provide Environmental Training;
- Conduct burrowing owl surveys prior to constructions;
- Implement Protective Measures for Bats;
- Conduct Preconstruction Swainson Hawk Surveys;
- Conduct Preconstruction Nesting Bird Surveys;
- Prepare Compliance Reporting to Permitting Agencies; and.
- Provide Archeological and Native American Tribal Monitoring of potential cultural resource sites.

The Board previously approved Task Order 13 (for Project Area D), and associated amendments with ICF to undertake this environmental compliance and construction monitoring work.



During the 2015 construction season a new archeology site, Site 18, was discovered. Data recovery was postponed and scheduled to occur after the construction season ended to allow repair of the levee to be completed prior to the flood season. It was also decided to postpone the work required at CA-BUT-1123 to the winter/spring prior to the 2016 construction season so that the data recovery work could occur after the levee was degraded in preparation for construction. Task Order 13 Amendment 6 addresses the costs for the excavations at Site 18; field testing, preparation of the inventory report, and Section 106 mitigation and burial removal along the slurry wall alignment associated with site CA-BUT-1123. Native American monitoring of all ground-disturbing work, removal of burials, monitoring of archeological mitigation work, and the steps required for compliance with State law is also included.

To address these additional project costs, SBFCA staff requests Board authorization for the Executive Director to execute Amendment 6 to ICF's Task Order 13 as follows:

| <b>Environmental Compliance Task Order</b> | <b>Current Authorized Task Order Budget*</b> | <b>Proposed Amendment Amount</b> | <b>Proposed Revised Task Order Total</b> |
|--|--|----------------------------------|--|
| TO13 – Project Area D                      | \$6,367,176                                  | \$1,658,923                      | \$8,026,099                              |

*\*Includes all prior authorizations (through Amendment 5 approved March 9, 2016) as previously amended.*

**Fiscal Impact**

This action will obligate SBFCA to pay for the associated services delivered up to the amended task order budget limitations as noted above on a time and materials basis. The total ICF Task Order 13 authorization included within the current Amended 5-Year Capital Budget is as follows:

| <b>Task Order</b>         | <b>Budget Account No.</b> | <b>Final Amended 5-Year Budget Amount</b> |
|---------------------------|---------------------------|---|
| ICF TO13 – Project Area D | 731-99-5001/6001-68804    | \$6,367,176                               |
| <b>Total</b>              |                           | <b>\$6,367,176</b>                        |

Staff is currently developing the budget for the next three years covering Fiscal Years 2016/17 through 2018/19. The Board will be considering Preliminary Approval of Amended Budget at its May 11, 2016 meeting and scheduling a Public Hearing to approve the budget at its June 8, 2016 meeting. Additional budget for this work covering the extension of ICF Task Order 13 has been incorporated into this forthcoming comprehensive budget update that Staff has recommended for Board approval. The Finance Committee has also received the Preliminary Budget and recommended Board approval. However, in order to appropriate funding for this task order amendment, staff recommends that the Board provide for an immediate increase in the Fiscal Year 2015/16 budget to cover this amendment. This will allow for Executive Director to approve the amendment immediately.

| <b>Task Order</b>         | <b>Budget Account No.</b>     | <b>Proposed Adjustment to Amended 5-Year Budget Amount</b> |
|---------------------------|-------------------------------|--|
| ICF TO13 – Project Area D |                               | \$6,367,176  |
| Increase for Amendment 6  |                               | \$1,658,923  |
| <b>Total</b>              | <b>731-99-5001/6001-68804</b> | <b>\$8,026,099</b>   |

These expenditures are cost shared with the State through its EIP and UFRR Grants. SBFCA has sufficient revenue sources (local and State funding) identified to cover the costs associated with this recommended action.

Attachments:

- Amendment 6 to ICF Task Order 13 (Feather River West Levee Project Environmental Compliance Support for Project Area D)
- Cost Estimate for Amendment 6 to ICF Task Order 13 (Project Area D)

**Table 1. Cost Estimate for Task Order 13 (Contract D) Amendment 6**

| Task   | Contractor   |              |             | Subtotal     | Subcontractor |              |                | Labor Total    | Direct Expenses | Total Price    |
|--|--------------|--------------|-------------|--------------|---------------|--------------|----------------|----------------|-----------------|----------------|
|  | Havelaar Chr | Andolina Dar | Allen Eri   |              | Far Western   | Aerotek      |                |                |                 |                |
|  | Arch         | Arch         | Arch        |              | Arch          | Arch         | Subtotal       |                |                 |                |
| <b>Task 6. Native American Monitoring</b>  |              |              |             | \$0.00       |               | \$800,000    | \$800,000      | \$800,000.00   |                 |                |
| <b>Task 7. Cultural Resource Support</b>   | 80           | 420          | 1,000       | \$119,261.20 | \$680,000     |              | \$680,000      | \$799,261.20   |                 |                |
| Total hours  | 80           | 420          | 1,000       |              |               |              |                |                |                 |                |
| SBFCA Master Agreement Rates   | \$150.99     | \$106.60     | \$62.41     |              |               |              |                |                |                 |                |
| Subtotals  | \$12,079.20  | \$44,772.00  | \$62,410.00 | \$119,261.20 | \$680,000.00  | \$800,000.00 | \$1,480,000.00 | \$1,599,261.20 |                 |                |
| <b>Direct Expenses</b>   |              |              |             |              |               |              |                |                |                 |                |
| 521.00 Meals, and Lodging (\$131 per day for lodging & meals or \$23 per day for meals only) |              |              |             |              |               |              |                |                | 18,602.00       |                |
| 523.05 Travel, Auto, incld. Mileage at current IRS rate (.54/mile)                           |              |              |             |              |               |              |                |                | \$2,000.00      |                |
| Mark up on all non-labor costs:  |              |              |             |              |               |              |                |                | \$2,060.20      |                |
| Mark up on all subcontractors:   |              |              |             |              |               |              |                |                | \$37,000.00     |                |
| Direct expense subtotal  |              |              |             |              |               |              |                |                | \$59,662.20     |                |
| Total price  |              |              |             |              |               |              |                |                |                 | \$1,658,923.40 |



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
Seth Wurzel, Budget Manager

**SUBJECT:** Recommendation to preliminarily approve the Proposed Amended Budget covering 2015-16 and subsequent 3-Year Budget covering 2016-17 through 2018-19 and set a Public Hearing on June 22, 2016

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## **Recommendation**

Staff recommends:

1. The Board of Directors preliminary approve, for the purpose of setting a public hearing, the Sutter Butte Flood Control Agency's Proposed Amended Budget covering Fiscal Year 2015-16 (Proposed Amended 5-Year Budget) and Proposed 3-Year Budget;
2. Set a public hearing commencing on June 22, 2016 at 1 p.m. at the Yuba City Council Chambers located at 1201 Civic Center Boulevard, Yuba City, California, and continuing as required;
3. Direct the publishing of the attached notice; and,
4. Direct the Executive Director to have sufficient copies of the Proposed Amended 5-Year Budget and Proposed 3-Year Budget made available to meet public demand.

## **Discussion**

Since 2012-13, the Agency has adopted a 5-Year planning horizon and administering a 5-Year budget that encompasses the implementation of Phase 1 of the Feather River West Levee Project. As the Agency enters into the final year of the Project's implementation, staff recommends amending the budget for Fiscal Year 2015-16 and proposing a new 3-Year Budget that incorporates the implementation of certain near term objectives of SBFCA's adopted Strategic Plan.

In combination with the actual expenses for Fiscal Years 2011-12 through 2014-15, the attached budget represents a Proposed Amended 5-Year Budget and a Proposed 3-Year Budget. At the meeting, the Board will be provided with the 5- and 3-Year Budgets for review and consideration prior to setting a public hearing. Policy guidelines established by the Board have been followed during the preparation of the 5- and 3-Year Budgets. The budgeted amounts are based upon existing obligations as well as projected expenditures and revenues.

Staff presented draft materials to the Finance Committee on May 1, 2016. The Finance Committee reviewed the materials, made comments and unanimously recommended approval of Staff's Recommendation by the Board. Staff will provide an overview of the proposed amended budget at the meeting and request that the Board preliminarily approve the amended budget for the purpose of setting a public hearing and schedule the hearing for the consideration of a final approved amended budget at the June Board meeting. In the

meantime, staff will be available to answer questions regarding the budget and modifications can be made prior to its final approval.

**Fiscal Impact**

Preliminarily approving the budget for purposes of scheduling a public hearing poses no net budgetary impact to the current approved budget.

**Attachments**

- A: Budget Transmittal - Proposed Amended Budget covering Fiscal Years 2015-16 and Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19
- B: Draft Resolution of the Board of Directors of the Sutter Butte Flood Control Agency to Approve the Amended Budgets for Fiscal Years 2015-16 (“Final Amended 5-Year Budget”) and the Proposed Budget for Fiscal Years 2016-17 through 2018-19 (“Final 3-Year Budget”)
- C: Exhibit A Budget – Proposed Preliminary Amended Budgets for Fiscal Year 2015-16 “Proposed Amended 5-Year Budget” and Proposed Budget for Fiscal Years 2016-17, 2017-18 and 2018-19 “Proposed 3-Year Budget” dated May 11, 2016
- D: Draft Notice of Public Hearing



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
Seth Wurzel, Budget Manager

**SUBJECT:** Budget Transmittal - Proposed Amended Budget covering Fiscal Year 2015-16 and Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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## **Introduction**

This staff report transmits to the Board the Proposed Amended Budget for Fiscal Year 2015-16, combined with the actual expenses for fiscal years 2011-12 through 2014-15 as a Proposed "Amended 5-Year Budget." In addition, a Proposed 3-Year Budget covering fiscal years 2016-17 through 2018-19 is transmitted to the Board for consideration. Staff recommends that the Board preliminarily approve the attached Proposed Amended 5-Year Budget and Proposed 3-Year Budget for the purposes of setting a public hearing to consider the approval of a Final Amended 5-Year Budget and Final 3-Year Budget on June 22, 2016.

## **Background**

On January 13, 2016, the Board adopted a Strategic Plan (<http://sutterbutteflood.org/wp-content/uploads/2013/09/Final-SBFCA-Strategic-Plan-02.2016.pdf>) that set out the Vision, Goals and Objectives of the Agency for the ensuing 10-years. The attached budget documents are the first to be prepared under this fundamental planning document. Staff proposes that the Board adopt an Amended 5-Year Budget and 3-Year Budget that reflect the following significant events:

- Updated costs and timing associated with the Feather River West Levee Project 1 ("FRWLP1") as a result of the completed construction, engineering, scheduling and state funding coordination efforts to date; and,
- Incorporation of implementation measures and corresponding budget to achieve the 13 objectives described in the Strategic Plan.

The approval of this combined budget will enable SBFCA to complete its primary near-term objective to complete the FRWLP1 Project as well as commence work on other objectives. To this end, SBFCA has largely completed work on the FRWLP1 in Project Areas C & B and will complete heavy construction in Project Area D and the remaining Gap closure work in Sutter County in 2016. While the Amended Budget reflects the completion of major construction portions of the FRWLP1 project in 2016, additional closeout and administrative efforts will continue beyond 2016. To facilitate financial management, staff has prepared a detailed, updated cash flow projection for the Agency which provides a basis for the Proposed Amended 5-Year Budget and Proposed 3-Year Budget.

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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The gross estimated expenditures for the Proposed Amended 5-Year and Proposed 3-Year Budget now total \$348.2 million excluding the costs of financing/borrowing (\$246.1 million and \$102.2 million for 5 and 3 Year Budgets, respectively). SBFCA has secured funding from various sources to complete much of the work included within the Proposed Amended 5-Year and Proposed 3-Year budget. The following funding sources have been secured by SBFCA to complete the described work;

- Annual revenues from the property owner-approved local assessment district to provide cost sharing for improvement projects and administration of the Agency;
- State Proposition 13 funds to be used for the local cost share to complete the Sutter Basin Feasibility Study;
- Multiple sources of State Proposition 1E funds for the State share of the FRWLP1 including design, environmental mitigation, permitting and construction costs through the Early Implementation Projects (EIP) and Urban Flood Risk Reduction (UFRR) programs;
- State Proposition 1E funds for the flood control features of the Oroville Wildlife Area Flood Stage Reduction Project;
- State Proposition 1E funds for the preparation of the Feather River Regional Plan;
- State Proposition 1E funds through the Flood System Repair Program for the Laurel Avenue Project;
- State Proposition 84 funds for the implementation of a Flood Emergency Response Project;
- State Proposition 13 funds through the Yuba Feather Flood Protection Program for the permitting and design of the Oroville Wildlife Area Flood Stage Reduction Project and Gridley Bridge Erosion Repair Project;
- Proceeds from the issuance of multiple financings secured by future local assessment district revenues; and,
- The use of existing available fund balances.

Objective 7 of the Strategic Plan calls for the implementation of critical repairs in the Southern Sub-Basin including two sites on the Feather River and three sites on the Sutter Bypass. Within the Proposed 3-Year budget, SBFCA has assumed that the State will provide cost sharing for these sites through the Flood System Repair Program; however, these funds have not been secured by SBFCA at this time. The Feather River projects could potentially be cost shared with the State or the Federal government as part of the Federally Authorized Sutter Basin Project, while the remaining projects on the Sutter Bypass are not part of a Federally Authorized Project. SBFCA will be relying on State funding for this work under the State's program to address critical repairs.

In addition to the above expenditures, the payment of interest and principal on all contemplated financings are included within the proposed amended budget.

### **Feather River West Levee Project 1 Budget**

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

The expenditures included within the 5- & 3-Year Budgets associated with the SBFCA Capital Fund Early Implementation Project (EIP) and Urban Flood Risk Reduction (UFRR) Program accounts represent those anticipated expenditures included within the planned costs that will occur through completion of the Project. Previously, the 5-Year budget only represented the portion of the Project that would be completed through June 2016. The Amended 5-Year and subsequent 3-Year Budgets now present the entirety of the annual expenditures for the FRWLP1 that are integrated within the current project budget. Since the adoption of the original 5-Year Budget in March 2012 and subsequent amendments, staff has provided monthly updates to the Board on the scope, schedule and budget for the project and provided updates on the actual costs incurred in relation to both the annual budget and planned costs of the project. Staff will continue this practice as described in the proposed accompanying draft budget resolution.

As the FRWLP1 has progressed into construction, the planned cost of the project has been refined and updated. As compared to the prior budget presented in June 2015, the planned costs of the project have increased by approximately \$5.4 million, from \$288.93 million to \$294.3 million. These costs are primarily attributed to projected increases in the estimated cultural resource mitigation, construction management, direct construction and design support costs. These costs increases are primarily attributed to the delay in the completion of the Project.

**Budget for FRWLP1 (Segments 1-6, Thermalito to Star Bend) & OWA Flood Stage Reduction Project (excluding Restoration)**

| <b>Element</b>   | <b>Estimated Amount</b> |
|--|-------------------------|
| Project Management                                     | 4,361,337               |
| Administration   | 7,792,659               |
| Planning   | 337,504                 |
| Design   | 14,368,816              |
| Environmental Docs and Permitting                      | 3,664,173               |
| R/W Transactions                                       | 11,893,046              |
| Design Support During Construction                     | 18,042,005              |
| Construction Management                                | 35,042,975              |
| Mitigation   | 9,479,123               |
| Rights-of-Way (Lands)                                  | 11,181,921              |
| Borrow Royalties                                       | 1,746,680               |
| Construction   | 169,736,991             |
| Utility Relocations                                    | 2,407,991               |
| <b>Subtotal FRWLP1 Project Areas C, B, D (rounded)</b> | <b>\$290,055,000</b>    |
| <b>OWA Flood Stage Reduction Project (Weirs)</b>       | <b>\$4,195,000</b>      |
| <b>Total Cost</b>                                      | <b>\$294,250,000</b>    |

**FRWLP1 Funding and Financing**



Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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As described in the Strategic Plan, SBFCA plans, designs and constructs flood control facilities that protect communities and resources within SBFCA boundaries. As the FRWLP1 is the major initiative of the Agency, SBFCA has secured funding and financing for this project through the following measures:

- In July 2010, SBFCA concluded a successful Proposition 218 mail-in ballot election that was needed for local funding;
- In October 2011, SBFCA secured a \$9,000,000 funding agreement with DWR for the design of the FRWLP1 project;
- In April 2012, SBFCA secured a \$25,000,000 line of credit loan to provide the needed working capital to advance the design and commence the right of way acquisition for the project;
- In June 2013, the Agency sold \$41,035,000 of bonds secured by assessment revenues;
- In October 2013, SBFCA secured a \$56,780,000 grant for the initial phase of construction of the FRWLP1 project; and,
- In December 2014, SBFCA received an additional commitment of \$43,861,587 of competitive UFRR funding for an amendment to its current construction funding to increase the State's cost share on current construction work to 76%;
- In December 2014, SBFCA received two Prop 13 Grants under the YFFPP to design and permit projects at Gridley Bridge (\$460,000) and the Oroville Wildlife Area (\$1,658,800);
- More recently, SBFCA is working with DWR to amend the current construction funding agreement to provide funding for the remainder of the work in the northern reaches of the FRWLP1 and increase funding by \$40,828,931.

SBFCA is rapidly advancing the completion of FRWLP1 activities which includes: closing out completed construction activities from 2014/15, continuing construction on the balance of the FRWLP through 2016, securing the final amount of matching funds from the State, and prosecuting the near term objectives of the Strategic Plan.

**Budget Policy**

Pursuant to SBFCA's Joint Exercise of Powers Agreement, the process for adopting the budget is the same process that Sutter County employs to adopt its budget.

Therefore, in order to approve this budget, staff recommends the Board take action at its May 11, 2016 meeting preliminarily approving this Proposed Amended 5-Year Budget and Proposed 3-Year Budget as an acknowledgement that it has been received, and that the Board authorize a public hearing to be scheduled on June 22, 2016 at 1 p.m. at the Yuba City Council Chambers located at 1201 Civic Center Boulevard, Yuba City, CA. The Board's action at its May 11, 2016 meeting does not constitute budget approval. Approval of the Final Amended 5-Year Budget is

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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expected on or after June 22, 2016, after the receipt of public testimony at the scheduled public hearing and after discussion among the Board of Directors.

In addition, since the Board's creation of a standing Finance Committee in 2014, SBFCA staff has adopted the practice of presenting financial related matters to the Finance Committee for its review and ultimate recommendation to the Board. Staff has most recently presented this budget to the Finance Committee at its May 2, 2016 meeting and the Finance Committee unanimously recommended the Board's preliminary approval of the Budget and setting of a public hearing.

### **5-Year Budget Revenues**

As mentioned above, the cost needs shown in this Proposed 5-Year Budget are supported by the following revenue sources:

- Annual revenues from the property owner-approved local assessment district;
- State Proposition 13 funds to be used for preliminary design of the federal Sutter Basin Flood Risk Management Project;
- Multiple sources of State Proposition 1E funds for the State share of the FRWLP1 including design, environmental mitigation, permitting and construction costs through the Early Implementation Projects (EIP) and Urban Flood Risk Reduction (UFRR\_ programs;
- State Proposition 1E funds for the preparation of the Feather River Regional Plan;
- State Proposition 1E funds through the Flood System Repair Program (secured for the Laurel Ave project and assumed for future critical repairs);
- State Proposition 84 funds for the implementation of a Flood Emergency Response Project;
- State Proposition 13 through the Yuba Feather Flood Protection Program to fund costs of permitting and design for the Oroville Wildlife Area Flood Stage Reduction Project and Gridley Bridge Erosion Site Project;
- Proceeds from the issuance of multiple financings secured by future local assessment district revenues; and,
- The use of existing available fund balances.

Total revenues in the Proposed Amended 5-Year Budget total \$176.5 million, and revenues included in the Proposed 3-Year Budget total \$122.2 million for combined revenues of \$298.7 million. The total net financing revenues (gross proceeds less debt service) for the combined 5- & 3-Year Budgets total \$61.4 million (\$82.2 million in net proceeds less debt service of \$20.8 million).

### **Proposed 5- & 3-Year Budget Expenditures**

Staff is aggressively proceeding with the planned FRWLP1 project and the current schedule calls for a significant amount of construction this summer. The cost for the final design engineering work, required environmental analysis, work necessary to secure permitting

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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approval from all external reviewing agencies, necessary right of way, construction and associated supporting activities to deliver the FRWLP1 have been refined and updated and are included in this budget. This budget also provisions for the costs of SBFCA operations through Fiscal Year 2015-16. Expenditures included in the combined Proposed Amended 5-Year Budget amount to \$246.0 million and for the subsequent Proposed 3-Year Budget total \$102.2 million.

In support of the FRWLP1 project, the cost of SBFCA's participation in the Regional Flood Management Planning and an Emergency Response Project are also included. With respect to Regional Flood Management Planning, SBFCA acted as the administrator for the effort on behalf of the stakeholders within the Feather River Region, and as such, the costs and revenues associated with this effort are included with SBFCA's 5 and 3-Year budgets.

As part of the FRWLP1, SBFCA is required to prepare an Emergency Response Plan. In coordination with Levee District 1, SBFCA led an effort to apply for grant funding for this work and was notified of the award in October 2013. SBFCA is implementing the grant funded work and funding for these efforts will pass through Levee District 1. The costs and revenues for this work are included within the SBFCA's budget.

Over the past fiscal year, the Board has taken actions supplementing the budget to include the two new Prop 13 funded projects including the Gridley Bridge Erosion Repair Project and Oroville Wildlife Area Flood Stage Reduction Project. These two grants pay for the permitting and design work for these projects.

In addition, the Board supplemented the budget to include funding for the first rural project at Laurel Avenue funded through DWR's Flood System Repair Program. This project will be the first work in Project Area 2 that SBFCA is advancing with the State. DWR has committed \$7,225,000 for this project.

The Board has also included an amendment to continue Regional Flood Management Plan activities as requested by DWR (RFMP Phase 2). The addition of the new grant augments the existing budget for Regional Planning efforts.

Future projects within the Proposed 3-Year Budget include additional work expected to be funded through future FSRP grants. The projects include three critical repairs on the Sutter Bypass. Projected costs and revenues included in the 3-Year budget are contingent upon securing the expected State funding.

Finally, SBFCA operations, interest cost on all SBFCA-incurred short- and long-term borrowing are included within the budget. The total budgeted interest, principal and financing cost on all new debt issued included within the budget over the 5-years is \$8.4 million and \$13.7 million for the following 3-Year Budget

### **Local Cash Requirements**

The Proposed Amended 5-Year and Proposed 3-Year Budgets assume that SBFCA will utilize assessment district revenues in combination with proceeds from its debt program to generate

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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sufficient working capital to meet the cash requirements of the planned expenditures. The current borrowing structure includes two series of long-term bonds.

SBFCA's issuance of its first series of bonds took place in June 2013 and yielded \$40,000,000 of project proceeds. The issuance of a second series of bonds took place last fiscal year. This financing redeemed the Rabobank Loan and generated \$33,708,415 of additional proceeds for SBFCA to finance the remaining FRWLP1 work as well as commence remaining work in the Butte County area of the Basin.

### **Changes from the Current Approved 5-Year Budget**

#### *Decrease in total expenditures*

- The total expenditures of \$246 million represent a decrease from the total current approved budget of \$305.3 million. The decrease is a result of refined budget estimates made after delays in construction during the previous fiscal year that pushed costs beyond June 2016. These expenditures are included in the subsequent 3-Year Budget.

#### *Decrease in total revenue estimates*

- The total revenues of \$176.5 million represent a decrease of approximately \$66.6 million (27.8%). This decrease is primarily as a result of delays in Prop 1E funding being provided by the State due to delays in projected timing for FRWLP1 payments/funding agreement amendment execution. The reduction in revenues is merely a reduction within the time horizon of SBFCA's 5-Year budget. These revenues are included within the subsequent 3-Year Budget period. SBFCA expects to receive later State payments in fiscal year 2016/17 and beyond as staff advances the closeout process of the FRWLP1 Project with the State.

#### *Shifting of expenses and revenues between fiscal years*

- Staff has prepared monthly cash flows that now reflect detailed scheduling efforts and the reprogramming of the entire delivery of the FRWLP1 project. These cash flows now reflect more detailed engineering efforts and input from the Director of Engineering and the design and construction team.

### **Recommendations**

Staff recommends that the Board of Directors:

1. Preliminarily approve the Sutter Butte Flood Control Agency's Proposed Amended Five-Year Budget covering Fiscal Years - 2015-16 and Proposed Three-Year Budget covering Fiscal Years 2016-17 through 2018-19;
2. Set a public hearing commencing on June 22, 2016 at 1 p.m. at the Yuba City Council Chambers located at 1201 Civic Center Boulevard, Yuba City, California
3. Direct the publishing of the attached notice; and,
4. Direct the Executive Director to have sufficient copies of the Proposed Amended 5-Year and Proposed 3-Year Budgets available to meet public demand.

### **Conclusion**

This 5- and 3-Year Budget are based upon the sound concept of financial sustainability – matching necessary and required expenditures to realistic but conservative revenue projections,

Proposed Amended Budget covering Fiscal Year 2015-16 and a Proposed 3-Year Budget covering Fiscal Years 2016-17 through 2018-19

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and the expectations of what SBFCA can financially support into the future. For the first time, this budget reflects implementation of SBFCA's adopted Strategic Plan. The Board's adoption of this budget will implement the Strategic Plan with clear direction and associated financial resources.

**SUTTER BUTTE FLOOD CONTROL AGENCY  
RESOLUTION NO 2016-\_\_\_**

**A RESOLUTION OF THE BOARD OF DIRECTORS OF THE SUTTER BUTTE FLOOD CONTROL AGENCY TO APPROVE  
THE AMENDED BUDGET FOR FISCAL YEAR 2015-16 (“FINAL AMENDED 5-YEAR BUDGET”) AND ADOPT A BUDGET  
FOR FISCAL YEARS 2016-17, 2017-18, AND 2018-19 (“FINAL 3-YEAR BUDGET”)**

WHEREAS, the Sutter Butte Flood Control Agency ("Agency") is a Joint Powers Authority created in 2007 to plan, finance and construct levee improvements in the Sutter Basin; and

WHEREAS, the Agency's Board of Directors, after consideration of public testimony at a noticed public hearing on April 9, 2014, adopted the Final Amended Budgets for fiscal years 2013-14, 2014-15, and 2015-16; and

WHEREAS, the Agency's Board of Directors, after consideration of public testimony at a noticed public hearing on June 10, 2015, adopted an amended budget covering fiscal years 2014-15 and 2015-16, and when combined with the actual expenditures for fiscal years 2011-12, 2012-13, 2013-14 hereby referred to as the “Amended 5-Year Budget”; and

WHEREAS, the Agency's Executive Director presented a proposal to further amend the current adopted budget covering fiscal year and 2015-16, and when combined with the actual expenditures for fiscal years 2011-12, 2012-13, 2013-14, and 2014-15 hereby referred to as the “Proposed Amended 5-Year Budget”; and

WHEREAS, the Agency's Executive Director presented a proposal to adopt a budget covering fiscal years 2016-17, 2017-18, and 2018-19 hereby referred to as the “Proposed 3-Year Budget”; and

WHEREAS, on May 11, 2016, the Agency's Board of Directors preliminarily approved the Sutter Butte Flood Control Agency's Proposed Amended 5-Year Budget and Proposed 3-Year Budget solely for the purpose of scheduling a noticed public hearing for consideration and adoption of a Final Amended 5-Year Budget and Final 3-Year Budget. The hearing was set for June 22, 2016 at 1 p.m. at the Yuba City Council Chambers, 1201 Civic Center Boulevard, Yuba City, CA, and was noticed as required for a 10-day successive period; and

WHEREAS, during the public hearing, the Board of Directors considered all public comments.

NOW, THEREFORE, BE IT RESOLVED THAT:

- A) The above recitals are true and correct; and
- B) The Final Amended Budgets for fiscal year 2015-16 and when combined with the actual expenses for fiscal years 2011-12, 2012-13, 2013-14, and 2014-15 herein referred to as the “Final Amended 5-Year Budget”, attached hereto as Exhibit A, is hereby approved.
- C) The Final Budget for fiscal year 2016-17, 2017-18, and 2018-19 herein referred to as the “Final 3-Year Budget”, attached hereto and also incorporated into Exhibit A, is hereby approved.
- D) Sections 1 through 12 below, which define the authority and responsibilities of the Executive Director in implementing the Final Amended 5-Year Budget and Final 3-Year Budget are hereby approved.

**1. Section 1. Scope**

1.1 This resolution defines the authority and responsibilities of the Executive Director in implementing the Final Amended 5-Year Budget and Final 3-Year Budget.

**2. Section 2. Definitions**

2.1 Executive Director means that person so designated by the Board of Directors to serve in the capacity as the Executive Director as defined by the Sutter Butte Flood Control Agency Joint Exercise of Powers Agreement or, if so designated, the Agency's Treasurer/Chief Financial Officer.

2.2 The Final Amended 5-Year Budget is the adopted Final Amended Budget for fiscal year 2015-16, the Final Amended 5-Year Budget which has been attached hereto as Exhibit A.

2.3 The Final 3-Year Budget is the adopted Final Budget for fiscal years 2016-17, 2017-18 and 2018-19, the Final 3-Year Budget which has been attached hereto as Exhibit A.

2.4 Budget Categories reflect internal program reporting structures and sub-structures based on established program budgets such as "Operations", "Capital- USACE Study", "Capital- EIP", "Capital- Stakeholder", "Capital – Regional Planning", "Capital – ER Planning", "Capital – LC FSRP", "Capital – Future FSRP", "Capital – OWA", "Capital – GBSP", "Capital-Star Bend", and "Capital – ULOP & Accreditation".

2.5 Account is defined as the primary accounting field in the budget used to describe the type of financial transaction.

2.6 Expenditure class is defined as a categorical grouping of individual similar accounts for purposes of reporting expenditures (i.e., Employee Services, Service and Supplies, Equipment, etc.).

2.7 Full-Time Equivalent (FTE) means the decimal equivalent of a position; e.g., one full-time position is 1.00 FTE and one quarter-time position is 0.25 FTE.

2.8 Unfunded FTE means an Agency authorized FTE without an associated labor budget. Unfunded FTE will remain vacant until funding has been approved for it by the Agency's Board of Directors or Executive Director, as appropriate.

**3. Section 3. Revenue Budget**

3.1 The Revenue Budget for the Final Amended 5-Year Budget and the Final 3-Year Budget is summarized in Exhibit A of this Resolution.

3.2 The Executive Director is authorized to increase or decrease an estimated revenue source within the Final Budgets by an amount up to and including \$50,000. Any increase or decrease of a particular revenue source by greater than \$50,000 requires approval by the Agency's Board of Directors.

**4. Section 4. Authorized Staffing and Appropriations**

4.1 The Executive Director is authorized to make any expenditure and resource adjustments to the Final Amended 5-Year Budget and Final 3-Year Budget based on the Board of Directors' final action to adopt the Final Amended 5-Year Budget and Final 3-Year Budget.

4.2 The Executive Director is authorized to establish the appropriations and FTE staffing as shown in the various schedules and fund summaries (including transfers) which are part of the Final Amended 5-Year Budget and Final 3-Year Budget displayed in Exhibit A.

4.3 The Executive Director is authorized to revise any appropriation made in the Final Amended 5-Year Budget and Final 3-Year Budget where a revision is of a technical nature and is consistent with the intent of the Board of Directors. This includes revisions to appropriations that are required due to required changes in the accounting codes and the structure of the cost categories within the accounting system in order to capture and report detailed costs to the Agency's external review and/or granting agencies.

**5. Section 5. Appropriation Increases/Decreases**

5.1 All appropriation changes (increases or decreases) in excess of \$50,000 to the Budget Categories defined above shall be approved by the Agency's Board of Directors.

**6. Section 6. Staffing Changes**

6.1 Any increase or decrease, by Budget Category, in FTE as authorized in the Final Amended 5-Year Budget and Final 3-Year Budget must be approved by the Board of Directors, or the Executive Director, as appropriate. The phrase "as appropriate" means whether the amount of increase or decrease in FTE results in a required budget adjustment of over, or under, \$50,000. If the amount of the adjustment is under \$50,000 the Executive Director is authorized to approve the transaction. If the amount of the adjustment is over \$50,000, Board approval is required.

**7. Section 7. Appropriation Transfers from Contingency/Reserve Funds**

7.1 The Executive Director is authorized to make appropriation changes (increases or decreases) not exceeding \$50,000 from available fund balances. Appropriation changes exceeding \$50,000 must be approved by the Agency's Board of Directors.

7.2 Transfers shall not be made from available fund balance if the transfer will result in a negative balance.

**8. Section 8. Other Appropriation Transfers**

8.1 Appropriation transfers within the same Budget Category and the same fund must be approved by the Executive Director.

8.2 Appropriation transfers between two or more Budget Categories, up to and including \$50,000, must be approved by the Executive Director. Such transfers in excess of \$50,000 must be approved by the Agency's Board of Directors.

**9. Section 9. Unspent Appropriations and Encumbrances**

9.1 All appropriations in the Operations Budget Category which remain unencumbered or unexpended on June 30<sup>th</sup> of any given fiscal year shall revert to the available fund balance of the respective fund.

9.2 All appropriations in the Capital Budget Categories which remain unencumbered or unexpended on June 30<sup>th</sup> of any given fiscal year shall be carried over to the next fiscal year.

**10. Section 10. Capital Improvements**

10.1 Capital appropriations shall be used solely for the originally approved project or projects.

10.2 All multi-year capital projects within the Capital Budget Categories in existence on June 30<sup>th</sup> of any given fiscal year shall be continued to the next fiscal year.

10.3 Consultants performing professional services related to completing the "soft costs" related to a capital project must be retained by the Agency through the execution of a professional services' Master Contract, and the contract must be approved by the Agency's Board of Directors at a meeting of the Board.

10.4 If a Master Contract for professional services has been approved by the Board of Directors, the Executive Director is authorized to execute specific task orders as the work is identified provided that:

10.4.1 The identified work is generally consistent with the goals and objectives of the Agency as well as the work plan being pursued by the Agency's staff.

10.4.2 The amount is within the capital appropriation shown in the approved Final Budgets as Amended.



- 10.4.3 The amount does not exceed \$50,000. Amounts in excess of \$50,000 must be approved by the Board of Directors.
- 10.4.4 The staff reports back to the Board, in a public report, on a monthly basis regarding the specific task orders that were executed and related amounts involved since the last time the Board met to ensure transparency and good procurement practices.

**11. Section 11. Regular Financial Reporting**

- 11.1 The Agency's Board of Directors shall be provided a regular Financial Report including a revised estimate of the financial condition of all funds, revised estimated revenues and expenditures, and recommendations for eliminating any projected fund deficits.
- 11.2 The Agency's Board of Directors shall act on any projected fund deficits as part of the fiscal year-end close process.

**12. Section 12. Miscellaneous Controls/Considerations**

- 12.1 No expenditures by fund at the level of Budget Category shall exceed the Final Amended 5-Year Budget or Final 3-Year Budget as it may be further amended and or supplemented from time to time.
- 12.2 Subject to approval by the Executive Director and pursuant to the above sections governing transfers and appropriations, projected deficiencies shall be corrected by:
  - 12.1 Reducing expenditures within a Budget Category; or
  - 12.2 Making an appropriation transfer from available fund balance subject to the provisions of Section 8.
- 12.3 In all staff reports that come before the Agency's Board of Directors, the net budgetary impacts on all funds shall be stated clearly and concisely, including indirect impacts, so that the Board of Directors has a full understanding of the financial considerations and impact of its decisions.

**ADOPTED** as a resolution of the Board of Directors of the Sutter Butte Flood Control Agency at a regular meeting duly held on the 8th day of June 2016.

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CHAIRMAN

APPROVED AS TO FORM:

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AGENCY COUNSEL

STATE OF CALIFORNIA )  
 COUNTY OF SUTTER )  
 SUTTER BUTTE FLOOD CONTROL AGENCY )

I, \_\_\_\_\_, Clerk of the Board of Directors of the Sutter Butte Flood Control Agency, do hereby certify that the foregoing is a true and correct copy of Resolution No. 2016-\_\_\_\_\_ adopted by the Board of

Directors of the Sutter Butte Flood Control Agency, California, at a regular meeting thereof, held on the 22nd day of June, 2016 by the following vote:

AYES:

NOES:

ABSENT:

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BOARD CLERK



**PROPOSED PRELIMINARY**

**AMENDED BUDGET FOR FISCAL YEAR 2015-16 - "PROPOSED AMENDED 5-YEAR BUDGET"**

**AND**

**BUDGET FOR FISCAL YEARS 2016-17, 2017-18 AND 2018-19 - "PROPOSED 3-YEAR BUDGET"**

**MAY 11, 2016**

**(Including current approved budget and  
prior year actual amounts for the 2011-12 through 2014-15 Fiscal Years)**

**Submitted by:**

**Michael Inamine, PE  
Executive Director**



**SUTTER BUTTE FLOOD CONTROL AGENCY**  
**COMBINED BUDGET SUMMARY**

**SBFCA COMBINED BUDGET SUMMARY**

**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**

**June 22, 2016**

| <u>Line Item Description</u>                     | <u>Actual 2011-12</u> | <u>Actual 2012-13</u> | <u>Actual 2013-14</u> | <u>Actual 2014-15</u> | <u>Current (6/10/15) 2015-16 Budget</u> | <u>Proposed Am. 2015-16 Budget</u> | <u>Current (6/10/15) Five Years</u> | <u>Proposed Final Am. Five Years</u> | <u>Proposed 2016-17 Budget</u> | <u>Proposed 2017-18 Budget</u> | <u>Proposed 2018-19 Budget</u> | <u>Proposed Three Years</u> |
|--|-----------------------|-----------------------|-----------------------|-----------------------|---|------------------------------------|-------------------------------------|--------------------------------------|--------------------------------|--------------------------------|--------------------------------|-----------------------------|
| <b>Working Capital Beginning of Period</b>       |                       |                       |                       |                       |   |                                    |                                     |                                      |                                |                                |                                |                             |
| Operational Fund 730                             | 1,423,104             | 1,953,643             | 2,473,196             | 2,901,099             | 3,173,365                               | 3,257,475                          | 1,423,104                           | 1,423,104                            | 3,360,867                      | 3,058,670                      | 2,270,157                      | 3,360,867                   |
| Capital Fund 731 - USACE Study (1064)            | (370,540)             | 1,005,314             | (187,795)             | (879,866)             | (474,762)                               | (479,609)                          | (370,540)                           | (370,540)                            | (877,437)                      | (877,437)                      | (877,437)                      | (877,437)                   |
| Capital Fund 731 - EIP (5001/6001)               | 1,414,404             | 747,964               | 40,458,718            | 36,570,383            | 11,290,645                              | 54,555,358                         | 1,414,404                           | 1,414,404                            | 10,633,637                     | 17,142,552                     | 24,878,136                     | 10,633,637                  |
| Capital Fund 731 - Stakeholder (1068)            | -                     | 34,048                | 16,488                | 9,834                 | 0                                       | 7,890                              | -                                   | -                                    | 33,430                         | 8,430                          | 8,430                          | 33,430                      |
| Capital Fund 731 - Regional Planning (2001)      | -                     | -                     | (84,823)              | (187,160)             | (133,049)                               | (127,980)                          | -                                   | -                                    | (157,810)                      | (115,642)                      | (73,997)                       | (157,810)                   |
| Capital Fund 731 - ER Planning (2002)            | -                     | -                     | -                     | (27,277)              | (12,282)                                | (10,709)                           | -                                   | -                                    | (17,210)                       | (17,210)                       | (17,210)                       | (17,210)                    |
| Capital Fund 731 - LC FSRP (2004)                | -                     | -                     | -                     | -                     | (878,260)                               | (231,951)                          | -                                   | -                                    | (2,170,313)                    | (1,175,000)                    | (1,175,000)                    | (2,170,313)                 |
| Capital Fund 731 - SB FSRP (XXXX)                | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | (539,000)                      | -                           |
| Capital Fund 731 - OWA (2005)                    | -                     | -                     | -                     | (3,161)               | (738,694)                               | (168,706)                          | -                                   | -                                    | (734,143)                      | (214,381)                      | (214,381)                      | (734,143)                   |
| Capital Fund 731 - GBSP (2006)                   | -                     | -                     | -                     | -                     | (139,889)                               | (206,550)                          | -                                   | -                                    | (0)                            | (0)                            | (0)                            | (0)                         |
| Capital Fund 731 - Star Bend                     | 1,969,885             | -                     | -                     | -                     | -                                       | -                                  | 1,969,885                           | 1,969,885                            | -                              | -                              | -                              | -                           |
| Capital Fund 731 - ULOP & Accreditation (XXXX)   | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | (333,937)                      | (395,814)                      | -                           |
| <b>Total Working Capital Beginning of Period</b> | <b>4,436,853</b>      | <b>3,740,970</b>      | <b>42,675,785</b>     | <b>38,383,853</b>     | <b>12,087,074</b>                       | <b>56,595,218</b>                  | <b>4,436,853</b>                    | <b>4,436,853</b>                     | <b>10,071,020</b>              | <b>17,476,044</b>              | <b>23,863,885</b>              | <b>10,071,020</b>           |
| <b>Transfers</b>                                 |                       |                       |                       |                       |   |                                    |                                     |                                      |                                |                                |                                |                             |
| Operational Fund 730                             | 119,244               | 58,995                | -                     | -                     | (48,000)                                | (48,000)                           | 126,453                             | 130,239                              | -                              | -                              | -                              | -                           |
| Capital Fund 731                                 | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - USACE Study (1064)            | 1,294,346             | -                     | -                     | -                     | -                                       | -                                  | 1,294,346                           | 1,294,346                            | -                              | -                              | -                              | -                           |
| Capital Fund 731 - EIP (5001/6001)               | (45,947)              | (58,995)              | -                     | -                     | -                                       | -                                  | (104,942)                           | (104,942)                            | -                              | -                              | -                              | -                           |
| Capital Fund 731 - Stakeholder (1068)            | -                     | -                     | -                     | -                     | 48,000                                  | 48,000                             | 51,786                              | 48,000                               | -                              | -                              | -                              | -                           |
| Capital Fund 731 - Regional Planning (2001)      | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - ER Planning (2002)            | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - LC FSRP (2004)                | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - SB FSRP (XXXX)                | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - OWA (2005)                    | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - GBSP (2006)                   | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - Star Bend (1068)              | (1,367,643)           | -                     | -                     | -                     | -                                       | -                                  | (1,367,643)                         | (1,367,643)                          | -                              | -                              | -                              | -                           |
| Capital Fund 731 - ULOP & Accreditation (XXXX)   | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Subtotal Capital Fund                            | (119,244)             | (58,995)              | -                     | -                     | 48,000                                  | 48,000                             | (126,453)                           | (130,239)                            | -                              | -                              | -                              | -                           |
| <b>Net Transfers</b>                             | <b>(0)</b>            | <b>-</b>              | <b>-</b>              | <b>-</b>              | <b>-</b>                                | <b>-</b>                           | <b>-</b>                            | <b>(0)</b>                           | <b>-</b>                       | <b>-</b>                       | <b>-</b>                       | <b>-</b>                    |
| <b>Revenues</b>                                  |                       |                       |                       |                       |   |                                    |                                     |                                      |                                |                                |                                |                             |
| Operational Fund 730                             | 767,364               | 765,002               | 768,241               | 772,144               | 750,000                                 | 750,000                            | 3,800,608                           | 3,822,752                            | 750,000                        | 750,000                        | 750,000                        | 2,250,000                   |
| Capital Fund 731                                 |                       |                       |                       |                       |   |                                    |                                     |                                      |                                |                                |                                |                             |
| Capital Fund 731 - USACE Study (1064)            | 506,258               | 22,303                | -                     | 407,204               | -                                       | -                                  | 935,764                             | 935,764                              | -                              | -                              | -                              | -                           |
| Capital Fund 731 - EIP (Local) (5001)            | 5,797,647             | 5,795,767             | 5,881,206             | 5,909,787             | 5,750,000                               | 5,750,142                          | 28,989,620                          | 29,134,548                           | 5,750,000                      | 5,750,000                      | 5,750,000                      | 17,250,000                  |
| Capital Fund 731 - EIP (State) (6001)            | 3,488,721             | 4,842,366             | 41,255,844            | 67,863,171            | 58,386,053                              | 23,226,015                         | 191,794,790                         | 140,676,117                          | 42,415,443                     | 11,735,617                     | -                              | 54,151,060                  |
| Capital Fund 731 - Stakeholder (1068)            | 50,750                | 11,000                | -                     | -                     | -                                       | -                                  | 61,750                              | 61,750                               | -                              | -                              | -                              | -                           |
| Capital Fund 731 - Regional Planning (2001)      | -                     | 114,857               | 341,700               | 201,627               | 550,000                                 | 220,180                            | 1,175,275                           | 878,364                              | 281,759                        | 41,645                         | -                              | 323,404                     |
| Capital Fund 731 - ER Planning (2002)            | -                     | -                     | -                     | 118,685               | -                                       | 10,116                             | 174,969                             | 128,801                              | -                              | -                              | -                              | -                           |
| Capital Fund 731 - LC FSRP (2004)                | -                     | -                     | -                     | -                     | 13,047,986                              | -                                  | 14,052,622                          | -                                    | 7,225,000                      | -                              | -                              | 7,225,000                   |
| Capital Fund 731 - Fut FSRP (XXXX)               | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | 2,261,000                      | 34,680,354                     | 36,941,354                  |
| Capital Fund 731 - OWA (2005)                    | -                     | -                     | -                     | 361,365               | 1,031,799                               | 94,475                             | 1,658,800                           | 455,840                              | 2,027,960                      | -                              | 2,000,000                      | 4,027,960                   |
| Capital Fund 731 - GBSP (2006)                   | -                     | -                     | -                     | -                     | 408,074                                 | 460,000                            | 460,000                             | 460,000                              | -                              | -                              | -                              | -                           |
| Capital Fund 731 - Star Bend (1068)              | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Capital Fund 731 - ULOP & Accreditation (XXXX)   | -                     | -                     | -                     | -                     | -                                       | -                                  | -                                   | -                                    | -                              | -                              | -                              | -                           |
| Subtotal Capital Fund                            | 9,843,375             | 10,786,293            | 47,478,750            | 74,861,840            | 79,173,912                              | 29,760,927                         | 239,303,590                         | 172,731,184                          | 57,700,162                     | 19,788,262                     | 42,430,354                     | 119,918,778                 |
| <b>Total Revenues Operating &amp; Capital</b>    | <b>10,610,739</b>     | <b>11,551,295</b>     | <b>48,246,991</b>     | <b>75,633,984</b>     | <b>79,923,912</b>                       | <b>30,510,927</b>                  | <b>243,104,198</b>                  | <b>176,553,936</b>                   | <b>58,450,162</b>              | <b>20,538,262</b>              | <b>43,180,354</b>              | <b>122,168,778</b>          |

**SBFCA COMBINED BUDGET SUMMARY**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| <u>Line Item Description</u>                   | <u>Actual<br/>2011-12</u> | <u>Actual<br/>2012-13</u> | <u>Actual<br/>2013-14</u> | <u>Actual<br/>2014-15</u> | <u>Current (6/10/15)<br/>2015-16 Budget</u> | <u>Proposed Am.<br/>2015-16 Budget</u> | <u>Current (6/10/15)<br/>Five Years</u> | <u>Proposed Final Am.<br/>Five Years</u> | <u>Proposed<br/>2016-17 Budget</u> | <u>Proposed<br/>2017-18 Budget</u> | <u>Proposed<br/>2018-19 Budget</u> | <u>Proposed<br/>Three Years</u> |
|--|---------------------------|---------------------------|---------------------------|---------------------------|---|--|---|--|------------------------------------|------------------------------------|------------------------------------|---------------------------------|
| <b>Expenses</b>                                |                           |                           |                           |                           |   |  |   |  |                                    |                                    |                                    |                                 |
| Operational Fund 730                           | 356,068                   | 304,445                   | 340,338                   | 415,769                   | 624,803                                     | 598,608                                | 2,099,602                               | 2,015,227                                | 1,052,197                          | 1,538,513                          | 1,577,052                          | 4,167,761                       |
| Capital Fund 731                               |                           |                           |                           |                           |   |  |   |  |                                    |                                    |                                    |                                 |
| Capital Fund 731 - USACE Study (1064)          | 424,750                   | 1,215,411                 | 692,071                   | 6,947                     | -   | 397,829                                | 2,334,332                               | 2,737,007                                | -                                  | -                                  | -                                  | -                               |
| Capital Fund 731 - EIP (5001/6001)             | 10,536,685                | 11,852,151                | 49,490,191                | 93,970,096                | 57,187,236                                  | 69,725,047                             | 280,504,604                             | 235,574,170                              | 37,556,472                         | 5,645,951                          | -                                  | 43,202,423                      |
| Capital Fund 731 - Stakeholder (1068)          | 16,702                    | 28,560                    | 6,654                     | 1,944                     | 48,000                                      | 22,460                                 | 113,536                                 | 76,320                                   | 25,000                             | -                                  | -                                  | 25,000                          |
| Capital Fund 731 - Regional Planning (2001)    | -                         | 199,680                   | 444,037                   | 142,448                   | 550,000                                     | 250,009                                | 1,308,324                               | 1,036,174                                | 239,591                            | -                                  | -                                  | 239,591                         |
| Capital Fund 731 - ER Planning (2002)          | -                         | -                         | 27,277                    | 102,117                   | -   | 16,617                                 | 187,251                                 | 146,010                                  | -                                  | -                                  | -                                  | -                               |
| Capital Fund 731 - LC FSRP (2004)              | -                         | -                         | -                         | 231,951                   | 14,129,601                                  | 1,938,362                              | 16,012,497                              | 2,170,313                                | 6,229,687                          | -                                  | -                                  | 6,229,687                       |
| Capital Fund 731 - Fut FSRP (XXXX)             | -                         | -                         | -                         | -                         | -   | -                                      | -                                       | -  | -                                  | 2,800,000                          | 41,618,333                         | 44,418,333                      |
| Capital Fund 731 - OWA (2005)                  | -                         | -                         | 3,161                     | 526,911                   | 316,020                                     | 659,911                                | 1,681,715                               | 1,189,983                                | 1,508,198                          | -                                  | 2,000,000                          | 3,508,198                       |
| Capital Fund 731 - GBSP (2006)                 | -                         | -                         | -                         | 206,550                   | 268,185                                     | 253,451                                | 460,000                                 | 460,000                                  | -                                  | -                                  | -                                  | -                               |
| Capital Fund 731 - Star Bend (1068)            | 602,242                   | -                         | -                         | -                         | -   | -                                      | 602,242                                 | 602,242                                  | -                                  | -                                  | -                                  | -                               |
| Capital Fund 731 - ULOP & Accreditation (XXXX) | -                         | -                         | -                         | -                         | -   | -                                      | -                                       | -  | 333,937                            | 61,876                             | 38,298                             | 434,112                         |
| Subtotal Capital Fund                          | 11,580,379                | 13,295,802                | 50,663,391                | 95,188,963                | 72,499,042                                  | 73,263,686                             | 303,204,500                             | 243,992,220                              | 45,892,885                         | 8,507,827                          | 43,656,631                         | 98,057,344                      |
| <b>Total Expenses Operating &amp; Capital</b>  | <b>11,936,447</b>         | <b>13,600,247</b>         | <b>51,003,728</b>         | <b>95,604,732</b>         | <b>73,123,845</b>                           | <b>73,862,294</b>                      | <b>305,304,102</b>                      | <b>246,007,448</b>                       | <b>46,945,082</b>                  | <b>10,046,340</b>                  | <b>45,233,683</b>                  | <b>102,225,105</b>              |
| <b>Financing Activities [1]</b>                |                           |                           |                           |                           |   |  |   |  |                                    |                                    |                                    |                                 |
| Gross Proceeds from New Debt [Trustee]         |                           | 36,879,076                | -                         | 34,186,785                |   | 19,602,366                             | 36,879,076                              | 90,668,227                               |                                    |                                    |                                    | -                               |
| Proceeds from of New Debt [SBFCA]              | 3,689,878                 | 7,913,939                 | -                         | 21,750,424                |   | (19,602,366)                           | 50,733,376                              | 13,751,875                               |                                    |                                    |                                    | -                               |
| Short/Long Term Debt Repayment                 | (3,060,054)               | (3,114,782)               | -                         | (14,737,649)              |   |  | (6,174,836)                             | (20,912,485)                             |                                    |                                    |                                    | -                               |
| Costs of Financing                             |                           | (620,422)                 | -                         | (682,806)                 |   |  | (1,270,422)                             | (1,303,228)                              |                                    |                                    |                                    | -                               |
| Interest Paid on Outstanding Debt              | -                         | (74,044)                  | (1,535,194)               | (2,334,641)               | (2,975,313)                                 | (3,172,831)                            | (6,492,314)                             | (7,116,709)                              | (4,100,056)                        | (4,104,081)                        | (5,468,181)                        | (13,672,319)                    |
| <b>Net Financing Activities</b>                | <b>629,824</b>            | <b>40,983,767</b>         | <b>(1,535,194)</b>        | <b>38,182,113</b>         | <b>(2,975,313)</b>                          | <b>(3,172,831)</b>                     | <b>73,674,880</b>                       | <b>75,087,679</b>                        | <b>(4,100,056)</b>                 | <b>(4,104,081)</b>                 | <b>(5,468,181)</b>                 | <b>(13,672,319)</b>             |
| <b>Working Capital End of Period</b>           |                           |                           |                           |                           |   |  |   |  |                                    |                                    |                                    |                                 |
| Operational Fund 730                           | 1,953,643                 | 2,473,196                 | 2,901,099                 | 3,257,475                 | 3,250,562                                   | 3,360,867                              | 3,250,563                               | 3,360,867                                | 3,058,670                          | 2,270,157                          | 1,443,106                          | 1,443,106                       |
| Capital Fund 731 - USACE Study (1064)          | 1,005,314                 | (187,795)                 | (879,866)                 | (479,609)                 | (474,762)                                   | (877,437)                              | (474,762)                               | (877,437)                                | (877,437)                          | (877,437)                          | (877,437)                          | (877,437)                       |
| Capital Fund 731 - EIP (5001/6001) [1]         | 747,964                   | 40,458,718                | 36,570,383                | 54,555,358                | 15,264,149                                  | 10,633,637                             | 15,264,149                              | 10,633,637                               | 17,142,552                         | 24,878,136                         | 25,159,955                         | 25,159,955                      |
| Capital Fund 731 - Stakeholder (1068)          | 34,048                    | 16,488                    | 9,834                     | 7,890                     | 0   | 33,430                                 | 0                                       | 33,430                                   | 8,430                              | 8,430                              | 8,430                              | 8,430                           |
| Capital Fund 731 - Regional Planning (2001)    | -                         | (84,823)                  | (187,160)                 | (127,980)                 | (133,049)                                   | (157,810)                              | (133,049)                               | (157,810)                                | (115,642)                          | (73,997)                           | (73,997)                           | (73,997)                        |
| Capital Fund 731 - ER Planning (2002)          | -                         | -                         | (27,277)                  | (10,709)                  | (12,282)                                    | (17,210)                               | (12,282)                                | (17,210)                                 | (17,210)                           | (17,210)                           | (17,210)                           | (17,210)                        |
| Capital Fund 731 - LC FSRP (2004)              | -                         | -                         | -                         | (231,951)                 | (1,959,875)                                 | (2,170,313)                            | (1,959,875)                             | (2,170,313)                              | (1,175,000)                        | (1,175,000)                        | (1,175,000)                        | (1,175,000)                     |
| Capital Fund 731 - FUT FSRP (XXXX)             | -                         | -                         | -                         | -                         | -   | -                                      | -                                       | -  | -                                  | (539,000)                          | (7,476,979)                        | (7,476,979)                     |
| Capital Fund 731 - OWA (2005)                  | -                         | -                         | (3,161)                   | (168,706)                 | (22,915)                                    | (734,143)                              | (22,915)                                | (734,143)                                | (214,381)                          | (214,381)                          | (214,381)                          | (214,381)                       |
| Capital Fund 731 - GBSP (2006)                 | -                         | -                         | -                         | (206,550)                 | -   | (0)                                    | -                                       | (0)                                      | (0)                                | (0)                                | (0)                                | (0)                             |
| Capital Fund 731 - Star Bend                   | -                         | -                         | -                         | -                         | -   | -                                      | (0)                                     | -  | -                                  | -                                  | -                                  | -                               |
| Capital Fund 731 - ULOP & Accreditation (XXXX) | -                         | -                         | -                         | -                         | -   | -                                      | -                                       | -  | (333,937)                          | (395,814)                          | (434,112)                          | (434,112)                       |
| <b>Total Working Capital End of Period</b>     | <b>3,740,970</b>          | <b>42,675,785</b>         | <b>38,383,853</b>         | <b>56,595,218</b>         | <b>15,911,830</b>                           | <b>10,071,020</b>                      | <b>15,911,830</b>                       | <b>10,071,020</b>                        | <b>17,476,044</b>                  | <b>23,863,885</b>                  | <b>16,342,374</b>                  | <b>16,342,374</b>               |

[1] Financing Activities are reflected in the Capital Fund EIP Ending Working Capital Balance



**SUTTER BUTTE FLOOD CONTROL AGENCY**

**OPERATING FUND: 730**

**SBFCA OPERATING FUND 730**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
June 22, 2016

| Account Number       | Line Item Description  | 11/12 Actual   | 12/13 Actual   | 13/14 Actual   | 14/15 Actual   | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/15) Five Years | Final Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years | Discussion |
|----------------------|--|----------------|----------------|----------------|----------------|---------------------------------|-----------------------------|-----------------------------|----------------------|-------------------------|-------------------------|-------------------------|----------------------|------------|
| <b>Revenues:</b>     |  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43195                | Federal Intergovernmental Funds  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43495                | State Intergovernmental Funds-Proposition 13 Funds-\$1.4 M                   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43495                | State Intergovernmental Funds-Proposition 13 Funds-\$650 K                   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43530                | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Local Credit) |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43531                | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (State Share)  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 43717                | Local Intergovernmental Contributions  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 45520                | Assessment District Revenues   | 750,000        | 750,000        | 750,000        | 750,000        | 750,000                         | 750,000                     | 3,750,000                   | 3,750,000            | 750,000                 | 750,000                 | 750,000                 | 2,250,000            |            |
| 46110                | Interest on Investments  | 17,364         | 15,002         | 18,241         | 22,144         |                                 |                             | 50,608                      | 72,752               |                         |                         |                         |                      |            |
| 49010                | Other Revenue  |                | -              |                |                |                                 |                             | -                           | -                    |                         |                         |                         |                      |            |
|                      | <b>Total Operating Revenues</b>  | <b>767,364</b> | <b>765,002</b> | <b>768,241</b> | <b>772,144</b> | <b>750,000</b>                  | <b>750,000</b>              | <b>3,800,608</b>            | <b>3,822,752</b>     | <b>750,000</b>          | <b>750,000</b>          | <b>750,000</b>          | <b>2,250,000</b>     |            |
| <b>Expenditures:</b> |  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| <b>Staffing:</b>     |  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62701                | Executive Director*  | 16,423         |                |                |                | -                               | -                           | 16,423                      | 16,423               |                         |                         |                         |                      |            |
| 62730                | Attorney*  | 40,434         | 10,290         | 9,047          | 6,500          | 16,000                          | 9,011                       | 84,006                      | 75,282               | 36,000                  | 52,000                  | 54,200                  | 142,200              |            |
| 62701                | Analyst/Administrative Assistant*  | 13,466         | 4,525          | 976            | -              | -                               | -                           | 18,967                      | 18,967               | -                       | -                       | -                       | -                    |            |
| 62701                | Clerk/Secretary*   | -              | -              | -              | -              | -                               | 4,741                       | -                           | 4,741                | 56,160                  | 121,968                 | 128,066                 | 306,194              |            |
| 62798                | Executive Director - Salaries & Wages  | 8,680          | 37,608         | 28,427         | 15,775         | 12,807                          | 24,440                      | 105,647                     | 114,929              | 61,890                  | 190,129                 | 196,812                 | 448,831              |            |
| 62798                | Admin Mgr - Salaries & Wages   |                |                | 76,134         | 68,473         | 93,403                          | 22,592                      | 262,939                     | 167,199              |                         |                         |                         |                      |            |
| 62798                | PB Admin Labor Overhead  |                |                |                |                |                                 | 23,272                      | -                           | 23,272               | 48,000                  | 48,000                  | 48,000                  | 144,000              |            |
| 62799                | Executive Director - Benefits  | 7,076          | 9,382          | 14,226         | 5,671          | 5,683                           | 4,463                       | 42,049                      | 40,818               | 12,250                  | 49,000                  | 49,000                  | 110,250              |            |
| 62799                | Admin Mgr - Benefits   |                |                | 13,652         | 13,723         | 17,395                          | 11,152                      | 48,442                      | 38,527               | -                       | -                       | -                       | -                    |            |
| 61210                | Director of Engineering - Salary   |                | 25,839         | 19,962         | 25,692         | 26,383                          | 46,978                      | 98,568                      | 118,471              | 37,406                  | 166,513                 | 174,839                 | 378,758              |            |
| 615XX                | Director of Engineering-Benefits   |                | 13,413         | 10,758         | 13,883         | 10,836                          | 23,411                      | 45,842                      | 61,465               | 19,000                  | 79,296                  | 81,278                  | 179,575              |            |
| 62701                | Director of Engineering - Consulting Support*                                | 36,954         | -              | -              | -              | 158,000                         | 100,170                     | 194,954                     | 137,124              | 171,167                 | 171,167                 | 171,167                 | 513,500              |            |
| 62701                | Public Information*  | 15,134         | 3,463          | 4,830          | 2,849          | 5,513                           | 4,376                       | 34,190                      | 30,652               | 22,050                  | 100,000                 | 105,000                 | 227,050              |            |
| 62701                | Financial Management*  | 35,267         | 55,047         | 27,246         | 91,908         | 47,050                          | 54,410                      | 231,980                     | 263,879              | 85,050                  | 120,000                 | 126,000                 | 331,050              |            |
| 62701                | Assessment District Administration   | 144,030        | 112,808        | 96,026         | 84,362         | 89,000                          | 101,813                     | 532,864                     | 539,038              | 45,000                  | 45,000                  | 47,250                  | 137,250              |            |
| 65647                | CADAC Administration   |                |                | 2,365          | 9,690          | 20,000                          | 20,000                      | 42,365                      | 32,055               | 10,000                  | 10,000                  | 10,000                  | 30,000               |            |
| 62701                | SWIF Development   |                |                |                |                |                                 |                             | -                           | -                    | 132,667                 | -                       | -                       | 132,667              |            |
| 62701                | Governance   |                |                |                |                |                                 |                             | -                           | -                    | -                       | -                       | -                       | -                    |            |
| 62701                | Basin Floodplain Management  |                |                |                |                |                                 |                             | -                           | -                    | 57,500                  | 57,500                  | 57,500                  | 172,500              |            |
|                      | <b>Sub-Total</b>   | <b>317,464</b> | <b>272,374</b> | <b>303,650</b> | <b>338,527</b> | <b>502,069</b>                  | <b>450,826</b>              | <b>1,759,236</b>            | <b>1,682,841</b>     | <b>794,140</b>          | <b>1,210,573</b>        | <b>1,249,112</b>        | <b>3,253,825</b>     |            |



**SBFCA OPERATING FUND 730**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number                        | Line Item Description                                     | 11/12 Actual   | 12/13 Actual   | 13/14 Actual   | 14/15 Actual   | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/15) Five Years | Final Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years | Discussion |
|---------------------------------------|---|----------------|----------------|----------------|----------------|---------------------------------|-----------------------------|-----------------------------|----------------------|-------------------------|-------------------------|-------------------------|----------------------|------------|
| <b>County/City Services:</b>          |   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62701                                 | Budget/Accounting/Finance*                                | -              | -              | -              | -              | 5,513                           | 3,308                       | 8,663                       | 3,308                | 4,410                   | 22,050                  | 22,050                  | 48,510               |            |
| 62701                                 | Engineering/Public Works                                  |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62701                                 | Human Resources/Risk Management                           |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62701                                 | Federal Advocacy (Sutter Basin)                           |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62701                                 | Other   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
|                                       | <b>Sub-Total</b>  | -              | -              | -              | -              | 5,513                           | 3,308                       | 8,663                       | 3,308                | 4,410                   | 22,050                  | 22,050                  | 48,510               |            |
| <b>Services and Supplies:</b>         |   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 62201                                 | Telephone*  | 728            | 235            | 1,426          | 389            | 1,200                           | 400                         | 4,789                       | 3,178                | 2,400                   | 3,600                   | 3,600                   | 9,600                |            |
| 62301                                 | Postage & Freight*  | 21             | 132            | 713            | 22             | 360                             | 597                         | 1,586                       | 1,485                | 720                     | 1,080                   | 1,080                   | 2,880                |            |
| 62401                                 | Advertising*  | 188            | 24             | 90             | -              | 45                              | 15                          | 392                         | 317                  | 90                      | 180                     | 180                     | 450                  |            |
| 62501                                 | Office Supplies*  | 2,355          | 362            | 694            | 384            | 1,200                           | 415                         | 5,810                       | 4,210                | 2,400                   | 3,600                   | 3,600                   | 9,600                |            |
| 62507                                 | Computer Forms & Supplies*                                | -              | -              | 173            | -              | 504                             | 168                         | 1,177                       | 341                  | 1,008                   | 1,512                   | 1,512                   | 4,032                |            |
| 62601                                 | Printing and Binding*                                     | 7              | -              | 423            | 76             | 1,200                           | 442                         | 2,830                       | 948                  | 2,400                   | 2,400                   | 2,400                   | 7,200                |            |
| 62701                                 | Professional Services*                                    | 13,540         | 11,454         | 14,596         | 51,764         | 70,000                          | 28,574                      | 169,087                     | 119,928              | 140,000                 | 180,000                 | 180,000                 | 500,000              |            |
| 62701                                 | Paychex Payroll Services*                                 | 635            | 887            | 1,231          | 1,415          | 1,200                           | 1,036                       | 5,153                       | 5,203                | 2,400                   | 1,200                   | 1,200                   | 4,800                |            |
| 62801                                 | Travel & Meeting*   | 3,973          | 8,249          | 10,269         | 17,950         | 15,000                          | 19,522                      | 52,491                      | 59,963               | 30,000                  | 45,000                  | 45,000                  | 120,000              |            |
| 63101                                 | Dues & Subscriptions*                                     | 2,941          | 855            | 1,017          | 829            | 3,200                           | 1,408                       | 11,213                      | 7,050                | 15,000                  | 100                     | 100                     | 15,200               |            |
| 63201                                 | Rentals-Buildings/Equipment/Land*                         | 1,340          | 525            | 480            | 205            | 1,020                           | 430                         | 4,385                       | 2,980                | 7,710                   | 18,000                  | 18,000                  | 43,710               |            |
| 63301                                 | O&M-Office Equipment*                                     | -              | -              | -              | -              | -                               | -                           | -                           | -                    | -                       | -                       | -                       | -                    |            |
| 63320                                 | O&M-Computer Equipment*                                   | -              | -              | -              | -              | -                               | -                           | -                           | -                    | -                       | -                       | -                       | -                    |            |
| 63801                                 | Tools, Supplies & Equip.<\$5000*                          | 6,069          | 3,873          | 3,359          | 2,236          | 4,000                           | -                           | 21,302                      | 15,538               | 28,000                  | 28,000                  | 28,000                  | 84,000               |            |
| 63901                                 | Training Program/Aids*                                    | -              | -              | -              | -              | -                               | -                           | -                           | -                    | -                       | -                       | -                       | -                    |            |
| 64310                                 | Liability Insurance*                                      | 5,802          | 5,437          | 2,215          | 1,344          | 2,992                           | 1,079                       | 19,439                      | 15,878               | 15,918                  | 15,918                  | 15,918                  | 47,754               |            |
| 66001                                 | Other Materials & Supplies*                               | 1,005          | 38             | -              | 630            | 300                             | 90,388                      | 2,048                       | 92,062               | 600                     | 300                     | 300                     | 1,200                |            |
|                                       | <b>Sub-Total</b>  | 38,604         | 32,071         | 36,688         | 77,242         | 102,221                         | 144,474                     | 301,703                     | 329,079              | 248,646                 | 300,890                 | 300,890                 | 850,426              |            |
| <b>Capital/Small Equipment Items:</b> |   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
| 69201                                 | Equipment   |                |                |                |                | 15,000                          | -                           | 30,000                      | -                    | 5,000                   | 5,000                   | 5,000                   | 15,000               |            |
| 65602                                 | Contingency   |                |                |                |                |                                 |                             |                             |                      |                         |                         |                         |                      |            |
|                                       | <b>Total Operating Expenditures</b>                       | <b>356,068</b> | <b>304,445</b> | <b>340,338</b> | <b>415,769</b> | <b>624,803</b>                  | <b>598,608</b>              | <b>2,099,602</b>            | <b>2,015,227</b>     | <b>1,052,197</b>        | <b>1,538,513</b>        | <b>1,577,052</b>        | <b>4,167,761</b>     |            |
|                                       | Transfer out to Cap.Fd to Support Capital Soft Costs      |                |                |                |                | (48,000)                        | (48,000)                    | (51,786)                    | (48,000)             |                         |                         |                         |                      |            |
|                                       | Transfer in to Support Operations                         | 119,244        | 58,995         |                |                |                                 |                             | 178,239                     | 178,239              |                         |                         |                         |                      |            |
|                                       | <b>Operating Revenues Over &lt;Under&gt; Expenditures</b> | <b>530,540</b> | <b>519,552</b> | <b>427,903</b> | <b>356,376</b> | <b>77,197</b>                   |                             | <b>1,827,459</b>            | <b>1,937,763</b>     | <b>(302,197)</b>        | <b>(788,513)</b>        | <b>(827,052)</b>        | <b>(1,917,761)</b>   |            |
|                                       | Working Capital - Beginning of Period                     | 1,423,104      | 1,953,643      | 2,473,196      | 2,901,099      | 3,173,365                       | 3,257,475                   | 1,423,104                   | 1,423,104            | 3,360,867               | 3,058,670               | 2,270,157               | 3,360,867            |            |
|                                       | Working Capital - End of Period                           | 1,953,643      | 2,473,196      | 2,901,099      | 3,257,475      | 3,250,562                       | 3,360,867                   | 3,250,562                   | 3,360,867            | 3,058,670               | 2,270,157               | 1,443,106               | 1,443,106            |            |
|                                       | <b>Variance</b>   | <b>530,540</b> | <b>519,552</b> | <b>427,903</b> | <b>356,376</b> | <b>77,197</b>                   | <b>103,392</b>              | <b>1,827,459</b>            | <b>1,937,763</b>     | <b>(302,197)</b>        | <b>(788,513)</b>        | <b>(827,052)</b>        | <b>(1,917,761)</b>   |            |

[\*] - All items denoted with an asterisk are generally split Agency Administration and EIP based on allocation of overhead to the EIP Program.



**SUTTER BUTTE FLOOD CONTROL AGENCY**

**CAPITAL FUND: 731**

**SBFCA CAPITAL FUND - USACE FEASIBILITY STUDY (731-941064)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number                                    | Line Item Description   | 11/12 Actual     | 12/13 Actual       | 13/14 Actual     | 14/15 Actual   | Current (4/9/14) 2015-16 Budget | Final Am. 2015-16 Budget | Current (4/9/14) Five Years | Final Am. Five Years | Discussion |
|---|---|------------------|--------------------|------------------|----------------|---------------------------------|--------------------------|-----------------------------|----------------------|------------|
| <b>Revenues:</b>                                  |   |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 43195   | Federal Intergovernmental Funds                                     |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 43495   | State Intergovernmental Funds-Proposition 13 Funds-\$1.4 M          | 456,758          | 22,303             | -                |                | -                               |                          | 886,264                     | 479,060              |            |
| 43495   | State Intergovernmental Funds-Proposition 13 Funds-\$650 K          | 49,500           |                    | -                | 407,204        | -                               |                          | 49,500                      | 456,704              |            |
|   | <b>Total Capital Revenues</b>                                       | <b>506,258</b>   | <b>22,303</b>      | <b>-</b>         | <b>407,204</b> | <b>-</b>                        | <b>-</b>                 | <b>935,764</b>              | <b>935,764</b>       |            |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 941064  | <u>USACE Feasibility Study:</u>                                     |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 65629   | Edgar & Associates (Feasibility Study Management) - WIK             | 10,517           | -                  | -                | -              | -                               |                          | 10,517                      | 10,517               |            |
| 65630   | Downey Brand (Feasibility Study Legal) - WIK                        | 42,027           | 68,015             | 46,991           | 2,430          | -                               | 2,483                    | 158,033                     | 161,946              |            |
| 65631   | Peterson Brustad Inc (Feasibility Study Technical) - WIK            | 48,735           | 98,303             | 25,927           | 231            | -                               |                          | 173,215                     | 173,196              |            |
| 65637   | Peterson Brustad Inc (Feasibility Study Civil) - WIK                |                  | 40,345             | 851              | 4,286          | -                               |                          | 41,195                      | 45,481               |            |
| 62798   | DOE/ED/AM (Feasibility Study Technical) - WIK                       | 21,475           | 30,563             | 23,398           | -              | -                               | 7,586                    | 76,287                      | 83,022               |            |
| 65610   | Peterson, Brustad, Inc. (Hydraulic Design TO2) - Non-Credited Work  | 51,340           | 30,147             | -                | -              | -                               |                          | 81,487                      | 81,487               |            |
| 65623   | Jones & Stokes (Recreation Opportunities TO1) - Non-Credited Work   | -                | -                  | -                | -              | -                               |                          | -                           | -                    |            |
| 65624   | Jones & Stokes (Eco Sys Rest Opportunities TO2) - Non-Credited Work | -                | -                  | -                | -              | -                               |                          | -                           | -                    |            |
| 65632   | Jones & Stokes (Envir Baseline Study TO5) - Non-Credited Work       | 88,156           | 16,630             | -                | -              | -                               |                          | 104,786                     | 104,786              |            |
| 65633   | Jones & Stokes (EIS Public Scoping) - Non-Credited Work             | -                | -                  | -                | -              | -                               |                          | -                           | -                    |            |
| 65611   | Jones & Stokes (EIS/EIR for Feasibility Study) - Non-Credited Work  | -                | -                  | -                | -              | -                               |                          | -                           | -                    |            |
| 65638   | ICF Jones & Stokes (Env Compliance TO9 WIK)                         |                  | 319,908            | 314,904          | -              | -                               |                          | 634,812                     | 634,812              |            |
| 65635   | SBFCA Cash Transmitted to the USACE                                 | 162,500          | 611,500            | 280,000          | -              | -                               | 387,761                  | 1,054,000                   | 1,441,761            |            |
|   |   |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 991066  | <u>Assessment District Formation:</u>                               |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 65614   | Parsons Brinkerhoff (Assessment District Engineering)               |                  |                    |                  |                |                                 |                          |                             |                      |            |
| 65615   | Lincoln Crow (Public Education and Outreach)                        |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | Sub-Total Professional Services                                     | 424,750          | 1,215,411          | 692,071          | 6,947          | -                               | 397,829                  | 2,334,332                   | 2,737,007            |            |
|   | Tools, Supplies & Equip. < \$5,000                                  |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>                 | <b>424,750</b>   | <b>1,215,411</b>   | <b>692,071</b>   | <b>6,947</b>   | <b>-</b>                        | <b>397,829</b>           | <b>2,334,332</b>            | <b>2,737,007</b>     |            |
|   | Equipment   |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | <b>Sub-Total Capital/Small Equipment Items</b>                      | <b>-</b>         | <b>-</b>           | <b>-</b>         | <b>-</b>       | <b>-</b>                        | <b>-</b>                 | <b>-</b>                    | <b>-</b>             |            |
|   | <b>Sub-Total-Soft Costs</b>   | <b>424,750</b>   | <b>1,215,411</b>   | <b>692,071</b>   | <b>6,947</b>   | <b>-</b>                        | <b>397,829</b>           | <b>2,334,332</b>            | <b>2,737,007</b>     |            |
|   | <b>Capital Projects</b>   |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | <b>Sub-Total-Projects</b>   | <b>-</b>         | <b>-</b>           | <b>-</b>         | <b>-</b>       | <b>-</b>                        | <b>-</b>                 | <b>-</b>                    | <b>-</b>             |            |
|   | <b>Total Capital Expenditures</b>                                   | <b>424,750</b>   | <b>1,215,411</b>   | <b>692,071</b>   | <b>6,947</b>   | <b>-</b>                        | <b>397,829</b>           | <b>2,334,332</b>            | <b>2,737,007</b>     |            |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs                |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | Transfer in fm Star Bend to Support Capital Soft Costs              | 1,294,346        |                    |                  |                |                                 |                          | 1,294,346                   | 1,294,346            |            |
|   | Transfer out to Support Operations                                  |                  |                    |                  |                |                                 |                          |                             |                      |            |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b>             | <b>1,375,854</b> | <b>(1,193,109)</b> | <b>(692,071)</b> | <b>400,257</b> | <b>-</b>                        | <b>(397,829)</b>         | <b>(104,222)</b>            | <b>(506,897)</b>     |            |
|   | Working Capital - Beginning of Period                               | (370,540)        | 1,005,314          | (187,795)        | (879,866)      | (450,748)                       | (479,609)                | (370,540)                   | (370,540)            |            |
|   | Working Capital - End of Period                                     | 1,005,314        | (187,795)          | (879,866)        | (479,609)      | (450,748)                       | (877,437)                | (474,762)                   | (877,437)            |            |
|   | <b>Variance</b>   | <b>1,375,854</b> | <b>(1,193,109)</b> | <b>(692,071)</b> | <b>400,257</b> | <b>-</b>                        | <b>(397,829)</b>         | <b>(104,222)</b>            | <b>(506,897)</b>     |            |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number  | Line Item Description  | 10/11 Actual     | 11/12 Actual     | 12/13 Actual      | 13/14 Actual      | 14/15 Actual      | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|---|--|------------------|------------------|-------------------|-------------------|-------------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| <b>Revenues:</b>  |  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 43195   | Federal Intergovernmental Funds  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 43495   | State Intergovernmental Funds - Proposition 13 Funds-\$1.4 M                 |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 43495   | State Intergovernmental Funds-Proposition 13 Funds-\$650 K                   |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 43530   | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Local Credit) |                  | 1,993,555        | 2,385,757         | 9,954,855         | 4,378,617         | 3,434,650                       | 1,150,726                   | 30,076,947                    | 19,863,510              | 740,146                 | -                       | -                       | 740,146              |
| 43531   | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (State Share)  |                  | 1,495,166        | 2,456,609         | 27,123,539        | 60,781,280        | 54,951,403                      | 22,075,289                  | 157,540,393                   | 113,931,882             | 41,675,297              | 11,735,617              | -                       | 53,410,914           |
| 43717   | Local Intergovernmental Contributions  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 45520   | Assessment District Proceeds/Bond Anticipation Notes                         | 5,565,426        | 5,773,410        | 5,781,280         | 5,815,158         | 5,873,137         | 5,750,000                       | 5,750,000                   | 28,869,848                    | 28,992,985              | 5,750,000               | 5,750,000               | 5,750,000               | 17,250,000           |
| 46110   | Interest on Investments  | 39,886           | 24,237           | 14,487            | 66,048            | 36,650            |                                 | 142                         | 119,772                       | 141,564                 |                         |                         |                         |                      |
| 49010   | Other Revenue  | 143              |                  |                   | 4,177,450         | 2,703,274         |                                 |                             | 4,177,450                     | 6,880,724               |                         |                         |                         |                      |
|   | <b>Total Capital Revenues</b>  | <b>5,605,455</b> | <b>9,286,367</b> | <b>10,638,133</b> | <b>47,137,050</b> | <b>73,772,958</b> | <b>64,136,053</b>               | <b>28,976,157</b>           | <b>220,784,410</b>            | <b>169,810,665</b>      | <b>48,165,443</b>       | <b>17,485,617</b>       | <b>5,750,000</b>        | <b>71,401,060</b>    |
| <b>Expenditures (Capital Project Soft Costs):</b>         |  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| <b>991067 Early Implementation Project - Pre-Planning</b> |  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 65621   | Peterson Brustad, Inc. (EIP Grant Application TO3)                           | 36,960           |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 65621   | David Ford (Emergency Response Grant App.)                                   |                  | 6,076            | 8,885             |                   |                   |                                 |                             | 14,962                        | 14,962                  |                         |                         |                         |                      |
| 65618   | Kleinfelder (Preliminary Design Geotechnical Analysis)                       |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 65617   | Peterson Brustad, Inc. (Preliminary Design)                                  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 65621   | PBI Future Task Order (EIP Construction Grant)                               |                  |                  | 4,000             | 11,535            | 18,597            |                                 | 2,846                       | 35,579                        | 36,979                  |                         |                         |                         |                      |
| <b>Expenditures (Capital Project Soft Cost):</b>          |  |                  |                  |                   |                   |                   |                                 |                             |                               |                         |                         |                         |                         |                      |
| 995001  | 66301 Edgar & Associates (Alloc. Share to EIP Management)                    | 67,667           | 32,847           |                   |                   |                   |                                 |                             | 32,847                        | 32,847                  |                         |                         |                         |                      |
| 996001  | 66301 Edgar & Associates (Alloc. Share to EIP Management)                    | 67,667           | 32,847           |                   |                   |                   |                                 |                             | 32,847                        | 32,847                  |                         |                         |                         |                      |
|   | 66301 Edgar & Associates (Alloc. Share to EIP Management)                    | 135,335          | 65,694           |                   |                   |                   |                                 |                             | 65,694                        | 65,694                  |                         |                         |                         |                      |
| 995001  | 66302 Kennedy Modests Comm. (Alloc. Share to EIP Management)                 | 18,772           | 26,932           | 40,820            | 9,273             |                   |                                 | 19,000                      | 77,025                        | 96,025                  | 45,600                  |                         |                         | 45,600               |
| 996001  | 66302 Kennedy Modests Comm. (Alloc. Share to EIP Management)                 | 18,772           | 26,932           | 40,820            | 9,273             |                   |                                 | 6,000                       | 77,025                        | 83,025                  | 14,400                  |                         |                         | 14,400               |
|   | 66302 Kennedy Modests Comm. (Alloc. Share to EIP Management)                 | 37,544           | 53,864           | 81,640            | 18,546            |                   |                                 | 25,000                      | 154,049                       | 179,049                 | 60,000                  |                         |                         | 60,000               |
| 995001  | 66311 General (Supplies & PPE) (Alloc. Share - Des Phase)                    | 13,460           | 53,520           | 63,756            | 86,674            | 5,734             |                                 |                             | 209,684                       | 209,684                 |                         |                         |                         |                      |
| 996001  | 66311 General (Supplies & PPE) (Alloc. Share - Des Phase)                    | 24,960           | 102,086          | 79,954            | 88,299            | 5,683             |                                 |                             | 276,021                       | 276,021                 |                         |                         |                         |                      |
|   | 66311 General (Supplies & PPE) (Alloc. Share - Des Phase)                    | 38,421           | 155,605          | 143,709           | 174,973           | 11,417            |                                 |                             | 485,705                       | 485,705                 |                         |                         |                         |                      |
| 995001  | 67310 General (Supplies & PPE) (Alloc. Share - Con Phase)                    |                  |                  |                   | 6,578             | 117,240           | 474,723                         | 149,678                     | 700,378                       | 273,497                 | 122,397                 | 55,588                  |                         | 177,985              |
| 996001  | 67310 General (Supplies & PPE) (Alloc. Share - Con Phase)                    |                  |                  |                   | 2,486             | 52,860            | 149,912                         | 48,364                      | 237,417                       | 103,711                 | 38,652                  | 17,554                  |                         | 56,206               |
|   | 67310 General (Supplies & PPE) (Alloc. Share - Con Phase)                    |                  |                  |                   | 9,064             | 170,101           | 624,634                         | 198,043                     | 937,795                       | 377,207                 | 161,048                 | 73,143                  |                         | 234,191              |
| 995001  | 66321 Downey Brand (EIP Legal - Feather River Project)                       | 31,720           | 114,367          | 128,528           | 47,324            | 216,781           | 118,423                         | 48,821                      | 482,666                       | 555,822                 | 21,374                  | 9,707                   |                         | 31,081               |
| 996001  | 66321 Downey Brand (EIP Legal - Feather River Project)                       | 31,720           | 114,367          | 128,528           | 47,324            | 68,457            | 37,397                          | 15,417                      | 403,437                       | 374,094                 | 6,750                   | 3,065                   |                         | 9,815                |
|   | 66321 Downey Brand (EIP Legal - Feather River Project)                       | 63,440           | 228,734          | 257,057           | 94,649            | 285,238           | 155,820                         | 64,238                      | 886,104                       | 929,916                 | 28,123                  | 12,773                  |                         | 40,896               |
| 995001  | 66322 Downey Brand (EIP Legal - Alloc. Share of General)                     | 69,944           | 80,868           | 95,226            | 84,930            | 93,863            | 298,586                         | 89,312                      | 684,099                       | 444,198                 | 129,969                 | 59,027                  |                         | 188,996              |
| 996001  | 66322 Downey Brand (EIP Legal - Alloc. Share of General)                     | 69,944           | 80,868           | 95,226            | 84,930            | 29,641            | 94,290                          | 28,204                      | 439,428                       | 318,868                 | 41,043                  | 18,640                  |                         | 59,683               |
|   | 66322 Downey Brand (EIP Legal - Alloc. Share of General)                     | 139,888          | 161,735          | 190,451           | 169,860           | 123,504           | 392,877                         | 117,516                     | 1,123,527                     | 763,066                 | 171,012                 | 77,668                  |                         | 248,679              |
| 995001  | 66512/66713 Downey Brand (ROW Legal) - General                               | 38,360           | 147,274          | 301,672           | 368,246           | 330,203           | 337,440                         | 151,004                     | 1,476,534                     | 1,298,399               |                         |                         |                         |                      |
| 996001  | 66512/66713 Downey Brand (ROW Legal) - General                               | 38,360           | 147,274          | 301,672           | 353,513           | 104,275           | 106,560                         | 47,686                      | 1,010,672                     | 954,419                 |                         |                         |                         |                      |
|   | 66512/66713 Downey Brand (ROW Legal) - General                               | 76,720           | 294,547          | 603,344           | 721,759           | 434,478           | 444,000                         | 198,690                     | 2,487,205                     | 2,252,818               |                         |                         |                         |                      |
| 995001  | 67113 Downey Brand (ROW Legal) - Area C & Gaps                               |                  |                  |                   |                   | 6,880             | 24,063                          | 152,576                     | 36,592                        | 159,455                 | 157,797                 | 71,666                  |                         | 229,462              |
| 996001  | 67113 Downey Brand (ROW Legal) - Area C & Gaps                               |                  |                  |                   |                   | 2,269             | 7,599                           | 48,182                      | 11,652                        | 50,451                  | 49,830                  | 22,631                  |                         | 72,462               |
|   | 67113 Downey Brand (ROW Legal) - Area C & Gaps                               |                  |                  |                   |                   | 9,149             | 31,662                          | 200,758                     | 48,244                        | 209,906                 | 207,627                 | 94,297                  |                         | 301,924              |
| 995001  | 68113 Downey Brand (ROW Legal) - Area B                                      |                  |                  |                   |                   |                   | 41,455                          | 40,993                      | 55,273                        | 40,993                  | 90,260                  | 40,993                  |                         | 131,252              |
| 996001  | 68113 Downey Brand (ROW Legal) - Area B                                      |                  |                  |                   |                   |                   | 13,091                          | 12,945                      | 17,455                        | 12,945                  | 28,503                  | 12,945                  |                         | 41,448               |
|   | 68113 Downey Brand (ROW Legal) - Area B                                      |                  |                  |                   |                   |                   | 54,545                          | 53,938                      | 72,727                        | 53,938                  | 118,763                 | 53,938                  |                         | 172,701              |
| 995001  | 68713 Downey Brand (ROW Legal) - Area D                                      |                  |                  |                   | 4,730             | 5,095             | 53,343                          | 130,803                     | 87,328                        | 140,628                 | 134,205                 | 60,951                  |                         | 195,156              |
| 996001  | 68713 Downey Brand (ROW Legal) - Area D                                      |                  |                  |                   | 1,494             | 1,609             | 16,845                          | 41,306                      | 27,577                        | 44,409                  | 42,380                  | 19,248                  |                         | 61,628               |
|   | 68713 Downey Brand (ROW Legal) - Area D                                      |                  |                  |                   | 6,223             | 6,705             | 70,189                          | 172,109                     | 114,906                       | 185,036                 | 176,585                 | 80,199                  |                         | 256,784              |
| 995001  | 66331 Kim Floyd Communications (EIP Public Information)                      | 37,556           | 30,269           | 32,899            | 45,889            | 36,482            | 109,702                         | 50,251                      | 259,815                       | 195,790                 | 53,705                  |                         |                         | 53,705               |
| 996001  | 66331 Kim Floyd Communications (EIP Public Information)                      | 37,556           | 30,269           | 32,899            | 45,889            | 17,642            | 34,643                          | 21,339                      | 162,786                       | 148,037                 | 16,960                  |                         |                         | 16,960               |
|   | 66331 Kim Floyd Communications (EIP Public Information)                      | 75,112           | 60,537           | 65,798            | 91,778            | 54,124            | 144,344                         | 71,590                      | 422,601                       | 343,827                 | 70,665                  |                         |                         | 70,665               |
| 995001  | 66514 Kim Floyd Communications (ROW Coordination)                            |                  | 14,662           | 13,186            | 511               | 2,924             | 20,468                          | 6,126                       | 64,026                        | 37,408                  | 12,800                  | 5,813                   |                         | 18,614               |
| 996001  | 66514 Kim Floyd Communications (ROW Coordination)                            |                  | 14,662           | 13,186            | 511               | 923               | 6,463                           | 1,974                       | 39,622                        | 31,256                  | 4,042                   | 1,836                   |                         | 5,878                |
|   | 66514 Kim Floyd Communications (ROW Coordination)                            |                  | 29,323           | 26,372            | 1,021             | 3,848             | 26,931                          | 8,100                       | 103,647                       | 68,664                  | 16,842                  | 7,649                   |                         | 24,492               |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number | Line Item Description | 10/11 Actual | 11/12 Actual | 12/13 Actual | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|----------------|-----------------------|--------------|--------------|--------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| 995001         | 6(7,8)203             |              |              |              |              |              | 49,092                          | -                           | 91,200                        | -                       | -                       | -                       | -                       | -                    |
| 996001         | 6(7,8)203             |              |              |              |              |              | 15,503                          | -                           | 28,800                        | -                       | -                       | -                       | -                       | -                    |
|                | 6(7,8)203             |              |              |              |              |              | 64,595                          | -                           | 120,000                       | -                       | -                       | -                       | -                       | -                    |
| 995001         | 62798                 |              | 73,542       | 149,299      | 118,036      | 1,552        | 320,577                         | 86,868                      | 901,155                       | 429,297                 | 191,269                 | 86,868                  | -                       | 278,137              |
| 996001         | 62798                 |              | 73,542       | 149,299      | 117,244      | 924          | 101,235                         | 27,432                      | 517,449                       | 368,441                 | 60,401                  | 27,432                  | -                       | 87,833               |
|                | 62798                 |              | 147,084      | 298,598      | 235,280      | 2,476        | 421,812                         | 114,300                     | 1,418,604                     | 797,738                 | 251,670                 | 114,300                 | -                       | 365,970              |
| 995001         | 67300                 |              |              |              | 75,074       | 301,885      | 72,960                          | 195,251                     | 476,194                       | 572,210                 | 72,960                  | 72,960                  | -                       | 145,920              |
| 996001         | 67300                 |              |              |              | 37,438       | 95,332       | 23,040                          | 61,658                      | 164,845                       | 194,429                 | 23,040                  | 23,040                  | -                       | 46,080               |
|                | 67300                 |              |              |              | 112,513      | 397,217      | 96,000                          | 256,909                     | 641,039                       | 766,639                 | 96,000                  | 96,000                  | -                       | 192,000              |
| 995001         | 66341                 | 144,369      | 127,853      | 92,797       | 98,584       | 100,217      | 260,872                         | 151,653                     | 735,506                       | 571,105                 | 99,630                  | 41,513                  | -                       | 141,143              |
| 996001         | 66341                 | 144,369      | 127,853      | 92,797       | 98,584       | 38,989       | 82,381                          | 47,891                      | 458,031                       | 406,115                 | 31,462                  | 13,109                  | -                       | 44,572               |
|                | 66341                 | 288,737      | 255,707      | 185,595      | 197,168      | 139,206      | 343,253                         | 199,544                     | 1,193,536                     | 977,220                 | 131,093                 | 54,622                  | -                       | 185,715              |
| 995001         | 66351                 | 5,804        | 5,449        | -            | -            | -            | -                               | -                           | 5,449                         | 5,449                   | -                       | -                       | -                       | -                    |
| 996001         | 66351                 | 5,804        | 45,449       | -            | -            | -            | -                               | -                           | 45,449                        | 45,449                  | -                       | -                       | -                       | -                    |
|                | 66351                 | 11,609       | 50,897       | -            | -            | -            | -                               | -                           | 50,897                        | 50,897                  | -                       | -                       | -                       | -                    |
| 995001         | 66521                 | 36,406       | 70,063       | 70,711       | 94,433       | 147,704      | -                               | 126,944                     | 373,681                       | 509,855                 | 100,320                 | -                       | -                       | 100,320              |
| 996001         | 66521                 | 36,406       | 70,063       | 70,711       | 94,433       | 54,148       | -                               | 40,088                      | 286,440                       | 329,443                 | 31,680                  | -                       | -                       | 31,680               |
|                | 66521                 | 72,812       | 140,127      | 141,422      | 188,866      | 201,852      | -                               | 167,032                     | 660,121                       | 839,298                 | 132,000                 | -                       | -                       | 132,000              |
| 995001         | 67311                 |              |              |              | 66,878       | 87,720       | 382,190                         | 100,845                     | 555,778                       | 255,444                 | 83,600                  | 66,880                  | -                       | 150,480              |
| 996001         | 67311                 |              |              |              | 35,814       | 27,701       | 120,691                         | 31,846                      | 190,203                       | 95,360                  | 26,400                  | 21,120                  | -                       | 47,520               |
|                | 67311                 |              |              |              | 102,692      | 115,421      | 502,881                         | 132,691                     | 745,980                       | 350,804                 | 110,000                 | 88,000                  | -                       | 198,000              |
| 995001         | 66541                 |              | 68,910       | 102,625      | 104,759      | 186,133      | 136,137                         | 84,183                      | 622,792                       | 546,610                 | 54,720                  | -                       | -                       | 54,720               |
| 996001         | 66541                 |              | 68,910       | 102,625      | 104,759      | 58,779       | 42,991                          | 26,584                      | 385,714                       | 361,656                 | 17,280                  | -                       | -                       | 17,280               |
|                | 66541                 |              | 137,820      | 205,249      | 209,518      | 244,912      | 179,128                         | 110,767                     | 1,008,506                     | 908,266                 | 72,000                  | -                       | -                       | 72,000               |
| 995001         | 66501                 | 71,290       |              |              |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
| 996001         | 66501                 | 71,290       |              |              |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
|                | 66501                 | 142,580      |              |              |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
| 995001         | 66502                 | 41,530       | 39,964       | 18,503       | -            | -            | -                               | -                           | 58,466                        | 58,466                  | -                       | -                       | -                       | -                    |
| 996001         | 66502                 | 41,530       | 39,964       | 18,503       | -            | -            | -                               | -                           | 58,467                        | 58,467                  | -                       | -                       | -                       | -                    |
|                | 66502                 | 83,060       | 79,928       | 37,005       | -            | -            | -                               | -                           | 116,933                       | 116,933                 | -                       | -                       | -                       | -                    |
| 995001         | 66503                 | 20,799       | 29,774       | 6,452        | 1,649        | -            | -                               | -                           | 37,875                        | 37,875                  | -                       | -                       | -                       | -                    |
| 996001         | 66503                 | 20,799       | 29,774       | 6,452        | 1,649        | -            | -                               | -                           | 37,875                        | 37,875                  | -                       | -                       | -                       | -                    |
|                | 66503                 | 41,598       | 59,548       | 12,905       | 3,297        | -            | -                               | -                           | 75,749                        | 75,749                  | -                       | -                       | -                       | -                    |
| 995001         | 66513                 |              | 43,385       | 89,475       | 110,204      | 82,466       | 92,579                          | 51,769                      | 424,476                       | 377,299                 | 59,753                  | 27,138                  | -                       | 86,891               |
| 996001         | 66513                 |              | 43,385       | 89,475       | 110,205      | 37,621       | 29,235                          | 20,168                      | 304,617                       | 300,853                 | 18,869                  | 8,570                   | -                       | 27,439               |
|                | 66513                 |              | 86,769       | 178,949      | 220,409      | 120,088      | 121,814                         | 71,936                      | 729,093                       | 678,151                 | 78,623                  | 35,708                  | -                       | 114,331              |
| 995001         | 66551                 |              | 18,570       | 61,638       | 27,129       | 33,290       | 1,453                           | 21,869                      | 144,692                       | 162,496                 | 2,154                   | -                       | -                       | 2,154                |
| 996001         | 66551                 |              | 18,570       | 61,638       | 27,129       | 10,513       | 459                             | 6,906                       | 119,133                       | 124,756                 | 680                     | -                       | -                       | 680                  |
|                | 66551                 |              | 37,140       | 123,276      | 54,259       | 43,802       | 1,911                           | 28,775                      | 263,825                       | 287,252                 | 2,834                   | -                       | -                       | 2,834                |
| 995001         | 66531                 |              | -            | -            | -            | -            | 240,920                         | 23,972                      | 240,920                       | 23,972                  | 52,303                  | 23,754                  | -                       | 76,057               |
| 996001         | 66531                 |              | -            | -            | -            | -            | 76,080                          | 7,570                       | 76,080                        | 7,570                   | 16,517                  | 7,501                   | -                       | 24,018               |
|                | 66531                 |              | -            | -            | -            | -            | 317,000                         | 31,542                      | 317,000                       | 31,542                  | 68,819                  | 31,255                  | -                       | 100,075              |
| 995001         | 66504                 |              | 7,565        | 20,424       | 4,358        | -            | -                               | 1,726                       | 43,747                        | 34,074                  | -                       | -                       | -                       | -                    |
| 996001         | 66504                 |              | 10,753       | 20,424       | 4,358        | -            | -                               | 545                         | 39,135                        | 36,080                  | -                       | -                       | -                       | -                    |
|                | 66504                 |              | 18,317       | 40,849       | 8,716        | -            | -                               | 2,271                       | 82,882                        | 70,154                  | -                       | -                       | -                       | -                    |
| 995001         | 66561                 |              | 1,179        | 56,718       | 5,303        | 5,989        | 179,164                         | 211,300                     | 391,860                       | 280,489                 | 51,346                  | -                       | -                       | 51,346               |
| 996001         | 66561                 |              | 1,179        | 56,718       | 5,303        | 2,821        | 56,578                          | 67,247                      | 167,649                       | 133,268                 | 16,214                  | -                       | -                       | 16,214               |
|                | 66561                 |              | 2,358        | 113,437      | 10,606       | 8,810        | 235,742                         | 278,547                     | 559,508                       | 413,757                 | 67,560                  | -                       | -                       | 67,560               |
| 995001         | 66(6,7,8)02           | 966,682      | 140,532      | -            | -            | -            | -                               | -                           | 140,532                       | 140,532                 | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)02           | 1,425,207    | 207,191      | -            | -            | -            | -                               | -                           | 207,191                       | 207,191                 | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)02           | 2,391,889    | 347,723      | -            | -            | -            | -                               | -                           | 347,723                       | 347,723                 | -                       | -                       | -                       | -                    |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number | Line Item Description   | 10/11 Actual | 11/12 Actual | 12/13 Actual | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|----------------|---|--------------|--------------|--------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| 995001         | 66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)     | 113,153      | 484,356      | 16,085       | -            | -            | -                               | -                           | 500,441                       | 500,441                 | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)     | 166,824      | 714,100      | 23,714       | -            | -            | -                               | -                           | 737,814                       | 737,814                 | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)03 HDR, URS, Wd Rodgs, Etc. (EIP GeoTech-Task Order 2)     | 279,977      | 1,198,456    | 39,799       | -            | -            | -                               | -                           | 1,238,255                     | 1,238,255               | -                       | -                       | -                       | -                    |
| 995001         | 66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)  |              | 95,822       | -            | -            | -            | -                               | -                           | 95,822                        | 95,822                  | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)  |              | 141,274      | -            | -            | -            | -                               | -                           | 141,274                       | 141,274                 | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)06 HDR, URS, Wd Rodgs, Etc. (EIP ROW Survey-Task Order 3)  |              | 237,096      | -            | -            | -            | -                               | -                           | 237,096                       | 237,096                 | -                       | -                       | -                       | -                    |
| 995001         | 66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)    |              | 1,739,422    | 136,532      | -            | -            | -                               | -                           | 1,875,954                     | 1,875,954               | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)    |              | 1,739,422    | 136,532      | -            | -            | -                               | -                           | 1,875,954                     | 1,875,954               | -                       | -                       | -                       | -                    |
|                | 66(6,7)07 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design-Task Order 4)    |              | 3,478,844    | 273,065      | -            | -            | -                               | -                           | 3,751,909                     | 3,751,909               | -                       | -                       | -                       | -                    |
| 995001         | 66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)  |              | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 996001         | 66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)  |              | 540,314      | 34,979       | -            | -            | -                               | -                           | 575,292                       | 575,292                 | -                       | -                       | -                       | -                    |
|                | 66808 HDR, URS, Wd Rodgs, Etc. (EIP 60% Design Seg 7-Task Order 5)  |              | 540,314      | 34,979       | -            | -            | -                               | -                           | 575,292                       | 575,292                 | -                       | -                       | -                       | -                    |
| 995001         | 66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)             |              | 328,771      | 40,927       | -            | -            | -                               | -                           | 369,698                       | 369,698                 | -                       | -                       | -                       | -                    |
| 996001         | 66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)             |              | 264,144      | 32,881       | -            | -            | -                               | -                           | 297,025                       | 297,025                 | -                       | -                       | -                       | -                    |
|                | 66(7,8)09 HDR, URS, Wd Rodgs (EIP Borrow, Task Order 6)             |              | 592,915      | 73,808       | -            | -            | -                               | -                           | 666,723                       | 666,723                 | -                       | -                       | -                       | -                    |
| 995001         | 66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)        |              | 57,204       | 7,777        | -            | -            | -                               | -                           | 103,048                       | 64,981                  | -                       | -                       | -                       | -                    |
| 996001         | 66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)        |              | 57,204       | 7,777        | -            | -            | -                               | -                           | 77,002                        | 64,981                  | -                       | -                       | -                       | -                    |
|                | 66731 HDR, URS, Wd Rodgs (EIP Env Site Assess, Task Order 7)        |              | 114,408      | 15,554       | -            | -            | -                               | -                           | 180,050                       | 129,962                 | -                       | -                       | -                       | -                    |
| 995001         | 66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)         |              | 83,709       | 644          | -            | -            | -                               | -                           | 84,353                        | 84,353                  | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)         |              | 123,414      | 949          | -            | -            | -                               | -                           | 124,363                       | 124,363                 | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)32 HDR, URS, Wood Rodgers, Etc. (Ground Water TO8)         |              | 207,123      | 1,593        | -            | -            | -                               | -                           | 208,716                       | 208,716                 | -                       | -                       | -                       | -                    |
| 995001         | 66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)                |              | 95,933       | 87,572       | -            | -            | -                               | -                           | 290,039                       | 183,504                 | -                       | -                       | -                       | -                    |
| 996001         | 66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)                |              | 95,933       | 87,572       | -            | -            | -                               | -                           | 217,147                       | 183,504                 | -                       | -                       | -                       | -                    |
|                | 66733 HDR, URS, Wood Rodgers, Etc. (ROW for ESA TO9)                |              | 191,865      | 175,143      | -            | -            | -                               | -                           | 507,186                       | 367,008                 | -                       | -                       | -                       | -                    |
| 995001         | 66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)                 |              | 207,101      | 2,104,092    | 1,697,938    | (99,050)     | 44,744                          | 27,965                      | 4,330,714                     | 3,938,044               | -                       | -                       | -                       | -                    |
| 996001         | 66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)                 |              | 207,101      | 2,104,091    | 1,697,938    | (99,050)     | 14,130                          | 8,831                       | 4,042,911                     | 3,918,911               | -                       | -                       | -                       | -                    |
|                | 66734 HDR, URS, Wood Rodgers, Etc. (Final Des TO10)                 |              | 414,201      | 4,208,183    | 3,395,876    | (198,101)    | 58,874                          | 36,796                      | 8,373,626                     | 7,856,955               | -                       | -                       | -                       | -                    |
| 995001         | 67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11) |              |              | 62,309       | 1,902,172    | 2,167,747    | -                               | 951,696                     | 6,029,295                     | 5,083,925               | 376,705                 | 471,229                 | -                       | 847,934              |
| 996001         | 67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11) |              |              | 19,677       | 600,686      | 684,552      | -                               | 300,536                     | 1,903,988                     | 1,605,450               | 118,959                 | 148,809                 | -                       | 267,769              |
|                | 67202 HDR, URS, Wood Rodgers, Construction Support - Area C (TO 11) |              |              | 81,986       | 2,502,858    | 2,852,299    | -                               | 1,252,232                   | 7,933,283                     | 6,689,375               | 495,664                 | 620,038                 | -                       | 1,115,702            |
| 995001         | 68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12) |              |              | -            | 118,275      | 687,726      | 277,421                         | 1,439,191                   | 2,668,118                     | 2,245,192               | -                       | -                       | -                       | -                    |
| 996001         | 68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12) |              |              | -            | 37,350       | 217,176      | 87,607                          | 454,481                     | 842,563                       | 709,008                 | -                       | -                       | -                       | -                    |
|                | 68202 HDR, URS, Wood Rodgers, Construction Support - Area B (TO 12) |              |              | -            | 155,625      | 904,902      | 365,027                         | 1,893,673                   | 3,510,681                     | 2,954,200               | -                       | -                       | -                       | -                    |
| 995001         | 68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12) |              |              |              | 135,083      | 785,455      | 1,262,783                       | 1,269,221                   | 4,132,781                     | 2,189,759               | 2,502,154               | -                       | -                       | 2,502,154            |
| 996001         | 68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12) |              |              |              | 118,832      | 690,964      | 398,774                         | 671,867                     | 1,595,173                     | 1,481,664               | 586,925                 | -                       | -                       | 586,925              |
|                | 68802 HDR, URS, Wood Rodgers, Construction Support - Area D (TO 12) |              |              |              | 253,915      | 1,476,419    | 1,661,557                       | 1,941,088                   | 5,727,954                     | 3,671,422               | 3,089,079               | -                       | -                       | 3,089,079            |
| 995001         | 66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)                  | 36,218       | 57,138       | 50,522       | 74,618       | 74,970       | 137,150                         | 200,859                     | 520,719                       | 458,107                 | 26,953                  | -                       | -                       | 26,953               |
| 996001         | 66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)                  | 53,397       | 84,240       | 74,486       | 110,012      | 128,186      | 43,311                          | 76,481                      | 380,126                       | 373,406                 | 8,512                   | -                       | -                       | 8,512                |
|                | 66(6,7,8)04 Board of Sr. Consul (Ind. Tech Review)                  | 89,615       | 141,379      | 125,008      | 184,630      | 103,156      | 180,461                         | 277,341                     | 900,845                       | 831,513                 | 35,465                  | -                       | -                       | 35,465               |
| 995001         | 66(6,7,8)11 BRI (EIP Acquisition ROW Lands)                         | 56,286       | 53,884       | 2,017        | 210          | -            | 6,953                           | -                           | 63,065                        | 56,112                  | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)11 BRI (EIP Acquisition ROW Lands)                         | 82,984       | 79,443       | 2,974        | 310          | -            | 2,196                           | -                           | 84,923                        | 82,727                  | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)11 BRI (EIP Acquisition ROW Lands)                         | 139,269      | 133,328      | 4,992        | 520          | -            | 9,149                           | -                           | 147,988                       | 138,839                 | -                       | -                       | -                       | -                    |
| 995001         | 66(6,7,8)13 Right of Entry (For Survey)                             |              | 200          | -            | -            | -            | -                               | -                           | 200                           | 200                     | -                       | -                       | -                       | -                    |
| 996001         | 66(6,7,8)13 Right of Entry (For Survey)                             |              | 200          | -            | -            | -            | -                               | -                           | 200                           | 200                     | -                       | -                       | -                       | -                    |
|                | 66(6,7,8)13 Right of Entry (For Survey)                             |              | 400          | -            | -            | -            | -                               | -                           | 400                           | 400                     | -                       | -                       | -                       | -                    |
| 995001         | 66714 BRI TO2 Right of Way - Area C                                 |              | 115,687      | 446,818      | 226,696      | 129,219      | 127,643                         | 37,495                      | 1,118,303                     | 955,915                 | 26,477                  | 15,558                  | -                       | 42,034               |
| 996001         | 66714 BRI TO2 Right of Way - Area C                                 |              | 115,687      | 446,818      | 226,696      | 40,806       | 40,308                          | 11,841                      | 893,128                       | 841,848                 | 8,361                   | 4,913                   | -                       | 13,274               |
|                | 66714 BRI TO2 Right of Way - Area C                                 |              | 231,374      | 893,637      | 453,392      | 170,025      | 167,951                         | 49,336                      | 2,011,432                     | 1,797,763               | 34,838                  | 20,471                  | -                       | 55,309               |
| 995001         | 66716 BRI TO2 Am 2 Right of Way - Area B                            |              |              | 113,431      | 103,551      | 53,183       | 22,032                          | 21,302                      | 294,561                       | 291,467                 | 24,473                  | 11,115                  | -                       | 35,587               |
| 996001         | 66716 BRI TO2 Am 2 Right of Way - Area B                            |              |              | 113,431      | 103,551      | 16,794       | 6,958                           | 6,727                       | 241,481                       | 240,503                 | 7,728                   | 3,510                   | -                       | 11,238               |
|                | 66716 BRI TO2 Am 2 Right of Way - Area B                            |              |              | 226,861      | 207,103      | 69,977       | 28,990                          | 28,030                      | 536,042                       | 531,971                 | 32,201                  | 14,625                  | -                       | 46,826               |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number     | Line Item Description  | 10/11 Actual | 11/12 Actual | 12/13 Actual | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|--------------------|--|--------------|--------------|--------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| 995001 66717       | BRI TO2 Am 2 Right of Way - Area D                           |              |              | 2,921        | 330,530      | 145,489      | 147,983                         | 35,892                      | 707,211                       | 514,832                 | 51,215                  | 23,260                  | -                       | 74,475               |
| 996001 66717       | BRI TO2 Am 2 Right of Way - Area D                           |              |              | 2,921        | 330,530      | 127,987      | 46,731                          | 27,881                      | 486,215                       | 489,319                 | 12,013                  | 5,456                   | -                       | 17,469               |
| 66717              | BRI TO2 Am 2 Right of Way - Area D                           |              |              | 5,841        | 661,061      | 273,476      | 194,714                         | 63,773                      | 1,193,426                     | 1,004,151               | 63,228                  | 28,716                  | -                       | 91,945               |
| 995001 67114       | BRI Right of Way (ROW FAPS Area C - TO3)                     |              |              |              | 3,290        | 233          | 60,075                          | 29,839                      | 63,599                        | 33,362                  | 65,700                  | 29,839                  | -                       | 95,539               |
| 996001 67114       | BRI Right of Way (ROW FAPS Area C - TO3)                     |              |              |              | 1,039        | 74           | 18,971                          | 9,423                       | 20,084                        | 10,535                  | 20,747                  | 9,423                   | -                       | 30,170               |
| 67114              | BRI Right of Way (ROW FAPS Area C - TO3)                     |              |              |              | 4,329        | 307          | 79,046                          | 39,262                      | 83,683                        | 43,898                  | 86,448                  | 39,262                  | -                       | 125,709              |
| 995001 68114       | BRI Right of Way (ROW FAPS Areas B - TO4)                    |              |              |              | -            | -            | 24,434                          | 8,797                       | 24,434                        | 8,797                   | 19,370                  | 8,797                   | -                       | 28,167               |
| 996001 68114       | BRI Right of Way (ROW FAPS Areas B - TO4)                    |              |              |              | -            | -            | 7,716                           | 2,778                       | 7,716                         | 2,778                   | 6,117                   | 2,778                   | -                       | 8,895                |
| 68114              | BRI Right of Way (ROW FAPS Areas B - TO4)                    |              |              |              | -            | -            | 32,150                          | 11,575                      | 32,150                        | 11,575                  | 25,487                  | 11,575                  | -                       | 37,062               |
| 995001 68714       | BRI Right of Way (ROW FAPS Areas D - TO4)                    |              |              |              | -            | -            | 43,700                          | 24,090                      | 43,700                        | 24,090                  | 56,531                  | 25,674                  | -                       | 82,205               |
| 996001 68714       | BRI Right of Way (ROW FAPS Areas D - TO4)                    |              |              |              | -            | -            | 13,800                          | 7,607                       | 13,800                        | 7,607                   | 13,260                  | 6,022                   | -                       | 19,283               |
| 68714              | BRI Right of Way (ROW FAPS Areas D - TO4)                    |              |              |              | -            | -            | 57,500                          | 31,697                      | 57,500                        | 31,697                  | 69,791                  | 31,697                  | -                       | 101,488              |
| 995001 66(6,7,8)15 | Title & Misc (EIP Right of Way)                              | 21,764       | 37,742       | 323          | 2,389        | -            | -                               | -                           | 40,454                        | 40,454                  | -                       | -                       | -                       | -                    |
| 996001 66(6,7,8)15 | Title & Misc (EIP Right of Way)                              | 32,086       | 55,643       | 477          | 2,389        | -            | -                               | -                           | 58,509                        | 58,509                  | -                       | -                       | -                       | -                    |
| 66(6,7,8)15        | Title & Misc (EIP Right of Way)                              | 53,850       | 93,385       | 800          | 4,778        | -            | -                               | -                           | 98,963                        | 98,963                  | -                       | -                       | -                       | -                    |
| 995001 66(6,7,8)2_ | Construction Mgt. (EIP Management of Construction Contracts) |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 996001 66(6,7,8)2_ | Construction Mgt. (EIP Management of Construction Contracts) |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 66(6,7,8)2_        | Construction Mgt. (EIP Management of Construction Contracts) |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 995001 66721       | PB Preliminary Review CM Svcs Sched C                        |              |              | 38,620       | 65,502       | -            | -                               | -                           | 104,122                       | 104,122                 | -                       | -                       | -                       | -                    |
| 996001 66721       | PB Preliminary Review CM Svcs Sched C                        |              |              | 83,887       | 65,502       | -            | -                               | -                           | 149,389                       | 149,389                 | -                       | -                       | -                       | -                    |
| 66721              | PB Preliminary Review CM Svcs Sched C                        |              |              | 122,507      | 131,004      | -            | -                               | -                           | 253,511                       | 253,511                 | -                       | -                       | -                       | -                    |
| 995001 67200       | PB General CM Svcs Sched C                                   |              |              |              | 1,905,503    | 3,005,046    | 191,488                         | 73,512                      | 4,905,749                     | 4,984,061               | 421,542                 | 534,280                 | -                       | 955,821              |
| 996001 67200       | PB General CM Svcs Sched C                                   |              |              |              | 601,738      | 948,962      | 60,470                          | 23,214                      | 1,549,184                     | 1,573,914               | 133,118                 | 168,720                 | -                       | 301,838              |
| 67200              | PB General CM Svcs Sched C                                   |              |              |              | 2,507,241    | 3,954,008    | 251,958                         | 96,727                      | 6,454,933                     | 6,557,975               | 554,660                 | 703,000                 | -                       | 1,257,660            |
| 995001 68200       | PB General CM Svcs Sched B                                   |              |              |              | 223,711      | 1,743,559    | 585,531                         | 192,258                     | 2,787,684                     | 2,159,528               | -                       | -                       | -                       | -                    |
| 996001 68200       | PB General CM Svcs Sched B                                   |              |              |              | 70,645       | 888,102      | 184,905                         | 60,713                      | 1,217,825                     | 1,019,460               | -                       | -                       | -                       | -                    |
| 68200              | PB General CM Svcs Sched B                                   |              |              |              | 294,356      | 2,631,661    | 770,436                         | 252,971                     | 4,005,509                     | 3,178,988               | -                       | -                       | -                       | -                    |
| 995001 68800       | PB General CM Svcs Sched D                                   |              |              |              | 106,832      | 685,523      | 2,340,720                       | 2,770,510                   | 4,775,464                     | 3,562,865               | 1,311,926               | -                       | -                       | 1,311,926            |
| 996001 68800       | PB General CM Svcs Sched D                                   |              |              |              | 78,963       | 603,054      | 739,175                         | 1,899,399                   | 1,761,328                     | 2,581,416               | 307,736                 | -                       | -                       | 307,736              |
| 68800              | PB General CM Svcs Sched D                                   |              |              |              | 185,795      | 1,288,577    | 3,079,894                       | 4,669,910                   | 6,536,792                     | 6,144,281               | 1,619,662               | -                       | -                       | 1,619,662            |
| 995001 66723       | Owner's Representative CM Svcs Sched C                       |              |              | 6,955        | -            | -            | -                               | -                           | 6,955                         | 6,955                   | -                       | -                       | -                       | -                    |
| 996001 66723       | Owner's Representative CM Svcs Sched C                       |              |              | 6,955        | -            | -            | -                               | -                           | 6,955                         | 6,955                   | -                       | -                       | -                       | -                    |
| 66723              | Owner's Representative CM Svcs Sched C                       |              |              | 13,910       | -            | -            | -                               | -                           | 13,910                        | 13,910                  | -                       | -                       | -                       | -                    |
| 995001 67201       | Handen Co. Owner's Rep Project Area C                        |              |              | 10,216       | 78,667       | 119,816      | 30,051                          | 32,860                      | 243,346                       | 241,559                 | 10,866                  | 19,176                  | -                       | 30,042               |
| 996001 67201       | Handen Co. Owner's Rep Project Area C                        |              |              | 10,216       | 24,842       | 37,837       | 9,490                           | 10,377                      | 83,836                        | 83,272                  | 3,431                   | 6,056                   | -                       | 9,487                |
| 67201              | Handen Co. Owner's Rep Project Area C                        |              |              | 20,432       | 103,510      | 157,653      | 39,541                          | 43,236                      | 327,182                       | 324,831                 | 14,297                  | 25,231                  | -                       | 39,528               |
| 995001 68201       | Handen Co. Owner's Rep Project Area B                        |              |              |              | -            | 6,333        | 29,592                          | 5,229                       | 53,580                        | 11,562                  | -                       | -                       | -                       | -                    |
| 996001 68201       | Handen Co. Owner's Rep Project Area B                        |              |              |              | -            | 2,000        | 9,345                           | 1,651                       | 16,920                        | 3,651                   | -                       | -                       | -                       | -                    |
| 68201              | Handen Co. Owner's Rep Project Area B                        |              |              |              | -            | 8,333        | 38,937                          | 6,881                       | 70,500                        | 15,213                  | -                       | -                       | -                       | -                    |
| 995001 68801       | Handen Co. Owner's Rep Project Area D                        |              |              |              | 152          | 16,231       | 49,069                          | 55,160                      | 88,996                        | 71,544                  | -                       | -                       | -                       | -                    |
| 996001 68801       | Handen Co. Owner's Rep Project Area D                        |              |              |              | 48           | 5,126        | 15,495                          | 38,034                      | 28,104                        | 43,208                  | -                       | -                       | -                       | -                    |
| 68801              | Handen Co. Owner's Rep Project Area D                        |              |              |              | 200          | 21,357       | 64,564                          | 93,194                      | 117,100                       | 114,751                 | -                       | -                       | -                       | -                    |
| 995001 6XXXX       | Future CM Services Sched B, D & Gaps                         |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 996001 6XXXX       | Future CM Services Sched B, D & Gaps                         |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 6XXXX              | Future CM Services Sched B, D & Gaps                         |              |              |              | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 995001 66741       | Misc. Prof. Services   |              |              | 4,099        | 17,377       | 401          | -                               | 322                         | 22,034                        | 22,199                  | 172                     | -                       | -                       | 172                  |
| 996001 66741       | Misc. Prof. Services   |              |              | 4,099        | 17,377       | 19           | -                               | 102                         | 21,652                        | 21,597                  | 54                      | -                       | -                       | 54                   |
| 66741              | Misc. Prof. Services   |              |              | 8,198        | 34,755       | 420          | -                               | 423                         | 43,686                        | 43,796                  | 226                     | -                       | -                       | 226                  |
| 995001 66401       | Jones & Stokes (EIP Conts. Analysis TO6)                     | 24,136       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 996001 66401       | Jones & Stokes (EIP Conts. Analysis TO6)                     | 24,136       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 66401              | Jones & Stokes (EIP Conts. Analysis TO6)                     | 48,272       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 995001 66402       | ICF Jones & Stokes (Cat Ex for Borings TO4)                  | 4,277        | 648          | -            | -            | -            | -                               | -                           | 648                           | 648                     | -                       | -                       | -                       | -                    |
| 996001 66402       | ICF Jones & Stokes (Cat Ex for Borings TO4)                  | 4,277        | 648          | -            | -            | -            | -                               | -                           | 648                           | 648                     | -                       | -                       | -                       | -                    |
| 66402              | ICF Jones & Stokes (Cat Ex for Borings TO4)                  | 8,554        | 1,296        | -            | -            | -            | -                               | -                           | 1,296                         | 1,296                   | -                       | -                       | -                       | -                    |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number | Line Item Description  | 10/11 Actual | 11/12 Actual | 12/13 Actual | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|----------------|--|--------------|--------------|--------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| 995001         | 66404 Jones and Stokes - Cult. Res. Constr. TO3                  | 21,878       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 996001         | 66404 Jones and Stokes - Cult. Res. Constr. TO3                  | 21,879       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
|                | 66404 Jones and Stokes - Cult. Res. Constr. TO3                  | 43,757       | -            | -            | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
| 995001         | 66411 Jones and Stokes-CEQA/NEPA Compliance TO7                  | 62,478       | 236,399      | 304,305      | 3,820        | 10,825       | -                               | -                           | 559,513                       | 555,348                 | -                       | -                       | -                       | -                    |
| 996001         | 66411 Jones and Stokes-CEQA/NEPA Compliance TO7                  | 62,478       | 236,398      | 304,305      | 3,820        | 3,418        | -                               | -                           | 549,257                       | 547,942                 | -                       | -                       | -                       | -                    |
|                | 66411 Jones and Stokes-CEQA/NEPA Compliance TO7                  | 124,955      | 472,797      | 608,610      | 7,640        | 14,243       | -                               | -                           | 1,108,770                     | 1,103,290               | -                       | -                       | -                       | -                    |
| 995001         | 66412 Jones and Stokes-Environmental Permitting TO8              | -            | 33,986       | 264,615      | 22,356       | 23,557       | 1,526.13                        | -                           | 437,482                       | 344,514                 | -                       | -                       | -                       | -                    |
| 996001         | 66412 Jones and Stokes-Environmental Permitting TO8              | -            | 33,986       | 264,615      | 22,356       | 7,439        | 481.94                          | -                           | 357,754                       | 328,396                 | -                       | -                       | -                       | -                    |
|                | 66412 Jones and Stokes-Environmental Permitting TO8              | -            | 67,973       | 529,230      | 44,712       | 30,996       | 2,008                           | -                           | 795,237                       | 672,910                 | -                       | -                       | -                       | -                    |
| 995001         | 67204 ICF Jones & Stokes (Environmental Compliance Area C TO11)  | -            | -            | 136,926      | 302,508      | 3,875,986    | 941,227                         | 1,125,322                   | 4,974,773                     | 5,440,742               | -                       | -                       | -                       | -                    |
| 996001         | 67204 ICF Jones & Stokes (Environmental Compliance Area C TO11)  | -            | -            | 43,240       | 95,529       | 1,223,996    | 297,230                         | 355,365                     | 1,570,981                     | 1,718,129               | -                       | -                       | -                       | -                    |
|                | 67204 ICF Jones & Stokes (Environmental Compliance Area C TO11)  | -            | -            | 180,166      | 398,036      | 5,099,982    | 1,238,457                       | 1,480,687                   | 6,545,754                     | 7,158,871               | -                       | -                       | -                       | -                    |
| 995001         | 68204 ICF Jones & Stokes (Environmental Compliance Area B TO 12) | -            | -            | -            | 226,348      | 353,047      | 220,061                         | 272,974                     | 666,833                       | 852,369                 | -                       | -                       | -                       | -                    |
| 996001         | 68204 ICF Jones & Stokes (Environmental Compliance Area B TO 12) | -            | -            | -            | 71,478       | 111,488      | 69,493                          | 86,202                      | 210,579                       | 269,169                 | -                       | -                       | -                       | -                    |
|                | 68204 ICF Jones & Stokes (Environmental Compliance Area B TO 12) | -            | -            | -            | 297,827      | 464,535      | 289,554                         | 359,176                     | 877,411                       | 1,121,538               | -                       | -                       | -                       | -                    |
| 995001         | 68804 ICF Jones & Stokes (Environmental Compliance Area D TO 13) | -            | -            | -            | 114,376      | 666,300      | 1,597,953                       | 2,974,154                   | 3,602,082                     | 3,754,831               | 2,499,281               | -                       | -                       | 2,499,281            |
| 996001         | 68804 ICF Jones & Stokes (Environmental Compliance Area D TO 13) | -            | -            | -            | 36,119       | 210,411      | 504,617                         | 939,206                     | 1,137,500                     | 1,185,736               | 586,251                 | -                       | -                       | 586,251              |
|                | 68804 ICF Jones & Stokes (Environmental Compliance Area D TO 13) | -            | -            | -            | 150,495      | 876,711      | 2,102,570                       | 3,913,360                   | 4,739,581                     | 4,940,567               | 3,085,532               | -                       | -                       | 3,085,532            |
| 995001         | 66413 Misc. Reg. Permits   | -            | -            | 23,878       | 207,468      | 3,476        | -                               | 13,295                      | 280,432                       | 248,117                 | -                       | -                       | -                       | -                    |
| 996001         | 66413 Misc. Reg. Permits   | -            | -            | 23,878       | 207,468      | 20,770       | -                               | 5,779                       | 258,231                       | 257,894                 | -                       | -                       | -                       | -                    |
|                | 66413 Misc. Reg. Permits   | -            | -            | 47,755       | 414,936      | 24,246       | -                               | 19,074                      | 538,663                       | 506,010                 | -                       | -                       | -                       | -                    |
| 995001         | 6740(0,1) Environmental Mitigation - Area C                      | -            | -            | 456          | 2,736        | 212,175      | 1,199,285                       | 939,350                     | 2,187,026                     | 1,154,717               | 781,109                 | 90,844                  | -                       | 871,953              |
| 996001         | 6740(0,1) Environmental Mitigation - Area C                      | -            | -            | 144          | 864          | 67,003       | 378,722                         | 296,637                     | 690,640                       | 364,647                 | 246,666                 | 28,688                  | -                       | 275,354              |
|                | 6740(0,1) Environmental Mitigation - Area C                      | -            | -            | 600          | 3,600        | 279,177      | 1,578,006                       | 1,235,987                   | 2,877,666                     | 1,519,365               | 1,027,775               | 119,532                 | -                       | 1,147,307            |
| 995001         | 6840(0,1) Environmental Mitigation - Area B                      | -            | -            | -            | -            | 91,108       | 514,121                         | 455,572                     | 939,920                       | 546,680                 | -                       | -                       | -                       | -                    |
| 996001         | 6840(0,1) Environmental Mitigation - Area B                      | -            | -            | -            | -            | 28,771       | 162,354                         | 143,865                     | 296,817                       | 172,636                 | -                       | -                       | -                       | -                    |
|                | 6840(0,1) Environmental Mitigation - Area B                      | -            | -            | -            | -            | 119,879      | 676,475                         | 599,437                     | 1,236,737                     | 719,316                 | -                       | -                       | -                       | -                    |
| 995001         | 6890(0,1) Environmental Mitigation - Area D                      | -            | -            | -            | -            | 112,236      | 850,600                         | 801,248                     | 1,540,102                     | 913,483                 | 587,555                 | -                       | -                       | 587,555              |
| 996001         | 6890(0,1) Environmental Mitigation - Area D                      | -            | -            | -            | -            | 95,646       | 268,611                         | 286,726                     | 490,560                       | 382,372                 | 137,822                 | -                       | -                       | 137,822              |
|                | 6890(0,1) Environmental Mitigation - Area D                      | -            | -            | -            | -            | 207,882      | 1,119,211                       | 1,087,974                   | 2,030,662                     | 1,295,856               | 725,377                 | -                       | -                       | 725,377              |
| 995001         | 6710X EIP ROW Capital Project Area C                             | -            | -            | 216,553      | 1,426,373    | 130,545      | 42,571                          | 168,234                     | 1,829,051                     | 1,941,705               | 297,500                 | 135,115                 | -                       | 432,615              |
| 996001         | 6710X EIP ROW Capital Project Area C                             | -            | -            | -            | -            | -            | 13,443                          | 34,372                      | 23,892                        | 34,372                  | 93,947                  | 42,668                  | -                       | 136,615              |
|                | 6710X EIP ROW Capital Project Area C                             | -            | -            | 216,553      | 1,426,373    | 130,545      | 56,014                          | 202,606                     | 1,852,943                     | 1,976,077               | 391,448                 | 177,783                 | -                       | 569,230              |
| 995001         | 6810X EIP ROW Capital Projects Area B                            | -            | -            | -            | 502,491      | 378,850      | -                               | 645,055                     | 1,189,601                     | 1,526,396               | 418,753                 | 190,184                 | -                       | 608,937              |
| 996001         | 6810X EIP ROW Capital Projects Area B                            | -            | -            | -            | -            | -            | -                               | 19,357                      | 136,872                       | 19,357                  | 132,238                 | 60,058                  | -                       | 192,296              |
|                | 6810X EIP ROW Capital Projects Area B                            | -            | -            | -            | 502,491      | 378,850      | -                               | 664,412                     | 1,326,472                     | 1,545,752               | 550,991                 | 250,242                 | -                       | 801,233              |
| 995001         | 6870X EIP ROW Capital Projects Area D                            | -            | -            | -            | 1,289,802    | 1,130,431    | -                               | 1,860,571                   | 3,770,765                     | 4,280,805               | 1,103,472               | 501,160                 | -                       | 1,604,632            |
| 996001         | 6870X EIP ROW Capital Projects Area D                            | -            | -            | -            | -            | -            | -                               | 28,857                      | -                             | 28,857                  | 258,839                 | 117,556                 | -                       | 376,395              |
|                | 6870X EIP ROW Capital Projects Area D                            | -            | -            | -            | 1,289,802    | 1,130,431    | -                               | 1,889,428                   | 3,770,765                     | 4,309,661               | 1,362,311               | 618,716                 | -                       | 1,981,027            |
| 995001         | 66718 EIP ROW Owner App.   | -            | -            | 2,500        | -            | -            | 15,200                          | 3,382                       | 36,129                        | 5,882                   | 9,222                   | 4,189                   | -                       | 13,411               |
| 996001         | 66718 EIP ROW Owner App.   | -            | -            | 2,500        | -            | -            | 4,800                           | 1,068                       | 18,871                        | 3,568                   | 2,912                   | 1,323                   | -                       | 4,235                |
|                | 66718 EIP ROW Owner App.   | -            | -            | 5,000        | -            | -            | 20,000                          | 4,449                       | 55,000                        | 9,449                   | 12,135                  | 5,511                   | -                       | 17,646               |
| 995001         | 67205 North Valley Eng Surv. - Area C                            | -            | -            | -            | 3,296        | -            | 3,159                           | -                           | 10,295                        | 3,296                   | -                       | -                       | -                       | -                    |
| 996001         | 67205 North Valley Eng Surv. - Area C                            | -            | -            | -            | 1,041        | -            | 998                             | -                           | 3,251                         | 1,041                   | -                       | -                       | -                       | -                    |
|                | 67205 North Valley Eng Surv. - Area C                            | -            | -            | -            | 4,336        | -            | 4,156                           | -                           | 13,546                        | 4,336                   | -                       | -                       | -                       | -                    |
| 995001         | 68205 North Valley Eng Surv. Area B                              | -            | -            | -            | 2,057        | -            | 1,369                           | -                           | 5,091                         | 2,057                   | -                       | -                       | -                       | -                    |
| 996001         | 68205 North Valley Eng Surv. Area B                              | -            | -            | -            | 2,057        | -            | 432                             | -                           | 3,015                         | 2,057                   | -                       | -                       | -                       | -                    |
|                | 68205 North Valley Eng Surv. Area B                              | -            | -            | -            | 4,114        | -            | 1,802                           | -                           | 8,106                         | 4,114                   | -                       | -                       | -                       | -                    |
| 995001         | 68805 North Valley Eng Surv. Area D                              | -            | -            | -            | 2,664        | -            | 2,454                           | 247                         | 8,103                         | 2,912                   | -                       | -                       | -                       | -                    |
| 996001         | 68805 North Valley Eng Surv. Area D                              | -            | -            | -            | 2,600        | -            | 775                             | 218                         | 4,318                         | 2,818                   | -                       | -                       | -                       | -                    |
|                | 68805 North Valley Eng Surv. Area D                              | -            | -            | -            | 5,265        | -            | 3,229                           | 465                         | 12,420                        | 5,730                   | -                       | -                       | -                       | -                    |



**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number | Line Item Description                          | 10/11 Actual | 11/12 Actual | 12/13 Actual | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|----------------|--|--------------|--------------|--------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
| 995001         | 67510 EIP ROW Borrow - Area C                  |              | -            | -            | 181,622      | 272,749      | -                               | 25,447                      | 380,078                       | 479,817                 | -                       | -                       | -                       | -                    |
| 996001         | 67510 EIP ROW Borrow - Area C                  |              | -            | -            | 57,354       | 86,131       | -                               | 8,036                       | 120,024                       | 151,521                 | -                       | -                       | -                       | -                    |
|                | 67510 EIP ROW Borrow - Area C                  |              | -            | -            | 238,976      | 358,880      | -                               | 33,482                      | 500,102                       | 631,338                 | -                       | -                       | -                       | -                    |
| 995001         | 68510 EIP Borrow Material - Area B             |              |              |              | 36,748       | 204,656      | -                               | 18,882                      | 230,730                       | 260,285                 | -                       | -                       | -                       | -                    |
| 996001         | 68510 EIP Borrow Material - Area B             |              |              |              | 11,604       | 64,628       | -                               | 5,963                       | 72,862                        | 82,195                  | -                       | -                       | -                       | -                    |
|                | 68510 EIP Borrow Material - Area B             |              |              |              | 48,352       | 269,284      | -                               | 24,845                      | 303,592                       | 342,481                 | -                       | -                       | -                       | -                    |
| 995001         | 68610 EIP Borrow Material - Area D             |              |              |              | -            | -            | 265,119                         | 321,444                     | 587,480                       | 321,444                 | 145,066                 | -                       | -                       | 145,066              |
| 996001         | 68610 EIP Borrow Material - Area D             |              |              |              | -            | -            | 83,722                          | 101,509                     | 185,520                       | 101,509                 | 34,028                  | -                       | -                       | 34,028               |
|                | 68610 EIP Borrow Material - Area D             |              |              |              | -            | -            | 348,841                         | 422,952                     | 773,000                       | 422,952                 | 179,094                 | -                       | -                       | 179,094              |
| 995001         | 66552 Corps 408 Approval Coordination          |              |              | 33,250       | 45,000       | 4,000        | 11,625                          |                             | 93,875                        | 82,250                  | -                       | -                       | -                       | -                    |
| 996001         | 66552 Corps 408 Approval Coordination          |              |              | 33,250       | 45,000       | 92,000       | 3,671                           | 96,000                      | 146,319                       | 266,250                 | 56,000                  | -                       | -                       | 56,000               |
|                | 66552 Corps 408 Approval Coordination          |              |              | 66,500       | 90,000       | 96,000       | 15,295                          | 96,000                      | 240,194                       | 348,500                 | 56,000                  | -                       | -                       | 56,000               |
| 995001         | 67500 EIP Construction Contract Project Area C |              |              | 876,204      | 16,659,212   | 26,379,305   | -                               | 55,705                      | 47,382,907                    | 43,970,426              | 8,150,172               | -                       | -                       | 8,150,172            |
| 996001         | 67500 EIP Construction Contract Project Area C |              |              | 276,696      | 5,260,804    | 8,330,307    | -                               | 17,591                      | 14,963,023                    | 13,885,398              | 2,573,739               | -                       | -                       | 2,573,739            |
|                | 67500 EIP Construction Contract Project Area C |              |              | 1,152,900    | 21,920,015   | 34,709,612   | -                               | 73,296                      | 62,345,930                    | 57,855,823              | 10,723,911              | -                       | -                       | 10,723,911           |
| 995001         | 67501 EIP Construction Util Relocations Area C |              |              |              | 1,203,558    | 36,419       | -                               | 21,433                      | 1,249,229                     | 1,261,410               | -                       | -                       | -                       | -                    |
| 996001         | 67501 EIP Construction Util Relocations Area C |              |              |              | 380,071      | 11,501       | -                               | 6,768                       | 394,494                       | 398,340                 | -                       | -                       | -                       | -                    |
|                | 67501 EIP Construction Util Relocations Area C |              |              |              | 1,583,629    | 47,920       | -                               | 28,201                      | 1,643,723                     | 1,659,750               | -                       | -                       | -                       | -                    |
| 995001         | 67520 EIP CalTrans Staging Area                |              |              |              | 18,744       | (1,428)      | -                               | 11,001                      | 18,795                        | 28,317                  | -                       | -                       | -                       | -                    |
| 996001         | 67520 EIP CalTrans Staging Area                |              |              |              | 5,919        | (451)        | -                               | 3,474                       | 5,935                         | 8,942                   | -                       | -                       | -                       | -                    |
|                | 67520 EIP CalTrans Staging Area                |              |              |              | 24,663       | (1,879)      | -                               | 14,475                      | 24,730                        | 37,260                  | -                       | -                       | -                       | -                    |
| 995001         | 68500 EIP Construction Contract Project Area B |              |              |              | 4,237,352    | 20,333,078   | 1,404,592                       | 651,710                     | 30,372,770                    | 25,222,140              | -                       | -                       | -                       | -                    |
| 996001         | 68500 EIP Construction Contract Project Area B |              |              |              | 1,338,111    | 6,420,972    | 443,555                         | 205,803                     | 9,591,401                     | 7,964,886               | -                       | -                       | -                       | -                    |
|                | 68500 EIP Construction Contract Project Area B |              |              |              | 5,575,463    | 26,754,049   | 1,848,148                       | 857,513                     | 39,964,171                    | 33,187,026              | -                       | -                       | -                       | -                    |
| 995001         | 68501 EIP Construction Util Relocations - B    |              |              |              |              | 64,082       | -                               | -                           | 42,058                        | 64,082                  | -                       | -                       | -                       | -                    |
| 996001         | 68501 EIP Construction Util Relocations - B    |              |              |              |              | 20,236       | -                               | -                           | 13,282                        | 20,236                  | -                       | -                       | -                       | -                    |
|                | 68501 EIP Construction Util Relocations - B    |              |              |              |              | 84,318       | -                               | -                           | 55,340                        | 84,318                  | -                       | -                       | -                       | -                    |
| 995001         | 68600 EIP Construction Contract Project Area D |              |              |              | 1,381,157    | 4,788,098    | 27,151,929                      | 24,739,156                  | 56,540,573                    | 30,908,410              | 7,387,728               | -                       | -                       | 7,387,728            |
| 996001         | 68600 EIP Construction Contract Project Area D |              |              |              | 354,633      | 1,800,546    | 8,574,293                       | 18,502,752                  | 17,801,796                    | 20,657,931              | 1,732,924               | -                       | -                       | 1,732,924            |
|                | 68600 EIP Construction Contract Project Area D |              |              |              | 1,735,790    | 6,588,644    | 35,726,222                      | 43,241,908                  | 74,342,369                    | 51,566,342              | 9,120,652               | -                       | -                       | 9,120,652            |
| 995001         | 68601 EIP Construction Util Relocations - D    |              |              |              |              | 106,602      | 643,993                         | 118,862                     | 1,094,368                     | 225,464                 | 35,589                  | -                       | -                       | 35,589               |
| 996001         | 68601 EIP Construction Util Relocations - D    |              |              |              |              | 93,777       | 203,366                         | 61,170                      | 345,590                       | 154,947                 | 8,348                   | -                       | -                       | 8,348                |
|                | 68601 EIP Construction Util Relocations - D    |              |              |              |              | 200,379      | 847,360                         | 180,032                     | 1,439,957                     | 380,411                 | 43,937                  | -                       | -                       | 43,937               |
| 995001         | 6XXXX EIP/UFRR - FRWLP Final Completion Report |              | -            | -            | -            | -            | -                               | -                           | -                             | -                       | 28,350                  | 48,600                  | -                       | 76,950               |
| 996001         | 6XXXX EIP/UFRR - FRWLP Final Completion Report |              | -            | -            | -            | -            | -                               | -                           | -                             | -                       | 6,650                   | 11,400                  | -                       | 18,050               |
|                | 6XXXX EIP/UFRR - FRWLP Final Completion Report |              | -            | -            | -            | -            | -                               | -                           | -                             | -                       | 35,000                  | 60,000                  | -                       | 95,000               |
| 995001         | 6XXXX OWA - FSR Improvements                   |              |              |              |              |              |                                 |                             |                               |                         | 1,701,000               | 1,701,000               |                         | 3,402,000            |
| 996001         | 6XXXX OWA - FSR Improvements                   |              |              |              |              |              |                                 |                             |                               |                         | 399,000                 | 399,000                 |                         | 798,000              |
|                | 6XXXX OWA - FSR Improvements                   |              |              |              |              |              |                                 |                             |                               |                         | 2,100,000               | 2,100,000               |                         | 4,200,000            |

**SBFCA CAPITAL FUND - EARLY IMPLEMENTATION PROJECT (731-991067/731-995001/731-996001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number | Line Item Description                                  | 10/11 Actual | 11/12 Actual  | 12/13 Actual  | 13/14 Actual | 14/15 Actual | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/2015) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed Three Years |
|----------------|--|--------------|---------------|---------------|--------------|--------------|---------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|-------------------------|----------------------|
|                | Sub-Total Professional Services                        | 4,423,955    | 10,536,685    | 11,852,151    | 49,490,191   | 93,970,096   | 57,187,236                      | 69,725,047                  | 280,504,604                   | 235,574,170             | 37,556,472              | 5,645,951               | -                       | 43,202,423           |
|                | Tools, Supplies & Equip. < \$5,000                     |              |               |               |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
|                | Sub-Total Materials, Supplies & Services               | 4,423,955    | 10,536,685    | 11,852,151    | 49,490,191   | 93,970,096   | 57,187,236                      | 69,725,047                  | 280,504,604                   | 235,574,170             | 37,556,472              | 5,645,951               | -                       | 43,202,423           |
|                | Equipment  |              |               |               |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
|                | Sub-Total Capital/Small Equipment Items                | -            | -             | -             | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
|                | Sub-Total-Soft Costs                                   | 4,423,955    | 10,536,685.24 | 11,852,150.73 | 49,490,191   | 93,970,096   | 57,187,236                      | 69,725,047                  | 280,504,604                   | 235,574,170             | 37,556,472              | 5,645,951               | -                       | 43,202,423           |
|                | Capital Projects                                       |              |               |               |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
|                | Sub-Total-Projects                                     | -            | -             | -             | -            | -            | -                               | -                           | -                             | -                       | -                       | -                       | -                       | -                    |
|                | Total Capital Expenditures                             | 4,423,955    | 10,536,685.24 | 11,852,150.73 | 49,490,191   | 93,970,096   | 57,187,236                      | 69,725,047                  | 280,504,604                   | 235,574,170             | 37,556,472              | 5,645,951               | -                       | 43,202,423           |
|                | Transfer in fm Oper Fd to Support Capital Soft Costs   |              |               | (58,994.99)   |              |              |                                 |                             | (58,995)                      | (58,995)                |                         |                         |                         |                      |
|                | Transfer in fm Star Bend to Support Capital Soft Costs |              | 73,297        |               |              |              |                                 |                             | 73,297                        | 73,297                  |                         |                         |                         |                      |
|                | Transfer out to Corps Study                            |              |               |               |              |              |                                 |                             | -                             | -                       |                         |                         |                         |                      |
|                | Transfer out to Regional Planning                      |              |               |               |              |              |                                 |                             | -                             | -                       |                         |                         |                         |                      |
|                | Transfer out to Support Operations                     | (100,000)    | (119,243.67)  |               |              |              |                                 |                             | (119,244)                     | (119,244)               |                         |                         |                         |                      |
|                | Capital Revenues Over <Under> Expenditures             | 1,081,499    | (1,296,265)   | (1,273,013)   | (2,353,141)  | (20,197,138) | 6,948,817                       | (40,748,890)                | (59,825,135)                  | (65,868,447)            | 10,608,971              | 11,839,666              | 5,750,000               | 28,198,637           |
|                | Financing Activities Related Items                     |              |               |               |              |              |                                 |                             |                               |                         |                         |                         |                         |                      |
|                | Net Proceeds from the Issuance of New Debt             |              | 3,689,878     | 44,793,015    | -            | 36,890,059   | -                               | 19,602,366                  | -                             | 56,492,425              |                         |                         |                         |                      |
|                | Short/Long Term Debt Repayment                         |              | (3,060,054)   | (3,114,782)   | -            | 7,012,775    | -                               | (19,602,366)                | 87,612,452                    | 35,893,301              |                         |                         |                         |                      |
|                | Cost of Financing                                      |              |               | (620,422)     |              | (682,806)    |                                 |                             | (1,270,422)                   | (1,303,228)             |                         |                         |                         |                      |
|                | Interest Paid on Outstanding Debt                      |              | -             | (74,044)      | (1,535,194)  | (2,334,641)  | (2,975,313)                     | (3,172,831)                 | (6,492,314)                   | (7,116,709)             | (4,100,056)             | (4,104,081)             | (5,468,181)             | (13,672,319)         |
|                | Net Financing Activities                               | -            | 629,824       | 40,983,767    | (1,535,194)  | 40,885,387   | (2,975,313)                     | (3,172,831)                 | 73,674,880                    | 77,790,953              | (4,100,056)             | (4,104,081)             | (5,468,181)             | (13,672,319)         |
|                | Working Capital - Beginning of Period                  | 332,905      | 1,414,404     | 747,964       | 40,458,718   | 36,570,383   | 11,290,645                      | 57,258,632                  | 1,414,404                     | 1,414,404               | 13,336,911              | 19,845,826              | 27,581,411              | 13,336,911           |
|                | Working Capital - End of Period Before Financing       | 1,414,404    | 118,140       | (525,049)     | 38,105,577   | 16,373,245   | 18,239,462                      | 16,509,742                  | (58,410,731)                  | (64,454,042)            | 23,945,882              | 31,685,492              | 33,331,411              | 41,535,548           |
|                | Working Capital - End of Period After Financing        | 1,414,404    | 747,964       | 40,458,718    | 36,570,383   | 57,258,632   | 15,264,149                      | 13,336,911                  | 15,264,149                    | 13,336,911              | 19,845,826              | 27,581,411              | 27,863,229              | 27,863,229           |
|                | Variance - Before Financing                            | 1,081,499    | (1,296,265)   | (1,273,013)   | (2,353,141)  | (20,197,138) | 6,948,817                       | (40,748,890)                | (59,825,135)                  | (65,868,447)            | 10,608,971              | 11,839,666              | 5,750,000               | 28,198,637           |
|                | Variance - After Financing                             | 1,081,499    | (666,440)     | 39,710,754    | (3,888,335)  | 20,688,249   | 3,973,504                       | (43,921,720)                | 13,849,745                    | 11,922,507              | 6,508,915               | 7,735,585               | 281,819                 | 14,526,318           |

**SBFCA CAPITAL FUND - STAKEHOLDER MANAGEMENT PROGRAM (731-99-1068)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number                                    | Line Item Description  | 11/12 Actual  | 12/13 Actual    | 13/14 Actual   | 14/15 Actual   | Current (6/10/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/10/15) Five Years | Proposed Final Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed 3 Year Budget | Discussion |
|---|--|---------------|-----------------|----------------|----------------|----------------------------------|-----------------------------|------------------------------|-------------------------------|-------------------------|-------------------------|-------------------------|------------------------|------------|
| <b>Revenues:</b>                                  |  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 43530   | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (Local Credit) |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 43531   | State Intergovernmental Funds-EIP Grant Funds-Prop.84/Prop.1E (State Share)  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 43717   | Local Intergovernmental Contributions  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 45520   | Assessment District Proceeds/Bond Anticipation Notes                         |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 46110   | Interest on Investments  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 49010   | Other Revenue (From Outside Agencies)  | 50,750        | 11,000          | -              | -              | -                                | -                           | 61,750                       | 61,750                        |                         |                         |                         |                        |            |
|   | <b>Total Capital Revenues</b>  | <b>50,750</b> | <b>11,000</b>   | <b>-</b>       | <b>-</b>       | <b>-</b>                         | <b>-</b>                    | <b>61,750</b>                | <b>61,750</b>                 | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>               |            |
| <b>Expenditures (Capital Project Soft Costs):</b> |  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 991068  |  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
| 65637   | Stakeholder Management Efforts: FEMA Zone Reform (Downey Brand)              | 16,702        | 7,810           | -              | 1,661          |                                  | 2,460                       | 25,848                       | 28,632                        |                         |                         |                         |                        |            |
| 65638   | Stakeholder Management Efforts: Fed Credit Reform (Downey Brand)             |               |                 | 6,654          | 284            | 48,000                           | 20,000                      | 66,938                       | 26,938                        |                         |                         |                         |                        |            |
| 65939   | Stakeholder Management Efforts: Other  |               | 20,750          | -              | -              |                                  |                             | 20,750                       | 20,750                        | 25,000                  |                         |                         | 25,000                 |            |
|   | Sub-Total Professional Services  | <b>16,702</b> | <b>28,560</b>   | <b>6,654</b>   | <b>1,944</b>   | <b>48,000</b>                    | <b>22,460</b>               | <b>113,536</b>               | <b>76,320</b>                 | <b>25,000</b>           | <b>-</b>                | <b>-</b>                | <b>25,000</b>          |            |
|   | Tools, Supplies & Equip. < \$5,000   |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
|   | Sub-Total Materials, Supplies & Services                                     | <b>16,702</b> | <b>28,560</b>   | <b>6,654</b>   | <b>1,944</b>   | <b>48,000</b>                    | <b>22,460</b>               | <b>113,536</b>               | <b>76,320</b>                 | <b>25,000</b>           | <b>-</b>                | <b>-</b>                | <b>25,000</b>          |            |
|   | Equipment  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
|   | Sub-Total Capital/Small Equipment Items                                      | <b>-</b>      | <b>-</b>        | <b>-</b>       | <b>-</b>       | <b>-</b>                         | <b>-</b>                    | <b>-</b>                     | <b>-</b>                      | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>               |            |
|   | Sub-Total-Soft Costs   | <b>16,702</b> | <b>28,560</b>   | <b>6,654</b>   | <b>1,944</b>   | <b>48,000</b>                    | <b>22,460</b>               | <b>113,536</b>               | <b>76,320</b>                 | <b>25,000</b>           | <b>-</b>                | <b>-</b>                | <b>25,000</b>          |            |
|   | Capital Projects   |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
|   | Sub-Total-Projects   | <b>-</b>      | <b>-</b>        | <b>-</b>       | <b>-</b>       | <b>-</b>                         | <b>-</b>                    | <b>-</b>                     | <b>-</b>                      | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>               |            |
|   | Total Capital Expenditures   | <b>16,702</b> | <b>28,560</b>   | <b>6,654</b>   | <b>1,944</b>   | <b>48,000</b>                    | <b>22,460</b>               | <b>113,536</b>               | <b>76,320</b>                 | <b>25,000</b>           | <b>-</b>                | <b>-</b>                | <b>25,000</b>          |            |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs                         |               |                 |                |                | 48,000                           | 48,000                      | 48,000                       | 48,000                        |                         |                         |                         |                        |            |
|   | Transfer out to Support Operations   |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
|   | Transfer out to Support EIP Project  |               |                 |                |                |                                  |                             |                              |                               |                         |                         |                         |                        |            |
|   | Capital Revenues Over <Under> Expenditures                                   | <b>34,048</b> | <b>(17,560)</b> | <b>(6,654)</b> | <b>(1,944)</b> | <b>-</b>                         | <b>25,540</b>               | <b>(3,786)</b>               | <b>33,430</b>                 | <b>(25,000)</b>         | <b>-</b>                | <b>-</b>                | <b>(25,000)</b>        |            |
|   | Working Capital - Beginning of Period  | <b>-</b>      | <b>34,048</b>   | <b>16,488</b>  | <b>9,834</b>   | <b>-</b>                         | <b>7,890</b>                | <b>-</b>                     | <b>-</b>                      | <b>33,430</b>           | <b>8,430</b>            | <b>8,430</b>            | <b>33,430</b>          |            |
|   | Working Capital - End of Period  | <b>34,048</b> | <b>16,488</b>   | <b>9,834</b>   | <b>7,890</b>   | <b>-</b>                         | <b>33,430</b>               | <b>(3,786)</b>               | <b>33,430</b>                 | <b>8,430</b>            | <b>8,430</b>            | <b>8,430</b>            | <b>8,430</b>           |            |
|   | Variance   | <b>34,048</b> | <b>(17,560)</b> | <b>(6,654)</b> | <b>(1,944)</b> | <b>-</b>                         | <b>25,540</b>               | <b>(3,786)</b>               | <b>33,430</b>                 | <b>(25,000)</b>         | <b>-</b>                | <b>-</b>                | <b>(25,000)</b>        |            |

**SBFCA CAPITAL FUND - REGIONAL FLOOD MANAGEMENT PLANNING PROGRAM (731-99-2001)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number                                    | Line Item Description                                   | 12/13 Actual    | 13/14 Actual     | 14/15 Actual   | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/9/15) Five Years | Proposed Am. Five Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed Two Years | Discussion |
|---|---|-----------------|------------------|----------------|---------------------------------|-----------------------------|-----------------------------|-------------------------|-------------------------|-------------------------|--------------------|------------|
| <b>Revenues:</b>                                  |   |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 43530   | State Intergovernmental Funds - EIP Grant Funds - Prop  |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 43531   | State Intergovernmental Funds - EIP Grant Funds - Prop  |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 43534   | State Intergovernmental Funds - Directed RFMP Grant (I  | 114,857         | 341,700          | 201,627        | 550,000                         | 220,180                     | 1,208,184                   | 878,364                 | 281,759                 | 41,645                  | 323,404            |            |
| 43717   | Local Intergovernmental Contributions                   |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 45520   | Assessment District Revenues                            |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | <b>Total Capital Revenues</b>                           | <b>114,857</b>  | <b>341,700</b>   | <b>201,627</b> | <b>550,000</b>                  | <b>220,180</b>              | <b>1,208,184</b>            | <b>878,364</b>          | <b>281,759</b>          | <b>41,645</b>           | <b>323,404</b>     |            |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 99-2001   |   |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
| 65640   | Regional Planning Grant Application/WIK                 | 76,562          | 22,425           | 9,471          | -                               | 18,241                      | 103,209                     | 126,700                 | 9,000                   | -                       | 9,000              |            |
|   | Executive Director Allocation Time                      | 12,960          | 19,762           | 9,148          |                                 | 16,673                      | 36,945                      | 58,544                  | 9,000                   |                         | 9,000              |            |
|   | Director of Engineering Allocation Time                 | 2,944           | 2,091            | 228            |                                 | 1,537                       | 5,035                       | 6,800                   | -                       |                         | -                  |            |
|   | Admin Manager Allocation Time                           |                 | 571              | 95             |                                 | 32                          | 571                         | 698                     | -                       |                         | -                  |            |
|   | Larsen Wurzel & Assoc.                                  | 5,231           | -                | -              |                                 | -                           | 5,231                       | 5,231                   | -                       |                         | -                  |            |
|   | Kim Floyd Communications                                | 11,193          | -                | -              |                                 | -                           | 11,193                      | 11,193                  | -                       |                         | -                  |            |
|   | Downey Brand  | 14,559          | -                | -              |                                 | -                           | 14,559                      | 14,559                  | -                       |                         | -                  |            |
|   | MBK   | 29,676          | -                | -              |                                 | -                           | 29,676                      | 29,676                  | -                       |                         | -                  |            |
| 65641   | Regional Planning T1: Program Management                | 41,833          | 105,836          | 59,799         | 150,000                         | 83,089                      | 342,670                     | 290,558                 | 47,751                  |                         | 47,751             |            |
| 65642   | Regional Planning T2: Outreach & Data Collection        | 36,343          | 77,797           | 29,747         | 150,000                         | 27,537                      | 286,094                     | 171,424                 | 27,100                  |                         | 27,100             |            |
| 65643   | Regional Planning T3: Plan Formulation                  | 34,061          | 164,510          | 15,030         | -                               |                             | 213,601                     | 213,601                 | -                       |                         | -                  |            |
| 65644   | Regional Planning T4: Financial Plan                    | 10,881          | 73,468           | 28,401         | -                               |                             | 112,750                     | 112,750                 | 26,881                  |                         | 26,881             |            |
| 65645   | Regional Planning T5: Governance                        |                 |                  |                | 125,000                         | 54,403                      | 125,000                     | 54,403                  | 70,597                  |                         | 70,597             |            |
| 65646   | Regional Planning T6: Institutional Barriers            |                 |                  |                | 125,000                         | 66,739                      | 125,000                     | 66,739                  | 58,261                  |                         | 58,261             |            |
|   | Sub-Total Professional Services                         | 199,680         | 444,037          | 142,448        | 550,000                         | 250,009                     | 1,308,324                   | 1,036,174               | 239,591                 | -                       | 239,591            |            |
|   | Tools, Supplies & Equip. < \$5,000                      |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>199,680</b>  | <b>444,037</b>   | <b>142,448</b> | <b>550,000</b>                  | <b>250,009</b>              | <b>1,308,324</b>            | <b>1,036,174</b>        | <b>239,591</b>          | <b>-</b>                | <b>239,591</b>     |            |
|   | Equipment   |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>-</b>        | <b>-</b>         | <b>-</b>       | <b>-</b>                        | <b>-</b>                    | <b>-</b>                    | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>           |            |
|   | <b>Sub-Total-Soft Costs</b>                             | <b>199,680</b>  | <b>444,037</b>   | <b>142,448</b> | <b>550,000</b>                  | <b>250,009</b>              | <b>1,308,324</b>            | <b>1,036,174</b>        | <b>239,591</b>          | <b>-</b>                | <b>239,591</b>     |            |
|   | <b>Capital Projects</b>                                 |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | <b>Sub-Total-Projects</b>                               | <b>-</b>        | <b>-</b>         | <b>-</b>       | <b>-</b>                        | <b>-</b>                    | <b>-</b>                    | <b>-</b>                | <b>-</b>                | <b>-</b>                | <b>-</b>           |            |
|   | <b>Total Capital Expenditures</b>                       | <b>199,680</b>  | <b>444,037</b>   | <b>142,448</b> | <b>550,000</b>                  | <b>250,009</b>              | <b>1,308,324</b>            | <b>1,036,174</b>        | <b>239,591</b>          | <b>-</b>                | <b>239,591</b>     |            |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs    |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | Transfer out to Support Operations                      |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | Transfer out to Support EIP Project                     |                 |                  |                |                                 |                             |                             |                         |                         |                         |                    |            |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(84,823)</b> | <b>(102,337)</b> | <b>59,179</b>  | <b>-</b>                        | <b>(29,830)</b>             | <b>(100,139)</b>            | <b>(157,810)</b>        | <b>42,168</b>           | <b>41,645</b>           | <b>83,813</b>      |            |
|   | Working Capital - Beginning of Period                   | -               | (84,823)         | (187,160)      | (133,049)                       | (127,980)                   | -                           | -                       | (157,810)               | (115,642)               | (157,810)          |            |
|   | Working Capital - End of Period                         | (84,823)        | (187,160)        | (127,980)      | (133,049)                       | (157,810)                   | (100,139)                   | (157,810)               | (115,642)               | (73,997)                | (73,997)           |            |
|   | Variance  | (84,823)        | (102,337)        | 59,179         | -                               | (29,830)                    | (100,139)                   | (157,810)               | 42,168                  | 41,645                  | 83,813             |            |

**SBFCA CAPITAL FUND - EMERGENCY RESPONSE PLANNING PROGRAM (731-99-2002)  
 PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16  
 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**

**June 22, 2016**

| <b>Account Number</b>                             | <b>Line Item Description</b>                            | <b>13/14 Actuals</b> | <b>14/15 Actuals</b> | <b>Current (6/9/15) 2015-16 Budget</b> | <b>Proposed Am. 2015-16 Budget</b> | <b>Current (6/9/15) Five Years</b> | <b>Proposed Am. Five Years</b> | <b>Discussion</b> |
|---|---|----------------------|----------------------|--|------------------------------------|------------------------------------|--------------------------------|-------------------|
| <b>Revenues:</b>                                  |   |                      |                      |  |                                    |                                    |                                |                   |
| 43535   | State Revenues - Flood Emergency Response Grant         | -                    | 118,685              |  | 10,116                             | 174,969                            | 128,801                        |                   |
|   | <b>Total Capital Revenues</b>                           | <b>-</b>             | <b>118,685</b>       | <b>-</b>                               | <b>10,116</b>                      | <b>174,969</b>                     | <b>128,801</b>                 |                   |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                      |                      |  |                                    |                                    |                                |                   |
| <u>99-2002</u>                                    |   |                      |                      |  |                                    |                                    |                                |                   |
| 65650   | David Ford Flood Safety Plan                            | 27,277               | 99,340               |  | 11,775                             | 179,634                            | 138,393                        |                   |
| 65651   | Live Oak Generator                                      | -                    | -                    |  | -                                  | -                                  | -                              |                   |
| 65652   | Gridley Generator                                       | -                    | -                    |  | -                                  | -                                  | -                              |                   |
| 65653   | Admin & Contingency                                     | -                    | 2,776                |  | 4,842                              | 7,618                              | 7,618                          |                   |
|   | Sub-Total Professional Services                         | <b>27,277</b>        | <b>102,117</b>       | <b>-</b>                               | <b>16,617</b>                      | <b>187,251</b>                     | <b>146,010</b>                 |                   |
|   | Tools, Supplies & Equip. < \$5,000                      |                      |                      |  |                                    |                                    |                                |                   |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>27,277</b>        | <b>102,117</b>       | <b>-</b>                               | <b>16,617</b>                      | <b>187,251</b>                     | <b>146,010</b>                 |                   |
|   | Equipment   |                      |                      |  |                                    |                                    |                                |                   |
|   | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>-</b>             | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                           | <b>-</b>                       |                   |
|   | <b>Sub-Total-Soft Costs</b>                             | <b>27,277</b>        | <b>102,117</b>       | <b>-</b>                               | <b>16,617</b>                      | <b>187,251</b>                     | <b>146,010</b>                 |                   |
|   | <b>Capital Projects</b>                                 |                      |                      |  |                                    |                                    |                                |                   |
|   | <b>Sub-Total-Projects</b>                               | <b>-</b>             | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                           | <b>-</b>                       |                   |
|   |   | <b>27,277</b>        | <b>102,117</b>       | <b>-</b>                               | <b>16,617</b>                      | <b>187,251</b>                     | <b>146,010</b>                 |                   |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs    |                      |                      | -                                      |                                    | -                                  |                                |                   |
|   | Transfer out to Support Operations                      |                      |                      |  |                                    |                                    |                                |                   |
|   | Transfer out to Support EIP Project                     |                      |                      |  |                                    |                                    |                                |                   |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(27,277)</b>      | <b>16,569</b>        | <b>-</b>                               | <b>(6,501)</b>                     | <b>(12,282)</b>                    | <b>(17,210)</b>                |                   |
|   | Working Capital - Beginning of Period                   | -                    | (27,277)             | (12,282)                               | (10,709)                           | -                                  | -                              |                   |
|   | Working Capital - End of Period                         | (27,277)             | (10,709)             | (12,282)                               | (17,210)                           | (12,282)                           | (17,210)                       |                   |
|   | <b>Variance</b>   | <b>(27,277)</b>      | <b>16,569</b>        | <b>-</b>                               | <b>(6,501)</b>                     | <b>(12,282)</b>                    | <b>(17,210)</b>                |                   |

**SBFCA CAPITAL FUND - LAUREL FSRP (LAUREL-FSRP) (731-2004)\***  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| Account Number                                    | Line Item Description   | 14/15 Actuals  | Current (6/10/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (4/9/14) 2 Years | Current (6/10/15) 2 Years | Proposed Final Am. 2 Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Final Am. 3 Years | Discussion |
|---|---|----------------|----------------------------------|-----------------------------|--------------------------|---------------------------|----------------------------|-------------------------|-------------------------|-------------------------|-------------------|------------|
| <b>Revenues:</b>                                  |   |                |                                  |                             |                          |                           |                            |                         |                         |                         |                   |            |
| 43537   | State Revenue - LC-FSRP Prop 1E Grant                               | -              | 13,047,986                       | -                           | 14,052,332               | 13,047,986                | -                          | 7,225,000               | -                       | -                       | 7,225,000         |            |
|   | <b>Total Capital Revenues</b>                                       | -              | <b>13,047,986</b>                | -                           | <b>14,052,332</b>        | <b>13,047,986</b>         | -                          | <b>7,225,000</b>        | -                       | -                       | <b>7,225,000</b>  |            |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                |                                  |                             |                          |                           |                            |                         |                         |                         |                   |            |
| 99-2004   | <b>Task 1.1 - LC FSRP Project Mngmnt - SBFCA Staff Time</b>         | <b>644</b>     | <b>120,425</b>                   | <b>44,305</b>               | <b>180,000</b>           | <b>180,341</b>            | <b>44,950</b>              | <b>40,490</b>           | -                       | -                       | <b>40,490</b>     |            |
|   | Exec Dir  | 176            | 120,425                          | 22,239                      | 180,000                  | 175,000                   | 22,415                     | 20,245                  | -                       | -                       | 20,245            |            |
|   | Dir of Eng  | 342            | -                                | 22,066                      | -                        | 5,342                     | 22,408                     | 20,245                  | -                       | -                       | 20,245            |            |
|   | Admin Mgr   | 127            | -                                | -                           | -                        | -                         | 127                        | -                       | -                       | -                       | -                 |            |
| 65682   | <b>Task 1.1 - LC FSRP Project Management - Non Staff</b>            | <b>16,792</b>  | <b>368,987</b>                   | <b>114,611</b>              | <b>435,289</b>           | <b>435,289</b>            | <b>131,402</b>             | <b>118,598</b>          | -                       | -                       | <b>118,598</b>    |            |
|   | LWA   | 15,648         | 230,715                          | 36,166                      | 249,873                  | 249,873                   | 51,814                     | 35,412                  | -                       | -                       | 35,412            |            |
|   | PBI   | 1,144          | 100,000                          | 38,444                      | 100,000                  | 100,000                   | 39,588                     | 23,186                  | -                       | -                       | 23,186            |            |
|   | IPE   | -              | 38,272                           | 40,000                      | 85,415                   | 85,415                    | 40,000                     | 60,000                  | -                       | -                       | 60,000            |            |
| 65688   | <b>Task 1.1 - LC FSRP Project Mngmnt - Closeout</b>                 | -              | <b>200,000</b>                   | -                           | <b>200,000</b>           | <b>200,000</b>            | -                          | <b>100,000</b>          | -                       | -                       | <b>100,000</b>    |            |
|   | BRI   | -              | 50,000                           | -                           | 50,000                   | 50,000                    | -                          | 25,000                  | -                       | -                       | 25,000            |            |
|   | PB CM   | -              | 50,000                           | -                           | 50,000                   | 50,000                    | -                          | 25,000                  | -                       | -                       | 25,000            |            |
|   | HDR DSDC  | -              | 50,000                           | -                           | 50,000                   | 50,000                    | -                          | 25,000                  | -                       | -                       | 25,000            |            |
|   | ICF Env Monitoring  | -              | 50,000                           | -                           | 50,000                   | 50,000                    | -                          | 25,000                  | -                       | -                       | 25,000            |            |
|   | IPE   | -              | -                                | -                           | -                        | -                         | -                          | -                       | -                       | -                       | -                 |            |
| 65689   | <b>Task 1.2 - LC FSRP Overhead</b>                                  | -              | -                                | <b>17,230</b>               | -                        | -                         | <b>17,230</b>              | <b>47,331</b>           | -                       | -                       | <b>47,331</b>     |            |
|   | In-Direct Overhead & Admin  | -              | -                                | 17,230                      | -                        | -                         | 17,230                     | 47,331                  | -                       | -                       | 47,331            |            |
| 65683   | <b>Task 2.1 - LC FSRP Design</b>                                    | <b>142,409</b> | <b>89,057</b>                    | <b>215,831</b>              | <b>804,050</b>           | <b>804,050</b>            | <b>358,240</b>             | <b>141,760</b>          | -                       | -                       | <b>141,760</b>    |            |
|   | HDR TO14  | 142,409        | 89,057                           | 215,831                     | 804,050                  | 804,050                   | 358,240                    | 141,760                 | -                       | -                       | 141,760           |            |
| 65684   | <b>Task 2.2 - LC FSRP Environmental</b>                             | <b>19,007</b>  | <b>157,500</b>                   | <b>178,016</b>              | <b>400,000</b>           | <b>400,000</b>            | <b>197,023</b>             | <b>121,984</b>          | -                       | -                       | <b>121,984</b>    |            |
|   | ICF TO17  | 19,007         | 157,500                          | 178,016                     | 400,000                  | 400,000                   | 197,023                    | 121,984                 | -                       | -                       | 121,984           |            |
| 65690   | <b>Task 2.3 - LC FSRP Environmental Mitigation/Fees</b>             | -              | -                                | <b>390,497</b>              | -                        | -                         | <b>390,497</b>             | <b>390,497</b>          | -                       | -                       | <b>390,497</b>    |            |
|   | Environmental Mitigation Fees                                       | -              | -                                | 390,497                     | -                        | -                         | 390,497                    | 390,497                 | -                       | -                       | 390,497           |            |
| 65691   | <b>Task 2.4 - LC FSRP Archeological Investigations/Explorations</b> | -              | <b>360,000</b>                   | <b>168,296</b>              | -                        | <b>360,000</b>            | <b>168,296</b>             | <b>131,704</b>          | -                       | -                       | <b>131,704</b>    |            |
|   | Archeological Investigations/Explorations                           | -              | 360,000                          | 168,296                     | 360,000                  | 360,000                   | 168,296                    | 131,704                 | -                       | -                       | 131,704           |            |
| 65692   | <b>Task 3.1 - LC FSRP Right-of-Way</b>                              | -              | <b>142,857</b>                   | <b>50,000</b>               | -                        | -                         | <b>50,000</b>              | <b>50,000</b>           | -                       | -                       | <b>50,000</b>     |            |
|   | Capital Cost of Acquisition (Land/TCE)                              | -              | 142,857                          | 50,000                      | 500,000                  | 500,000                   | 50,000                     | 50,000                  | -                       | -                       | 50,000            |            |
| 65685   | <b>Task 3.2 - LC FSRP Right-of-Way</b>                              | <b>53,100</b>  | <b>255,207</b>                   | <b>134,577</b>              | <b>697,250</b>           | <b>697,250</b>            | <b>187,677</b>             | <b>112,323</b>          | -                       | -                       | <b>112,323</b>    |            |
|   | HDR TO14  | 52,890         | 202,350                          | 134,577                     | 297,250                  | 297,250                   | 187,467                    | 112,323                 | -                       | -                       | 112,323           |            |
|   | BRI Toxx  | -              | 22,857                           | -                           | 200,000                  | 200,000                   | -                          | -                       | -                       | -                       | -                 |            |
|   | Downey Brand  | 210            | 30,000                           | -                           | 200,000                  | 200,000                   | 210                        | -                       | -                       | -                       | -                 |            |
| 65686   | <b>Task 4 - LC FSRP Construction</b>                                | -              | <b>11,100,000</b>                | <b>450,000</b>              | <b>11,100,000</b>        | <b>11,100,000</b>         | <b>450,000</b>             | <b>4,100,000</b>        | -                       | -                       | <b>4,100,000</b>  |            |
|   | Construction Contractor   | -              | 11,100,000                       | 450,000                     | 11,100,000               | 11,100,000                | 450,000                    | 4,100,000               | -                       | -                       | 4,100,000         |            |
| 65687   | <b>Task 5 - LC FSRP Construction Management</b>                     | -              | <b>1,335,567</b>                 | <b>175,000</b>              | <b>1,335,567</b>         | <b>1,335,567</b>          | <b>175,000</b>             | <b>875,000</b>          | -                       | -                       | <b>875,000</b>    |            |
|   | PB CM   | -              | 825,000                          | 108,333                     | 825,000                  | 825,000                   | 108,333                    | 541,667                 | -                       | -                       | 541,667           |            |
|   | HDR DSDC  | -              | 275,900                          | 50,000                      | 275,900                  | 275,900                   | 50,000                     | 250,000                 | -                       | -                       | 250,000           |            |
|   | ICF Env Monitoring  | -              | 200,000                          | 16,667                      | 200,000                  | 200,000                   | 16,667                     | 83,333                  | -                       | -                       | 83,333            |            |
|   | IPE   | -              | 34,667                           | -                           | 34,667                   | 34,667                    | -                          | -                       | -                       | -                       | -                 |            |
|   | Diepenbrock   | -              | -                                | -                           | -                        | -                         | -                          | -                       | -                       | -                       | -                 |            |

**SBFCA CAPITAL FUND - LAUREL FSRP (LAUREL-FSRP) (731-2004)\***  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| <u>Account Number</u> | <u>Line Item Description</u>                            | <u>14/15 Actuals</u> | <u>Current (6/10/15) 2015-16 Budget</u> | <u>Proposed Am. 2015-16 Budget</u> | <u>Current (4/9/14) 2 Years</u> | <u>Current (6/10/15) 2 Years</u> | <u>Proposed Final Am. 2 Years</u> | <u>Proposed 2016-17 Budget</u> | <u>Proposed 2017-18 Budget</u> | <u>Proposed 2018-19 Budget</u> | <u>Final Am. 3 Years</u> | <u>Discussion</u> |
|-----------------------|---|----------------------|---|------------------------------------|---------------------------------|----------------------------------|-----------------------------------|--------------------------------|--------------------------------|--------------------------------|--------------------------|-------------------|
| Contingency           |   |                      |   |                                    |                                 |                                  |                                   |                                |                                |                                |                          |                   |
|                       | Sub-Total Professional Services                         | 231,951              | 14,129,601                              | 1,938,362                          | 16,012,155                      | 16,012,497                       | 2,170,313                         | 6,229,687                      | -                              | -                              | 6,229,687                |                   |
|                       | Tools, Supplies & Equip. < \$5,000                      |                      |   |                                    |                                 |                                  |                                   |                                |                                |                                |                          |                   |
|                       | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>231,951</b>       | <b>14,129,601</b>                       | <b>1,938,362</b>                   | <b>16,012,155</b>               | <b>16,012,497</b>                | <b>2,170,313</b>                  | <b>6,229,687</b>               | <b>-</b>                       | <b>-</b>                       | <b>6,229,687</b>         |                   |
|                       | Equipment   |                      |   |                                    |                                 |                                  |                                   |                                |                                |                                |                          |                   |
|                       | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>-</b>             | <b>-</b>                                | <b>-</b>                           | <b>-</b>                        | <b>-</b>                         | <b>-</b>                          | <b>-</b>                       | <b>-</b>                       | <b>-</b>                       | <b>-</b>                 |                   |
|                       | <i>Sub-Total-Soft Costs</i>                             | <i>231,951</i>       | <i>14,129,601</i>                       | <i>1,938,362</i>                   | <i>16,012,155</i>               | <i>16,012,497</i>                | <i>2,170,313</i>                  | <i>6,229,687</i>               | <i>-</i>                       | <i>-</i>                       | <i>6,229,687</i>         |                   |
|                       | <i>Sub-Total-Projects</i>                               | <i>-</i>             | <i>-</i>                                | <i>-</i>                           | <i>-</i>                        | <i>-</i>                         | <i>-</i>                          | <i>-</i>                       | <i>-</i>                       | <i>-</i>                       | <i>-</i>                 |                   |
|                       | <b>Total Capital Expenditures</b>                       | <b>231,951</b>       | <b>14,129,601</b>                       | <b>1,938,362</b>                   | <b>16,012,155</b>               | <b>16,012,497</b>                | <b>2,170,313</b>                  | <b>6,229,687</b>               | <b>-</b>                       | <b>-</b>                       | <b>6,229,687</b>         |                   |
|                       | Transfer in fm Oper Fd to Support Capital Soft Costs    |                      | -                                       | -                                  | -                               | -                                | -                                 |                                |                                |                                | -                        |                   |
|                       | Transfer out to Support Operations                      |                      |   |                                    |                                 |                                  |                                   |                                |                                |                                |                          |                   |
|                       | Transfer in fm EIP to Support Capital Costs             |                      | -                                       | -                                  | -                               | -                                | -                                 |                                |                                |                                | -                        |                   |
|                       | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(231,951)</b>     | <b>(1,081,615)</b>                      | <b>(1,938,362)</b>                 | <b>(1,959,823)</b>              | <b>(2,964,510)</b>               | <b>(2,170,313)</b>                | <b>995,313</b>                 | <b>-</b>                       | <b>-</b>                       | <b>995,313</b>           |                   |
|                       | Working Capital - Beginning of Period                   | -                    | (878,260)                               | (231,951)                          | -                               | -                                | -                                 | (2,170,313)                    | (1,175,000)                    | (1,175,000)                    | (2,170,313)              |                   |
|                       | Working Capital - End of Period                         | (231,951)            | (1,959,875)                             | (2,170,313)                        | (1,959,823)                     | (2,964,510)                      | (2,170,313)                       | (1,175,000)                    | (1,175,000)                    | (1,175,000)                    | (1,175,000)              |                   |
|                       | <b>Variance</b>   | <b>(231,951)</b>     | <b>(1,081,615)</b>                      | <b>(1,938,362)</b>                 | <b>(1,959,823)</b>              | <b>(2,964,510)</b>               | <b>(2,170,313)</b>                | <b>995,313</b>                 | <b>-</b>                       | <b>-</b>                       | <b>995,313</b>           |                   |

\* PROGRAM ONLY REFLECTS LAUREL FSRP

**SBFCA CAPITAL FUND - OROVILLE WILDLIFE AREA (731-2005,7)**

**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**

**June 22, 2016**

| Account Number  | Line Item Description                                      | 14/15 Actuals  | Current (6/9/15) 2015-16 Budget | Proposed Am. 2015-16 Budget | Current (6/10/15) 2 Years | Proposed 2 Years | Proposed 2016-17 Budget | Proposed 2017-18 Budget | Proposed 2018-19 Budget | Proposed 3 Years | Discussion |
|---|--|----------------|---------------------------------|-----------------------------|---------------------------|------------------|-------------------------|-------------------------|-------------------------|------------------|------------|
| <b>Revenues:</b>  |  |                |                                 |                             |                           |                  |                         |                         |                         |                  |            |
| 43536   | State Revenue - YFFPP Prop 13 OWA FSR Grant                | 361,365        | 1,031,799                       | 94,475                      | 1,658,800                 | 455,840          | 1,202,960               |                         |                         | 1,202,960        |            |
| 4XXXX   | State Revenue - YFFPP Prop 13 OWA WCB Grant                |                |                                 |                             |                           |                  | 825,000                 |                         | 2,000,000               | 2,825,000        |            |
|   | <b>Total Capital Revenues</b>                              | <b>361,365</b> | <b>1,031,799</b>                | <b>94,475</b>               | <b>1,658,800</b>          | <b>455,840</b>   | <b>2,027,960</b>        | <b>-</b>                | <b>2,000,000</b>        | <b>4,027,960</b> |            |
| <b>99-2005 FSR Grant Expenditures (Capital Project Soft Costs):</b> |  |                |                                 |                             |                           |                  |                         |                         |                         |                  |            |
| 65661   | <b>OWA SBFCA Work In Kind</b>                              | <b>9,230</b>   | <b>6,194</b>                    | <b>13,038</b>               | <b>19,754</b>             | <b>22,268</b>    | <b>9,000</b>            | -                       | -                       | <b>9,000</b>     |            |
|   | <i>Exec Dir</i>  | 6,131          | 2,815                           | 9,080                       | 9,617                     | 15,211           | 5,000                   | -                       | -                       | 5,000            |            |
|   | <i>Dir of Eng</i>  | 3,099          | 2,617                           | 3,736                       | 7,851                     | 6,835            | 4,000                   | -                       | -                       | 4,000            |            |
|   | <i>Admin Mgr</i>   | -              | 762                             | 222                         | 2,286                     | 222              | -                       | -                       | -                       | -                |            |
| 65662   | <b>OWA T1 Project Mgt</b>                                  | <b>50,733</b>  | <b>35,931</b>                   | <b>55,455</b>               | <b>110,954</b>            | <b>109,349</b>   | <b>9,939</b>            | -                       | -                       | <b>9,939</b>     |            |
|   | <i>PBI</i>   | 48,091         | 27,531                          | 52,524                      | 82,593                    | 100,615          | 8,829                   | -                       | -                       | 8,829            |            |
|   | <i>LWA</i>   | 2,642          | 8,400                           | 2,930                       | 28,361                    | 8,734            | 1,110                   | -                       | -                       | 1,110            |            |
| 65663   | <b>OWA T2 Land Agreement</b>                               | <b>385</b>     | <b>-</b>                        | <b>9,399</b>                | <b>21,916</b>             | <b>9,784</b>     | <b>12,216</b>           | -                       | -                       | <b>12,216</b>    |            |
|   | <i>PBI</i>   | -              | -                               | 6,917                       | 6,916                     | 6,917            | 4,083                   | -                       | -                       | 4,083            |            |
|   | <i>Downey Brand</i>  | 385            | -                               | 2,482                       | 15,000                    | 2,867            | 8,133                   | -                       | -                       | 8,133            |            |
| 65664   | <b>OWA T3.1 Hydraulics</b>                                 | <b>106,988</b> | <b>-</b>                        | <b>1,593</b>                | <b>110,849</b>            | <b>108,581</b>   | <b>1,419</b>            | -                       | -                       | <b>1,419</b>     |            |
|   | <i>PBI</i>   | 106,988        | -                               | 1,593                       | 110,849                   | 108,581          | 1,419                   | -                       | -                       | 1,419            |            |
| 65665   | <b>OWA T3.2 Alternatives Analysis</b>                      | <b>258,263</b> | <b>-</b>                        | <b>22,926</b>               | <b>189,253</b>            | <b>281,189</b>   | <b>-</b>                | -                       | -                       | <b>-</b>         |            |
|   | <i>PBI</i>   | 258,263        | -                               | 22,926                      | 189,253                   | 281,189          | -                       | -                       | -                       | -                |            |
| 65666   | <b>OWA T3.3 NEPA/CEQA</b>                                  | <b>24,221</b>  | <b>83,863</b>                   | <b>103,623</b>              | <b>206,830</b>            | <b>127,844</b>   | <b>294,967</b>          | -                       | -                       | <b>294,967</b>   |            |
|   | <i>PBI</i>   | 2,727          | 18,869                          | 54,841                      | 56,608                    | 57,569           | 117,987                 | -                       | -                       | 117,987          |            |
|   | <i>ICF Jones and Stokes</i>                                | 20,269         | 52,494                          | 48,381                      | 125,222                   | 68,650           | 147,484                 | -                       | -                       | 147,484          |            |
|   | <i>Downey Brand</i>  | 1,225          | 12,500                          | 400                         | 25,000                    | 1,625            | 29,497                  | -                       | -                       | 29,497           |            |
| 65667   | <b>OWA T4.1 Civil Design</b>                               | <b>30,348</b>  | <b>99,414</b>                   | <b>350,995</b>              | <b>516,299</b>            | <b>381,342</b>   | <b>184,032</b>          | -                       | -                       | <b>184,032</b>   |            |
|   | <i>PBI</i>   | -              | -                               | 26,706                      | -                         | 26,706           | 46,008                  | -                       | -                       | 46,008           |            |
|   | <i>HDR/WR</i>  | 30,348         | 99,414                          | 324,289                     | 516,299                   | 354,636          | 138,024                 | -                       | -                       | 138,024          |            |
| 65668   | <b>OWA T4.2 Landscape / Restoration Design</b>             | <b>46,743</b>  | <b>12,571</b>                   | <b>102,883</b>              | <b>88,000</b>             | <b>149,626</b>   | <b>171,626</b>          | -                       | -                       | <b>171,626</b>   |            |
|   | <i>PBI</i>   | -              | -                               | -                           | -                         | -                | -                       | -                       | -                       | -                |            |
|   | <i>River Partners (PBI)</i>                                | 46,743         | 12,571                          | 102,883                     | 88,000                    | 149,626          | 171,626                 | -                       | -                       | 171,626          |            |
| 65602   | Contingency  | -              | <b>78,047</b>                   | -                           | <b>417,860</b>            | -                | -                       | -                       | -                       | -                |            |
|   | <i>Subtotal FSR Grant Related Expenses</i>                 | <b>526,911</b> | <b>316,020</b>                  | <b>659,911</b>              | <b>1,681,715</b>          | <b>1,189,984</b> | <b>683,198</b>          | <b>-</b>                | <b>-</b>                | <b>683,198</b>   |            |
|   | <i>WIK</i>   | <b>9,230</b>   | <b>6,194</b>                    | <b>13,038</b>               | <b>19,754</b>             | <b>22,268</b>    | <b>9,000</b>            | <b>-</b>                | <b>-</b>                | <b>9,000</b>     |            |
|   | <i>Subtotal FSR Grant Expenses Net WIK</i>                 | <b>517,680</b> | <b>309,826</b>                  | <b>646,874</b>              | <b>1,661,961</b>          | <b>1,167,716</b> | <b>674,198</b>          | <b>-</b>                | <b>-</b>                | <b>674,198</b>   |            |
| <b>99-2007 WCB Grant Expenditures (Capital Project Soft Costs):</b> |  |                |                                 |                             |                           |                  |                         |                         |                         |                  |            |
| 6XXXX   | Design Restoration and Recreation Improvements (WCB Grant) |                |                                 |                             |                           |                  | 825,000                 | -                       | -                       | 825,000          |            |
| 6XXXX   | Complete Restoration and Rec Improvements                  |                |                                 |                             |                           |                  | -                       | -                       | 2,000,000               | 2,000,000        |            |



**SBFCA CAPITAL FUND - OROVILLE WILDLIFE AREA (731-2005,7)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| <u>Account Number</u> | <u>Line Item Description</u>                            | <u>14/15 Actuals</u> | <u>Current (6/9/15) 2015-16 Budget</u> | <u>Proposed Am. 2015-16 Budget</u> | <u>Current (6/10/15) 2 Years</u> | <u>Proposed 2 Years</u> | <u>Proposed 2016-17 Budget</u> | <u>Proposed 2017-18 Budget</u> | <u>Proposed 2018-19 Budget</u> | <u>Proposed 3 Years</u> | <u>Discussion</u> |
|-----------------------|---|----------------------|--|------------------------------------|----------------------------------|-------------------------|--------------------------------|--------------------------------|--------------------------------|-------------------------|-------------------|
|                       | Sub-Total Professional Services                         | 526,911              | 316,020                                | 659,911                            | 1,681,715                        | 1,189,984               | 1,508,198                      | -                              | 2,000,000                      | 3,508,198               |                   |
|                       | Tools, Supplies & Equip. < \$5,000                      |                      |  |                                    |                                  |                         |                                |                                |                                |                         |                   |
|                       | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>526,911</b>       | <b>316,020</b>                         | <b>659,911</b>                     | <b>1,681,715</b>                 | <b>1,189,984</b>        | <b>1,508,198</b>               | <b>-</b>                       | <b>2,000,000</b>               | <b>3,508,198</b>        |                   |
|                       | Equipment   |                      |  |                                    |                                  |                         |                                |                                |                                |                         |                   |
|                       | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                         | <b>-</b>                | <b>-</b>                       | <b>-</b>                       | <b>-</b>                       | <b>-</b>                |                   |
|                       | <b>Sub-Total-Soft Costs</b>                             | <b>526,911</b>       | <b>316,020</b>                         | <b>659,911</b>                     | <b>1,681,715</b>                 | <b>1,189,984</b>        | <b>1,508,198</b>               | <b>-</b>                       | <b>2,000,000</b>               | <b>3,508,198</b>        |                   |
|                       | <b>Sub-Total-Projects</b>                               | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                         | <b>-</b>                | <b>-</b>                       | <b>-</b>                       | <b>-</b>                       | <b>-</b>                |                   |
|                       | <b>Total Capital Expenditures</b>                       | <b>526,911</b>       | <b>316,020</b>                         | <b>659,911</b>                     | <b>1,681,715</b>                 | <b>1,189,984</b>        | <b>1,508,198</b>               | <b>-</b>                       | <b>2,000,000</b>               | <b>3,508,198</b>        |                   |
|                       | Transfer in fm Oper Fd to Support Capital Soft Costs    |                      |  |                                    | -                                | -                       | -                              | -                              | -                              | -                       |                   |
|                       | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(165,546)</b>     | <b>715,779</b>                         | <b>(565,437)</b>                   | <b>(22,915)</b>                  | <b>(734,144)</b>        | <b>519,762</b>                 | <b>-</b>                       | <b>-</b>                       | <b>519,762</b>          |                   |
|                       | Working Capital - Beginning of Period                   | (3,161)              | (738,694)                              | (168,706)                          | (3,161)                          | (3,161)                 | (734,143)                      | (214,381)                      | (214,381)                      | (734,143)               |                   |
|                       | Working Capital - End of Period                         | (168,706)            | (22,915)                               | (734,143)                          | (26,076)                         | (737,305)               | (214,381)                      | (214,381)                      | (214,381)                      | (214,381)               |                   |
|                       | <b>Variance</b>   | <b>(165,546)</b>     | <b>715,779</b>                         | <b>(565,437)</b>                   | <b>(22,915)</b>                  | <b>(734,144)</b>        | <b>519,762</b>                 | <b>-</b>                       | <b>-</b>                       | <b>519,762</b>          |                   |

**SBFCA CAPITAL FUND - GRIDLEY BRIDGE SITE PROJECT (GBSP) (731-2006)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2013-14, 2014-15, 2015-16**  
**June 22, 2016**

| <u>Account Number</u>                             | <u>Line Item Description</u>                            | <u>14/15 Actuals</u> | <u>Current (6/9/15) 2015-16 Budget</u> | <u>Proposed Am. 2015-16 Budget</u> | <u>Current (6/10/15) 2 Years</u> | <u>Proposed Final 2 Year</u> | <u>Proposed 2016-17 Budget</u> | <u>Proposed 3 Years</u> | <u>Discussion</u> |
|---|---|----------------------|--|------------------------------------|----------------------------------|------------------------------|--------------------------------|-------------------------|-------------------|
| <b>Revenues:</b>                                  |   |                      |  |                                    |                                  |                              |                                |                         |                   |
| 43537   | State Revenue - YFFPP Prop 13 GBSP Grant                | -                    | 408,074                                | 460,000                            | 460,000                          | 460,000                      | -                              | -                       |                   |
|   | <b>Total Capital Revenues</b>                           | <b>-</b>             | <b>408,074</b>                         | <b>460,000</b>                     | <b>460,000</b>                   | <b>460,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                      |  |                                    |                                  |                              |                                |                         |                   |
| 99-2006   | <b>GBSP T1 Surveying</b>                                | <b>26,970</b>        | <b>17,400</b>                          | <b>-</b>                           | <b>29,000</b>                    | <b>26,970</b>                | <b>-</b>                       | <b>-</b>                |                   |
|   | HDR/WR  | 26,970               | 17,400                                 | -                                  | 29,000                           | 26,970                       | -                              | -                       |                   |
| 65671   | <b>GBSP T2 60% Design</b>                               | <b>138,192</b>       | <b>66,600</b>                          | <b>48,868</b>                      | <b>111,000</b>                   | <b>187,060</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | HDR/WR  | 138,192              | 66,600                                 | 48,868                             | 111,000                          | 187,060                      | -                              | -                       |                   |
| 65672   | <b>GBSP T3 IPE Review</b>                               | <b>-</b>             | <b>9,000</b>                           | <b>15,000</b>                      | <b>15,000</b>                    | <b>15,000</b>                | <b>-</b>                       | <b>-</b>                |                   |
|   | IPE Review  | -                    | 9,000                                  | 15,000                             | 15,000                           | 15,000                       | -                              | -                       |                   |
| 65673   | <b>GBSP T4 Environmental Assessment</b>                 | <b>5,356</b>         | <b>32,734</b>                          | <b>64,986</b>                      | <b>58,000</b>                    | <b>70,341</b>                | <b>-</b>                       | <b>-</b>                |                   |
|   | ICF Jones and Stokes                                    | 5,356                | 32,734                                 | 64,986                             | 58,000                           | 70,341                       | -                              | -                       |                   |
| 65674   | <b>GBSP T5 Permitting</b>                               | <b>19,012</b>        | <b>60,519</b>                          | <b>82,988</b>                      | <b>102,000</b>                   | <b>102,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | ICF Jones and Stokes                                    | 19,012               | 60,519                                 | 74,942                             | 102,000                          | 93,954                       | -                              | -                       |                   |
|   | Misc Permitting   | -                    | -                                      | 8,046                              | -                                | 8,046                        | -                              | -                       |                   |
| 65675   | <b>GBSP T6 Final Design</b>                             | <b>6,199</b>         | <b>54,000</b>                          | <b>9,771</b>                       | <b>90,000</b>                    | <b>15,970</b>                | <b>-</b>                       | <b>-</b>                |                   |
|   | HDR/WR  | 6,199                | 54,000                                 | 9,771                              | 90,000                           | 15,970                       | -                              | -                       |                   |
| 65676   | <b>GBSP T7 Project Management</b>                       | <b>10,820</b>        | <b>24,932</b>                          | <b>26,838</b>                      | <b>50,000</b>                    | <b>37,659</b>                | <b>-</b>                       | <b>-</b>                |                   |
|   | Exec Dir  | -                    | 3,000                                  | 1,499                              | 5,000                            | 1,499                        | -                              | -                       |                   |
|   | Dir of Eng  | 1,025                | 3,000                                  | 1,788                              | 5,000                            | 2,813                        | -                              | -                       |                   |
|   | Admin Mgr   | -                    | 4,800                                  | 2,000                              | 8,000                            | 2,000                        | -                              | -                       |                   |
|   | LWA - Grant Admin                                       | 3,425                | 3,230                                  | 5,575                              | 9,000                            | 9,000                        | -                              | -                       |                   |
|   | DB - Legal Support                                      | -                    | 4,800                                  | 7,347                              | 8,000                            | 7,347                        | -                              | -                       |                   |
|   | Misc Consultant Support                                 | 6,370                | 6,102                                  | 8,630                              | 15,000                           | 15,000                       | -                              | -                       |                   |
|   | Contingency   | -                    | 3,000                                  | 5,000                              | 5,000                            | 5,000                        | -                              | -                       |                   |
|   | Sub-Total Professional Services                         | <b>206,550</b>       | <b>268,185</b>                         | <b>253,451</b>                     | <b>460,000</b>                   | <b>460,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | Tools, Supplies & Equip. < \$5,000                      |                      |  |                                    |                                  |                              |                                |                         |                   |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>206,550</b>       | <b>268,185</b>                         | <b>253,451</b>                     | <b>460,000</b>                   | <b>460,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | Equipment   |                      |  |                                    |                                  |                              |                                |                         |                   |
|   | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                         | <b>-</b>                     | <b>-</b>                       | <b>-</b>                |                   |
|   | <b>Sub-Total-Soft Costs</b>                             | <b>206,550</b>       | <b>268,185</b>                         | <b>253,451</b>                     | <b>460,000</b>                   | <b>460,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | <b>Sub-Total-Projects</b>                               | <b>-</b>             | <b>-</b>                               | <b>-</b>                           | <b>-</b>                         | <b>-</b>                     | <b>-</b>                       | <b>-</b>                |                   |
|   | <b>Total Capital Expenditures</b>                       | <b>206,550</b>       | <b>268,185</b>                         | <b>253,451</b>                     | <b>460,000</b>                   | <b>460,000</b>               | <b>-</b>                       | <b>-</b>                |                   |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs    |                      | -                                      | -                                  | -                                | -                            | -                              | -                       |                   |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(206,550)</b>     | <b>139,889</b>                         | <b>206,549</b>                     | <b>-</b>                         | <b>(0)</b>                   | <b>-</b>                       | <b>-</b>                |                   |
|   | <b>Working Capital - Beginning of Period</b>            | <b>-</b>             | <b>(206,550)</b>                       | <b>(206,550)</b>                   | <b>-</b>                         | <b>-</b>                     | <b>(0)</b>                     | <b>(0)</b>              |                   |
|   | <b>Working Capital - End of Period</b>                  | <b>(206,550)</b>     | <b>(66,661)</b>                        | <b>(0)</b>                         | <b>-</b>                         | <b>(0)</b>                   | <b>(0)</b>                     | <b>(0)</b>              |                   |
|   | <b>Variance</b>   | <b>(206,550)</b>     | <b>139,889</b>                         | <b>206,549</b>                     | <b>-</b>                         | <b>(0)</b>                   | <b>-</b>                       | <b>-</b>                |                   |

**SBFCA CAPITAL FUND - FUTURE FSRP (F-FSRP) (731-XXXX)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED**  
**THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| <u>Account Number</u>   | <u>Line Item Description</u>                            | <u>Proposed 2016-17 Budget</u> | <u>Proposed 2017-18 Budget</u> | <u>Proposed 2018-19 Budget</u> | <u>Proposed 3 Years</u> | <u>Discussion</u> |
|---|---|--------------------------------|--------------------------------|--------------------------------|-------------------------|-------------------|
| <b>Revenues:</b>  |   |                                |                                |                                |                         |                   |
| 4XXXX   | State Revenue - So SB-FSRP Prop 1E Grant                | -                              | 1,130,500                      | 13,540,500                     | 14,671,000              |                   |
| 4XXXX   | State Revenue - CYP FSRP Prop 1E Grant                  | -                              | 1,130,500                      | 5,669,500                      | 6,800,000               |                   |
| 4XXXX   | State Revenue - WC FSRP Prop 1E Grant                   | -                              | -                              | 1,372,750                      | 1,372,750               |                   |
| 4XXXX   | State Revenue - CS/OB FSRP Prop 1E Grant                | -                              | -                              | 6,056,250                      | 6,056,250               |                   |
| 4XXXX   | State Revenue - GIL FSRP Prop 1E Grant                  | -                              | -                              | 8,041,354                      | 8,041,354               |                   |
| <b>Total Capital Revenues</b>                                   |   | <b>-</b>                       | <b>2,261,000</b>               | <b>34,680,354</b>              | <b>36,941,354</b>       |                   |
| <b>Future FSRP Project Expenditures (Capital Project Costs)</b> |   |                                |                                |                                |                         |                   |
| 99-XXXX   | So SB FSRP Project*                                     |                                | 1,400,000.00                   | 15,859,999.54                  | 17,260,000              |                   |
| 99-XXXX   | CYP FSRP Project*                                       |                                | 1,400,000.00                   | 6,600,000.20                   | 8,000,000               |                   |
| 99-XXXX   | WC FSRP Project (portion) <sup>+</sup>                  |                                |                                | 1,700,000                      | 1,700,000               |                   |
| 99-XXXX   | OS/OB FSRP Project (portion) <sup>+</sup>               |                                |                                | 7,500,000                      | 7,500,000               |                   |
| 99-XXXX   | GIL FSRP Project (portion) <sup>+</sup>                 |                                |                                | 9,958,333                      | 9,958,333               |                   |
|   | Sub-Total Professional Services                         | -                              | 2,800,000                      | 41,618,333                     | 44,418,333              |                   |
|   | Tools, Supplies & Equip. < \$5,000                      |                                |                                |                                |                         |                   |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>     | -                              | 2,800,000                      | 41,618,333                     | 44,418,333              |                   |
|   | Equipment   |                                |                                |                                |                         |                   |
|   | <b>Sub-Total Capital/Small Equipment Items</b>          | -                              | -                              | -                              | -                       |                   |
|   | <b>Sub-Total-Soft Costs</b>                             | -                              | 2,800,000                      | 41,618,333                     | 44,418,333              |                   |
|   | <b>Sub-Total-Projects</b>                               | -                              | -                              | -                              | -                       |                   |
|   | <b>Total Capital Expenditures</b>                       | -                              | 2,800,000                      | 41,618,333                     | 44,418,333              |                   |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs    |                                |                                |                                |                         |                   |
|   | Transfer out to Support Operations                      |                                |                                |                                |                         |                   |
|   | Transfer in fm EIP to Support Capital Costs             |                                |                                |                                |                         |                   |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | -                              | (539,000)                      | (6,937,979)                    | (7,476,979)             |                   |
|   | Working Capital - Beginning of Period                   | -                              | -                              | (539,000)                      | -                       |                   |
|   | Working Capital - End of Period                         | -                              | (539,000)                      | (7,476,979)                    | (7,476,979)             |                   |
|   | <b>Variance</b>   | -                              | 539,000                        | 6,937,979                      | 7,476,979               |                   |

\* - Projects that are along the Feather River and potentially covered through both State and Federal funding options. This would be covered under the Federally Authorized Sutter Basin Project.

<sup>+</sup> - Projects that are along the Sutter Bypass and potentially covered through State Funding. Federal funding would require an approved Federal Authorization.

**SBFCA CAPITAL FUND - ULOP & ACCREDITATION PROGRAM (731-XXXY)**  
**PROPOSED AMENDED FIVE YEAR BUDGET - 2011-12, 2012-13, 2013-14, 2014-15, 2015-16 AND PROPOSED**  
**THREE YEAR BUDGET - 2016-17, 2017-18, 2018-19**  
**June 22, 2016**

| <u>Account Number</u>                             | <u>Line Item Description</u>                            | <u>Proposed<br/>2016-17 Budget</u> | <u>Proposed<br/>2017-18 Budget</u> | <u>Proposed<br/>2018-19 Budget</u> | <u>Proposed<br/>3 Years</u> | <u>Discussion</u> |
|---|---|------------------------------------|------------------------------------|------------------------------------|-----------------------------|-------------------|
| <b>Revenues:</b>                                  |   |                                    |                                    |                                    |                             |                   |
| 4XXY  | All Locally Funded                                      |                                    |                                    |                                    | -                           |                   |
| <b>Total Capital Revenues</b>                     |   | -                                  | -                                  | -                                  | -                           |                   |
| <b>Expenditures (Capital Project Soft Costs):</b> |   |                                    |                                    |                                    |                             |                   |
| 99-XXXY   | <b>ULOP Adequate Progress Findings</b>                  | <b>233,333</b>                     | <b>6,667</b>                       | -                                  | <b>240,000</b>              |                   |
|   | LWA   | 77,778                             | 2,222                              | -                                  | 80,000                      |                   |
|   | PBI   | 77,778                             | 2,222                              | -                                  | 80,000                      |                   |
|   | IPE   | 77,778                             | 2,222                              | -                                  | 80,000                      |                   |
| 6XXXX   | <b>ULDC Certification</b>                               | <b>13,043</b>                      | <b>23,913</b>                      | <b>10,870</b>                      | <b>47,826</b>               |                   |
|   | PBI   | 4,348                              | 7,971                              | 3,623                              | 15,942                      |                   |
|   | Wood Rogers   | 4,348                              | 7,971                              | 3,623                              | 15,942                      |                   |
|   | Other   | 4,348                              | 7,971                              | 3,623                              | 15,942                      |                   |
| 6XXXX   | <b>FEMA Accreditation</b>                               | <b>87,560</b>                      | <b>31,297</b>                      | <b>27,429</b>                      | <b>146,286</b>              |                   |
|   | PBI   | 29,187                             | 10,432                             | 9,143                              | 48,762                      |                   |
|   | HDR   | 29,187                             | 10,432                             | 9,143                              | 48,762                      |                   |
|   | Other   | 29,187                             | 10,432                             | 9,143                              | 48,762                      |                   |
|   | Sub-Total Professional Services                         | <b>333,937</b>                     | <b>61,876</b>                      | <b>38,298</b>                      | <b>434,112</b>              |                   |
|   | Tools, Supplies & Equip. < \$5,000                      |                                    |                                    |                                    |                             |                   |
|   | <b>Sub-Total Materials, Supplies &amp; Services</b>     | <b>333,937</b>                     | <b>61,876</b>                      | <b>38,298</b>                      | <b>434,112</b>              |                   |
|   | Equipment   |                                    |                                    |                                    |                             |                   |
|   | <b>Sub-Total Capital/Small Equipment Items</b>          | <b>333,937</b>                     | <b>61,876</b>                      | <b>38,298</b>                      | <b>434,112</b>              |                   |
|   | <b>Sub-Total-Soft Costs</b>                             | <b>333,937</b>                     | <b>61,876</b>                      | <b>38,298</b>                      | <b>434,112</b>              |                   |
|   | <b>Sub-Total-Projects</b>                               | -                                  | -                                  | -                                  | -                           |                   |
|   | <b>Total Capital Expenditures</b>                       | <b>333,937</b>                     | <b>61,876</b>                      | <b>38,298</b>                      | <b>434,112</b>              |                   |
|   | Transfer in fm Oper Fd to Support Capital Soft Costs    |                                    |                                    |                                    |                             |                   |
|   | Transfer out to Support Operations                      | -                                  |                                    |                                    |                             |                   |
|   | Transfer in fm EIP to Support Capital Costs             |                                    |                                    |                                    |                             |                   |
|   | <b>Capital Revenues Over &lt;Under&gt; Expenditures</b> | <b>(333,937)</b>                   | <b>(61,876)</b>                    | <b>(38,298)</b>                    | <b>(434,112)</b>            |                   |
|   | Working Capital - Beginning of Period                   | -                                  | (333,937)                          | (395,814)                          | -                           |                   |
|   | Working Capital - End of Period                         | (333,937)                          | (395,814)                          | (434,112)                          | (434,112)                   |                   |
|   | <b>Variance</b>   | <b>(333,937)</b>                   | <b>(61,876)</b>                    | <b>(38,298)</b>                    | <b>(434,112)</b>            |                   |



**SUTTER BUTTE FLOOD CONTROL AGENCY**  
**CASH FLOWS FOR FISCAL YEARS 2015-16 THROUGH 2018-19**  
**SUPPORTING AMENDED BUDGET REQUIREMENTS**

| <b>CASH FLOW SUMMARY - 2015-16 FY</b>           |  | July       | August      | September   | October      | November    | December    | January     | February   | March       | April       | May         | June         | Available<br>6/30/2016 |
|---|--|------------|-------------|-------------|--------------|-------------|-------------|-------------|------------|-------------|-------------|-------------|--------------|------------------------|
| <b>OPERATIONS</b>                               |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | 3,257,475  | 3,254,311   | 3,235,188   | 3,196,195    | 3,176,334   | 3,087,410   | 3,038,264   | 3,208,727  | 3,660,128   | 3,622,381   | 3,577,056   | 3,532,981    | 3,257,475              |
| Transfers In (Out)                              |  | -          | -           | -           | -            | -           | -           | -           | -          | -           | -           | -           | (48,000)     | (48,000)               |
| Total Income                                    |  | -          | -           | -           | 15,158       | 43,798      | 8,417       | 220,043     | 462,584    | -           | -           | -           | -            | 750,000                |
| Total Expenses                                  |  | (3,164)    | (19,123)    | (38,993)    | (35,019)     | (132,722)   | (57,562)    | (49,581)    | (11,182)   | (37,747)    | (45,325)    | (44,074)    | (124,114)    | (598,608)              |
| Ending Working Capital                          |  | 3,254,311  | 3,235,188   | 3,196,195   | 3,176,334    | 3,087,410   | 3,038,264   | 3,208,727   | 3,660,128  | 3,622,381   | 3,577,056   | 3,532,981   | 3,360,867    | 3,360,867              |
| <b>CAPITAL - USACE STUDY</b>                    |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (479,609)  | (480,606)   | (481,192)   | (481,427)    | (481,954)   | (482,482)   | (483,127)   | (483,991)  | (484,642)   | (487,142)   | (487,942)   | (488,742)    | (479,609)              |
| Total Expenses                                  |  | (997)      | (586)       | (235)       | (528)        | (528)       | (645)       | (863)       | (652)      | (2,499)     | (800)       | (800)       | (388,696)    | (397,829)              |
| Ending Working Capital                          |  | (480,606)  | (481,192)   | (481,427)   | (481,954)    | (482,482)   | (483,127)   | (483,991)   | (484,642)  | (487,142)   | (487,942)   | (488,742)   | (877,437)    | (877,437)              |
| <b>CAPITAL - STAKEHOLDER MANAGEMENT EFFORTS</b> |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | 7,890      | 7,890       | 7,890       | 7,685        | 7,685       | 7,111       | 6,783       | 6,783      | 6,168       | 6,168       | 2,168       | (1,832)      | 7,890                  |
| Transfers In (Out)                              |  | -          | -           | -           | -            | -           | -           | -           | -          | -           | -           | -           | 48,000       | 48,000                 |
| Total Expenses                                  |  | -          | -           | (205)       | -            | (574)       | (328)       | -           | (615)      | -           | (4,000)     | (4,000)     | (12,738)     | (22,460)               |
| Ending Working Capital                          |  | 7,890      | 7,890       | 7,685       | 7,685        | 7,111       | 6,783       | 6,783       | 6,168      | 6,168       | 2,168       | (1,832)     | 33,430       | 33,430                 |
| <b>CAPITAL - REGIONAL PLANNING EFFORTS</b>      |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (127,980)  | (128,508)   | (132,322)   | (135,167)    | (143,010)   | (161,652)   | (172,241)   | (209,850)  | (222,656)   | (227,529)   | (266,362)   | (305,195)    | (127,980)              |
| Total Income                                    |  | -          | -           | -           | -            | -           | -           | -           | -          | -           | -           | -           | 220,180      | 220,180                |
| Total Expenses                                  |  | (528)      | (3,814)     | (2,845)     | (7,843)      | (18,641)    | (10,589)    | (37,608)    | (12,807)   | (4,872)     | (38,833)    | (38,833)    | (72,794)     | (250,009)              |
| Ending Working Capital                          |  | (128,508)  | (132,322)   | (135,167)   | (143,010)    | (161,652)   | (172,241)   | (209,850)   | (222,656)  | (227,529)   | (266,362)   | (305,195)   | (157,810)    | (157,810)              |
| <b>CAPITAL - OROVILLE WILDLIFE AREA</b>         |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (168,706)  | (170,045)   | (172,381)   | (261,349)    | (267,135)   | (349,998)   | (353,657)   | (509,513)  | (474,505)   | (504,455)   | (583,820)   | (658,762)    | (168,706)              |
| Total Income                                    |  | -          | -           | -           | -            | -           | -           | -           | 94,475     | -           | -           | -           | -            | 94,475                 |
| Total Expenses                                  |  | (1,338)    | (2,336)     | (88,968)    | (5,785)      | (82,863)    | (3,659)     | (155,856)   | (59,466)   | (29,950)    | (79,365)    | (74,943)    | (75,381)     | (659,911)              |
| Ending Working Capital                          |  | (170,045)  | (172,381)   | (261,349)   | (267,135)    | (349,998)   | (353,657)   | (509,513)   | (474,505)  | (504,455)   | (583,820)   | (658,762)   | (734,143)    | (734,143)              |
| <b>CAPITAL - LAUREL CYPRESS FSRP</b>            |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (231,951)  | (234,364)   | (238,456)   | (240,867)    | (266,106)   | (281,596)   | (373,396)   | (379,760)  | (455,930)   | (496,734)   | (705,034)   | (913,334)    | (231,951)              |
| Total Expenses                                  |  | (2,412)    | (4,093)     | (2,410)     | (25,239)     | (15,489)    | (91,800)    | (6,364)     | (76,170)   | (40,804)    | (208,300)   | (208,300)   | (1,256,979)  | (1,938,362)            |
| Ending Working Capital                          |  | (234,364)  | (238,456)   | (240,867)   | (266,106)    | (281,596)   | (373,396)   | (379,760)   | (455,930)  | (496,734)   | (705,034)   | (913,334)   | (2,170,313)  | (2,170,313)            |
| <b>CAPITAL - EMERGENCY REPOSE EFFORTS</b>       |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (10,709)   | (86,204)    | (86,927)    | (84,305)     | (84,305)    | (90,169)    | (90,169)    | (90,169)   | (85,857)    | (86,184)    | (86,184)    | (86,184)     | (10,709)               |
| Total Income                                    |  | (75,496)   | -           | 2,765       | -            | -           | -           | -           | 4,313      | -           | -           | -           | 78,534       | 10,116                 |
| Total Expenses                                  |  | -          | (723)       | (143)       | -            | (5,865)     | -           | -           | -          | (328)       | -           | -           | (9,559)      | (16,617)               |
| Ending Working Capital                          |  | (86,204)   | (86,927)    | (84,305)    | (84,305)     | (90,169)    | (90,169)    | (90,169)    | (85,857)   | (86,184)    | (86,184)    | (86,184)    | (17,209)     | (17,209)               |
| <b>GBSP - GRIDLEY BRIDGE</b>                    |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital                       |  | (206,550)  | (206,550)   | (207,898)   | (208,447)    | (218,658)   | (243,263)   | (326,584)   | (362,630)  | (285,048)   | (339,762)   | (355,246)   | (364,938)    | (206,550)              |
| Total Income                                    |  | -          | -           | -           | -            | -           | -           | -           | 86,181     | -           | -           | -           | 373,819      | 460,000                |
| Total Expenses                                  |  | -          | (1,348)     | (550)       | (10,211)     | (24,605)    | (83,321)    | (36,046)    | (8,599)    | (54,714)    | (15,484)    | (9,692)     | (8,882)      | (253,451)              |
| Ending Working Capital                          |  | (206,550)  | (207,898)   | (208,447)   | (218,658)    | (243,263)   | (326,584)   | (362,630)   | (285,048)  | (339,762)   | (355,246)   | (364,938)   | (0)          | (0)                    |
| <b>CAPITAL - EIP</b>                            |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital*                      |  | 54,555,358 | 53,683,095  | 50,896,834  | 40,338,351   | 26,435,861  | 19,208,217  | 25,450,645  | 22,215,596 | 24,859,386  | 21,140,630  | 15,300,026  | 9,175,853    | 54,555,358             |
| Total Income                                    |  | (129)      | 57          | 130         | 0            | 84          | 13,168,126  | -           | 3,249,340  | 2,664       | -           | -           | 12,555,885   | 28,976,157             |
| Total Expenses                                  |  | (872,134)  | (2,786,318) | (9,493,681) | (13,694,124) | (7,227,728) | (6,925,698) | (3,235,049) | (605,550)  | (1,821,887) | (5,840,604) | (6,124,174) | (11,098,101) | (69,725,047)           |
| Ending Working Capital                          |  | 53,683,095 | 50,896,834  | 41,403,282  | 26,644,227   | 19,208,217  | 25,450,645  | 22,215,596  | 24,859,386 | 23,040,163  | 15,300,026  | 9,175,853   | 10,633,637   | 13,806,468             |
| <b>TOTAL CASH FLOW - 2015-16 FISCAL YEAR</b>    |  |            |             |             |              |             |             |             |            |             |             |             |              |                        |
| Beginning Working Capital*                      |  | 56,595,218 | 55,639,020  | 52,820,735  | 42,130,669   | 28,158,712  | 20,693,578  | 26,696,517  | 23,395,193 | 26,517,044  | 22,627,374  | 16,394,662  | 9,889,846    | 56,595,218             |
| Total Income                                    |  | (75,624)   | 57          | 2,894       | 15,158       | 43,882      | 13,176,543  | 220,043     | 3,896,891  | 2,664       | -           | -           | 13,228,418   | 30,510,927             |
| Total Expenses                                  |  | (880,573)  | (2,818,342) | (9,628,029) | (13,778,749) | (7,509,015) | (7,173,603) | (3,521,368) | (775,041)  | (1,992,801) | (6,232,711) | (6,504,816) | (13,047,244) | (73,862,294)           |
| Ending Working Capital (Before Financing)       |  | 55,639,020 | 52,820,735  | 43,195,600  | 28,367,078   | 20,693,578  | 26,696,517  | 23,395,193  | 26,517,044 | 24,526,907  | 16,394,662  | 9,889,846   | 10,071,020   | 13,243,851             |
| Beginning Working Capital (After Financing)     |  | 56,595,218 | 55,639,020  | 52,820,735  | 42,130,669   | 28,158,712  | 20,693,578  | 26,696,517  | 23,395,193 | 26,517,044  | 22,627,374  | 16,394,662  | 9,889,846    | 56,595,218             |
| Net Cash Flow                                   |  | (956,198)  | (2,818,285) | (9,625,135) | (13,763,591) | (7,465,133) | 6,002,939   | (3,301,324) | 3,121,851  | (1,990,137) | (6,232,711) | (6,504,816) | 181,174      | (43,351,367)           |
| Proceeds from New Financing Activity (Trustee)  |  | -          | -           | -           | 11,299,612   | 8,302,754   | -           | -           | -          | -           | -           | -           | -            | 19,602,366             |
| Proceeds from New Financing Activity (SBFCA)    |  | -          | -           | -           | (11,299,612) | (8,302,754) | -           | -           | -          | -           | -           | -           | -            | (19,602,366)           |
| Repayment of Short and Long Term Debt           |  | -          | -           | -           | -            | -           | -           | -           | -          | -           | -           | -           | -            | -                      |
| Interest Expense of Financing Activity          |  | -          | -           | (1,064,931) | (208,366)    | -           | -           | -           | -          | (1,899,533) | -           | -           | -            | (3,172,831)            |
| Ending Working Capital (After Financing)        |  | 55,639,020 | 52,820,735  | 42,130,669  | 28,158,712   | 20,693,578  | 26,696,517  | 23,395,193  | 26,517,044 | 22,627,374  | 16,394,662  | 9,889,846   | 10,071,020   | 10,071,020.32          |

| <u>CASH FLOW SUMMARY - 2016-17 FY</u>                 |  | July        | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | Available<br>6/30/2017 |
|---|--|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|------------------------|
| <b>OPERATIONS</b>                                     |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | 3,360,867   | 3,297,170   | 3,239,307   | 3,181,444   | 3,123,581   | 3,065,718   | 3,007,856   | 3,653,009   | 3,528,057   | 3,400,563   | 3,307,007   | 3,217,012   | 3,360,867              |
| Transfers In (Out)                                    |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Total Income  |  | -           | -           | -           | -           | -           | -           | 750,000     | -           | -           | -           | -           | -           | 750,000                |
| Total Expenses  |  | (63,697)    | (57,863)    | (57,863)    | (57,863)    | (57,863)    | (57,863)    | (104,847)   | (124,952)   | (127,494)   | (93,557)    | (89,994)    | (158,342)   | (1,052,196)            |
| Ending Working Capital                                |  | 3,297,170   | 3,239,307   | 3,181,444   | 3,123,581   | 3,065,718   | 3,007,856   | 3,653,009   | 3,528,057   | 3,400,563   | 3,307,007   | 3,217,012   | 3,058,671   | 3,058,671              |
| <b>CAPITAL - USACE STUDY</b>                          |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)              |
| Ending Working Capital                                |  | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)              |
| <b>CAPITAL - STAKEHOLDER MANAGEMENT EFFORTS</b>       |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | 33,430      | 33,430      | 29,263      | 25,097      | 20,930      | 16,763      | 12,597      | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 33,430                 |
| Total Expenses  |  | -           | (4,167)     | (4,167)     | (4,167)     | (4,167)     | (4,167)     | (4,167)     | -           | -           | -           | -           | -           | (25,000)               |
| Ending Working Capital                                |  | 33,430      | 29,263      | 25,097      | 20,930      | 16,763      | 12,597      | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430                  |
| <b>CAPITAL - REGIONAL PLANNING EFFORTS</b>            |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | (157,810)   | (157,810)   | (231,745)   | (273,641)   | (304,570)   | (328,634)   | (352,698)   | (372,401)   | (376,568)   | (380,734)   | (384,901)   | (389,068)   | (157,810)              |
| Total Income  |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | 281,759     | 281,759                |
| Total Expenses  |  | -           | (73,935)    | (41,896)    | (30,930)    | (24,064)    | (24,064)    | (19,703)    | (4,167)     | (4,167)     | (4,167)     | (4,167)     | (8,333)     | (239,591)              |
| Ending Working Capital                                |  | (157,810)   | (231,745)   | (273,641)   | (304,570)   | (328,634)   | (352,698)   | (372,401)   | (376,568)   | (380,734)   | (384,901)   | (389,068)   | (115,642)   | (115,642)              |
| <b>CAPITAL - EMERGENCY REPOSE EFFORTS</b>             |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)               |
| Ending Working Capital                                |  | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)               |
| <b>CAPITAL - OROVILLE WILDLIFE AREA (RESTORATION)</b> |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | (734,143)   | (734,143)   | (920,375)   | (1,107,669) | (1,288,324) | (1,468,831) | (1,649,336) | (1,829,842) | (1,898,592) | (1,967,342) | (833,131)   | (76,881)    | (734,143)              |
| Total Income  |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | 1,202,960   | 825,000     | -           | 2,027,960              |
| Total Expenses  |  | -           | (186,232)   | (187,294)   | (180,655)   | (180,507)   | (180,505)   | (180,505)   | (68,750)    | (68,750)    | (68,750)    | (68,750)    | (137,500)   | (1,508,198)            |
| Ending Working Capital                                |  | (734,143)   | (920,375)   | (1,107,669) | (1,288,324) | (1,468,831) | (1,649,336) | (1,829,842) | (1,898,592) | (1,967,342) | (833,131)   | (76,881)    | (214,381)   | (214,381)              |
| <b>CAPITAL - LAUREL CYPRESS FSRP</b>                  |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | (2,170,313) | (2,170,313) | 302,154     | (862,253)   | (1,726,660) | (2,591,067) | (1,649,545) | (1,676,875) | (1,696,875) | (1,175,000) | (1,175,000) | (1,175,000) | (2,170,313)            |
| Transfers In (Out)                                    |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Total Income  |  | -           | 4,876,875   | -           | -           | -           | 1,806,250   | -           | -           | 541,875     | -           | -           | -           | 7,225,000              |
| Total Expenses  |  | -           | (2,404,407) | (1,164,407) | (864,407)   | (864,407)   | (864,728)   | (27,331)    | (20,000)    | (20,000)    | -           | -           | -           | (6,229,687)            |
| Ending Working Capital                                |  | (2,170,313) | 302,154     | (862,253)   | (1,726,660) | (2,591,067) | (1,649,545) | (1,676,875) | (1,696,875) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000)            |
| <b>CAPITAL - ULOP &amp; ACCREDITATION</b>             |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital                             |  | -           | -           | (51,265)    | (102,530)   | (153,795)   | (205,060)   | (256,325)   | (263,590)   | (275,314)   | (287,039)   | (298,763)   | (310,488)   | -                      |
| Total Expenses  |  | -           | (51,265)    | (51,265)    | (51,265)    | (51,265)    | (51,265)    | (7,265)     | (11,725)    | (11,725)    | (11,725)    | (11,725)    | (23,449)    | (333,937)              |
| Ending Working Capital                                |  | -           | (51,265)    | (102,530)   | (153,795)   | (205,060)   | (256,325)   | (263,590)   | (275,314)   | (287,039)   | (298,763)   | (310,488)   | (333,937)   | (333,937)              |
| <b>CAPITAL - EIP/UFRR</b>                             |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital*                            |  | 10,633,637  | 10,625,637  | 4,645,144   | 19,882,502  | 12,701,117  | 9,263,941   | 22,519,826  | 19,864,694  | 17,388,173  | 16,619,359  | 15,707,849  | 14,779,117  | 10,633,637             |
| Transfers In (Out)                                    |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Total Income  |  | -           | -           | 24,540,057  | -           | -           | 16,363,203  | -           | -           | 3,978,567   | -           | -           | 3,283,616   | 48,165,443             |
| Total Expenses  |  | (8,000)     | (5,980,493) | (7,097,884) | (7,181,385) | (3,437,176) | (3,107,318) | (2,655,132) | (2,476,521) | (2,852,140) | (911,510)   | (928,733)   | (920,181)   | (37,556,472)           |
| Ending Working Capital                                |  | 10,625,637  | 4,645,144   | 22,087,317  | 12,701,117  | 9,263,941   | 22,519,826  | 19,864,694  | 17,388,173  | 18,514,600  | 15,707,849  | 14,779,117  | 17,142,552  | 21,242,608             |
| <b>TOTAL CASH FLOW - 2016-17 FISCAL YEAR</b>          |  |             |             |             |             |             |             |             |             |             |             |             |             |                        |
| Beginning Working Capital*                            |  | 10,071,020  | 9,999,323   | 6,117,837   | 19,848,303  | 11,477,633  | 6,858,184   | 20,737,728  | 18,488,779  | 15,782,664  | 15,323,590  | 15,436,843  | 15,158,475  | 10,071,020             |
| Transfers In (Out)                                    |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Total Income  |  | -           | 4,876,875   | 24,540,057  | -           | -           | 18,169,453  | 750,000     | -           | 4,520,442   | 1,202,960   | 825,000     | 3,565,375   | 58,450,162             |
| Total Expenses  |  | (71,697)    | (8,758,361) | (8,604,775) | (8,370,671) | (4,619,449) | (4,289,909) | (2,998,950) | (2,706,114) | (3,084,275) | (1,089,707) | (1,103,368) | (1,247,805) | (46,945,082)           |
| Ending Working Capital (Before Financing)             |  | 9,999,323   | 6,117,837   | 22,053,119  | 11,477,633  | 6,858,184   | 20,737,728  | 18,488,779  | 15,782,664  | 17,218,831  | 15,436,843  | 15,158,475  | 17,476,045  | 21,576,101             |
| Beginning Working Capital (After Financing)           |  | 10,071,020  | 9,999,323   | 6,117,837   | 19,848,303  | 11,477,633  | 6,858,184   | 20,737,728  | 18,488,779  | 15,782,664  | 15,323,590  | 15,436,843  | 15,158,475  | 10,071,020             |
| Net Cash Flow   |  | (71,697)    | (3,881,486) | 15,935,282  | (8,370,671) | (4,619,449) | 13,879,544  | (2,248,950) | (2,706,114) | 1,436,167   | 113,253     | (278,368)   | 2,317,569   | 11,505,080             |
| Proceeds from New Financing Activity (Trustee)        |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Proceeds from New Financing Activity (SBFCA)          |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Repayment of Short and Long Term Debt                 |  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -                      |
| Interest Expense of Financing Activity                |  | -           | -           | (2,204,816) | -           | -           | -           | -           | -           | (1,895,241) | -           | -           | -           | (4,100,056)            |
| Ending Working Capital (After Financing)              |  | 9,999,323   | 6,117,837   | 19,848,303  | 11,477,633  | 6,858,184   | 20,737,728  | 18,488,779  | 15,782,664  | 15,323,590  | 15,436,843  | 15,158,475  | 17,476,045  | 17,476,045             |

| <b>CASH FLOW SUMMARY - 2017-18 FY</b>                 |             |             |             |             |             |             |             |             |             |             |             |             | Available    |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|--------------|
|   | July        | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | 6/30/2018    |
| <b>OPERATIONS</b>                                     |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | 3,058,671   | 2,938,763   | 2,818,855   | 2,693,434   | 2,573,526   | 2,453,618   | 2,333,710   | 2,957,976   | 2,829,713   | 2,698,908   | 2,565,130   | 2,439,325   | 3,058,671    |
| Total Income  | -           | -           | -           | -           | -           | -           | 750,000     | -           | -           | -           | -           | -           | 750,000      |
| Total Expenses  | (119,908)   | (119,908)   | (125,420)   | (119,908)   | (119,908)   | (119,908)   | (125,734)   | (128,263)   | (130,805)   | (133,778)   | (125,805)   | (169,168)   | (1,538,513)  |
| Ending Working Capital                                | 2,938,763   | 2,818,855   | 2,693,434   | 2,573,526   | 2,453,618   | 2,333,710   | 2,957,976   | 2,829,713   | 2,698,908   | 2,565,130   | 2,439,325   | 2,270,158   | 2,270,158    |
| <b>CAPITAL - USACE STUDY</b>                          |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)    |
| Ending Working Capital                                | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)    |
| <b>CAPITAL - STAKEHOLDER MANAGEMENT EFFORTS</b>       |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430        |
| Ending Working Capital                                | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430        |
| <b>CAPITAL - REGIONAL PLANNING EFFORTS</b>            |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (115,642)   | (115,642)   | (115,642)   | (115,642)   | (115,642)   | (115,642)   | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (115,642)    |
| Total Income  | -           | -           | -           | -           | -           | 41,645      | -           | -           | -           | -           | -           | -           | 41,645       |
| Ending Working Capital                                | (115,642)   | (115,642)   | (115,642)   | (115,642)   | (115,642)   | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)     |
| <b>CAPITAL - EMERGENCY REPOSE EFFORTS</b>             |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)     |
| Ending Working Capital                                | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)     |
| <b>CAPITAL - OROVILLE WILDLIFE AREA (RESTORATION)</b> |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)    |
| Ending Working Capital                                | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)   | (214,381)    |
| <b>CAPITAL - LAUREL CYPRESS FSRP</b>                  |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000)  |
| Ending Working Capital                                | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000)  |
| <b>CAPITAL - FUTURE FSRP</b>                          |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | -           | (44,917)    | (89,833)    | (134,750)   | (179,667)   | (224,583)   | (269,500)   | (314,417)   | (359,333)   | (404,250)   | (449,167)   | (494,083)   | -            |
| Total Income  | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 188,417     | 2,261,000    |
| Total Expenses  | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (233,333)   | (2,800,000)  |
| Ending Working Capital                                | (44,917)    | (89,833)    | (134,750)   | (179,667)   | (224,583)   | (269,500)   | (314,417)   | (359,333)   | (404,250)   | (449,167)   | (494,083)   | (539,000)   | (539,000)    |
| <b>GBSP - GRIDLEY BRIDGE (IMPLEMENTATION)</b>         |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)          |
| Ending Working Capital                                | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)         | (0)          |
| <b>CAPITAL - ULOP &amp; ACCREDITATION</b>             |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital                             | (333,937)   | (333,937)   | (345,662)   | (351,233)   | (356,803)   | (362,374)   | (367,945)   | (373,516)   | (377,975)   | (382,435)   | (386,894)   | (391,354)   | (333,937)    |
| Total Expenses  | -           | (11,725)    | (5,571)     | (5,571)     | (5,571)     | (5,571)     | (5,571)     | (4,460)     | (4,460)     | (4,460)     | (4,460)     | (4,460)     | (61,876)     |
| Ending Working Capital                                | (333,937)   | (345,662)   | (351,233)   | (356,803)   | (362,374)   | (367,945)   | (373,516)   | (377,975)   | (382,435)   | (386,894)   | (391,354)   | (395,814)   | (395,814)    |
| <b>CAPITAL - EIP/UFRR</b>                             |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital*                            | 17,142,552  | 17,134,552  | 16,226,502  | 17,546,624  | 16,668,367  | 15,790,109  | 24,845,042  | 24,633,132  | 24,421,223  | 22,494,798  | 22,282,889  | 22,070,980  | 17,142,552   |
| Total Income  | -           | -           | 4,383,829   | -           | -           | 9,903,398   | -           | -           | 174,324     | -           | -           | 3,024,066   | 17,485,617   |
| Total Expenses  | (8,000)     | (908,050)   | (848,465)   | (878,257)   | (878,258)   | (848,466)   | (211,909)   | (211,909)   | (211,909)   | (211,909)   | (211,909)   | (216,909)   | (5,645,951)  |
| Ending Working Capital                                | 17,134,552  | 16,226,502  | 19,761,865  | 16,668,367  | 15,790,109  | 24,845,042  | 24,633,132  | 24,421,223  | 24,383,638  | 22,282,889  | 22,070,980  | 24,878,136  | 28,982,218   |
| <b>TOTAL CASH FLOW - 2017-18 FISCAL YEAR</b>          |             |             |             |             |             |             |             |             |             |             |             |             |              |
| Beginning Working Capital*                            | 17,476,045  | 17,303,220  | 16,218,621  | 17,362,836  | 16,314,183  | 15,265,530  | 24,191,712  | 24,553,581  | 24,164,033  | 22,057,426  | 21,662,363  | 21,275,272  | 17,476,045   |
| Transfers In (Out)                                    | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -            |
| Total Income  | 188,417     | 188,417     | 4,572,246   | 188,417     | 188,417     | 10,133,460  | 938,417     | 188,417     | 362,741     | 188,417     | 188,417     | 3,212,483   | 20,538,262   |
| Total Expenses  | (361,241)   | (1,273,016) | (1,212,790) | (1,237,069) | (1,237,070) | (1,207,278) | (576,547)   | (577,965)   | (580,507)   | (583,480)   | (575,507)   | (623,870)   | (10,046,340) |
| Ending Working Capital (Before Financing)             | 17,303,220  | 16,218,621  | 19,578,076  | 16,314,183  | 15,265,530  | 24,191,712  | 24,553,581  | 24,164,033  | 23,946,266  | 21,662,363  | 21,275,272  | 23,863,885  | 27,967,967   |
| Beginning Working Capital (After Financing)           | 17,476,045  | 17,303,220  | 16,218,621  | 17,362,836  | 16,314,183  | 15,265,530  | 24,191,712  | 24,553,581  | 24,164,033  | 22,057,426  | 21,662,363  | 21,275,272  | 17,476,045   |
| Net Cash Flow   | (172,825)   | (1,084,599) | 3,359,456   | (1,048,653) | (1,048,653) | 8,926,182   | 361,869     | (389,548)   | (217,766)   | (395,063)   | (387,090)   | 2,588,613   | 10,491,922   |
| Proceeds from New Financing Activity (Trustee)        | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -            |
| Proceeds from New Financing Activity (SBFCA)          | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -            |
| Repayment of Short and Long Term Debt                 | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -            |
| Interest Expense of Financing Activity                | -           | -           | (2,215,241) | -           | -           | -           | -           | -           | (1,888,841) | -           | -           | -           | (4,104,081)  |
| Ending Working Capital (After Financing)              | 17,303,220  | 16,218,621  | 17,362,836  | 16,314,183  | 15,265,530  | 24,191,712  | 24,553,581  | 24,164,033  | 22,057,426  | 21,662,363  | 21,275,272  | 23,863,885  | 23,863,885   |



| <b>ROUGH CASH FLOW SUMMARY - 2018-19 FY</b>           |             |             |             |             |             |             |             |             |             |             |             |             | Available     |
|---|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|---------------|
|   | July        | August      | September   | October     | November    | December    | January     | February    | March       | April       | May         | June        | 6/30/2019     |
| <b>OPERATIONS</b>                                     |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | 2,270,158   | 2,147,265   | 2,024,372   | 1,901,479   | 1,773,073   | 1,650,180   | 1,527,287   | 2,148,081   | 2,016,347   | 1,882,070   | 1,744,821   | 1,615,545   | 2,270,158     |
| Total Income  | -           | -           | -           | -           | -           | -           | 750,000     | -           | -           | -           | -           | -           | 750,000       |
| Total Expenses  | (122,893)   | (122,893)   | (122,893)   | (128,406)   | (122,893)   | (122,893)   | (129,206)   | (131,734)   | (134,276)   | (137,249)   | (129,276)   | (172,439)   | (1,577,052)   |
| Ending Working Capital                                | 2,147,265   | 2,024,372   | 1,901,479   | 1,773,073   | 1,650,180   | 1,527,287   | 2,148,081   | 2,016,347   | 1,882,070   | 1,744,821   | 1,615,545   | 1,443,106   | 1,443,106     |
| <b>CAPITAL - USACE STUDY</b>                          |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)     |
| Ending Working Capital                                | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)   | (877,437)     |
| <b>CAPITAL - STAKEHOLDER MANAGEMENT EFFORTS</b>       |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430         |
| Ending Working Capital                                | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430       | 8,430         |
| <b>CAPITAL - REGIONAL PLANNING EFFORTS</b>            |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)      |
| Ending Working Capital                                | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)    | (73,997)      |
| <b>CAPITAL - EMERGENCY REPOSE EFFORTS</b>             |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)      |
| Ending Working Capital                                | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)    | (17,209)      |
| <b>CAPITAL - OROVILLE WILDLIFE AREA (RESTORATION)</b> |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (214,381)   | (381,048)   | (547,714)   | (714,381)   | (881,048)   | (547,714)   | (714,381)   | (881,048)   | (1,047,715) | (714,381)   | (881,048)   | (1,047,715) | (214,381)     |
| Total Income  | -           | -           | -           | -           | 500,000     | -           | -           | -           | 500,000     | -           | -           | 1,000,000   | 2,000,000     |
| Total Expenses  | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (166,667)   | (2,000,000)   |
| Ending Working Capital                                | (381,048)   | (547,714)   | (714,381)   | (881,048)   | (547,714)   | (714,381)   | (881,048)   | (1,047,715) | (714,381)   | (881,048)   | (1,047,715) | (214,381)   | (214,381)     |
| <b>CAPITAL - LAUREL CYPRESS FSRP</b>                  |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000)   |
| Ending Working Capital                                | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000) | (1,175,000)   |
| <b>CAPITAL - FUTURE FSRP</b>                          |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (539,000)   | (287,304)   | (327,274)   | (367,245)   | (407,215)   | (447,186)   | (487,156)   | (1,652,127) | (2,817,097) | (3,982,068) | (5,147,038) | (6,312,009) | (539,000)     |
| Transfers In (Out)                                    | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Total Income  | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 34,680,354    |
| Total Expenses  | (2,638,333) | (2,930,000) | (2,930,000) | (2,930,000) | (2,930,000) | (2,930,000) | (4,055,000) | (4,055,000) | (4,055,000) | (4,055,000) | (4,055,000) | (4,055,000) | (41,618,333)  |
| Ending Working Capital                                | (287,304)   | (327,274)   | (367,245)   | (407,215)   | (447,186)   | (487,156)   | (1,652,127) | (2,817,097) | (3,982,068) | (5,147,038) | (6,312,009) | (7,476,979) | (7,476,979)   |
| <b>CAPITAL - ULOP &amp; ACCREDITATION</b>             |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital                             | (395,814)   | (395,814)   | (400,273)   | (404,733)   | (409,193)   | (413,652)   | (418,112)   | (420,398)   | (422,683)   | (424,969)   | (427,255)   | (429,540)   | (395,814)     |
| Total Expenses  | -           | (4,460)     | (4,460)     | (4,460)     | (4,460)     | (4,460)     | (2,286)     | (2,286)     | (2,286)     | (2,286)     | (2,286)     | (4,571)     | (38,298)      |
| Ending Working Capital                                | (395,814)   | (400,273)   | (404,733)   | (409,193)   | (413,652)   | (418,112)   | (420,398)   | (422,683)   | (424,969)   | (427,255)   | (429,540)   | (434,112)   | (434,112)     |
| <b>CAPITAL - EIP/UFRR</b>                             |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital*                            | 24,878,136  | 24,878,136  | 24,878,136  | 21,264,296  | 21,264,296  | 21,264,296  | 24,264,296  | 24,264,296  | 24,264,296  | 22,409,955  | 22,409,955  | 22,409,955  | 24,878,136    |
| Total Income  | -           | -           | -           | -           | -           | 3,000,000   | -           | -           | -           | -           | -           | 2,750,000   | 5,750,000     |
| Total Expenses  | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Ending Working Capital                                | 24,878,136  | 24,878,136  | 24,878,136  | 21,264,296  | 21,264,296  | 24,264,296  | 24,264,296  | 24,264,296  | 24,264,296  | 22,409,955  | 22,409,955  | 25,159,955  | 30,628,136    |
| <b>TOTAL CASH FLOW - 2018-19 FISCAL YEAR</b>          |             |             |             |             |             |             |             |             |             |             |             |             |               |
| Beginning Working Capital*                            | 23,863,885  | 23,826,022  | 23,492,032  | 19,544,201  | 19,204,699  | 19,370,709  | 22,036,719  | 21,323,591  | 19,857,933  | 17,035,393  | 15,564,221  | 14,101,022  | 23,863,885    |
| Transfers In (Out)                                    | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Total Income  | 2,890,029   | 2,890,029   | 2,890,029   | 2,890,029   | 3,390,029   | 5,890,029   | 3,640,029   | 2,890,029   | 3,390,030   | 2,890,029   | 2,890,029   | 6,640,029   | 43,180,354    |
| Total Expenses  | (2,927,893) | (3,224,019) | (3,224,019) | (3,229,532) | (3,224,019) | (3,224,019) | (4,353,158) | (4,355,687) | (4,358,229) | (4,361,201) | (4,353,229) | (4,398,677) | (45,233,683)  |
| Ending Working Capital (Before Financing)             | 23,826,022  | 23,492,032  | 23,158,042  | 19,204,699  | 19,370,709  | 22,036,719  | 21,323,591  | 19,857,933  | 18,889,734  | 15,564,221  | 14,101,022  | 16,342,375  | 21,810,556    |
| Beginning Working Capital (After Financing)           | 23,863,885  | 23,826,022  | 23,492,032  | 19,544,201  | 19,204,699  | 19,370,709  | 22,036,719  | 21,323,591  | 19,857,933  | 17,035,393  | 15,564,221  | 14,101,022  | 23,863,885    |
| Net Cash Flow   | (37,864)    | (333,990)   | (333,990)   | (339,502)   | 166,010     | 2,666,010   | (713,129)   | (1,465,657) | (968,199)   | (1,471,172) | (1,463,199) | 2,241,352   | (2,053,329)   |
| Proceeds from New Financing Activity (Trustee)        | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Proceeds from New Financing Activity (SBFCA)          | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Repayment of Short and Long Term Debt                 | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -           | -             |
| Interest Expense of Financing Activity                | -           | -           | (3,613,841) | -           | -           | -           | -           | -           | (1,854,341) | -           | -           | -           | (5,468,181)   |
| Ending Working Capital (After Financing)              | 23,826,022  | 23,492,032  | 19,544,201  | 19,204,699  | 19,370,709  | 22,036,719  | 21,323,591  | 19,857,933  | 17,035,393  | 15,564,221  | 14,101,022  | 16,342,375  | \$ 16,342,375 |

## **DRAFT NOTICE OF PUBLIC HEARING**

### **NOTICE OF PUBLIC HEARING OF THE SUTTER BUTTE FLOOD CONTROL AGENCY BOARD OF DIRECTORS ADOPTION OF THE AMENDED BUDGET FOR THE 2015-16 FISCAL YEAR AND THE ADOPTION OF A BUDGET FOR FISCAL YEARS 2016-17 THROUGH 2018-19**

NOTICE IS HEREBY GIVEN that the Sutter Butte Flood Control Agency Board of Directors will hold a public hearing at 1 p.m. on Wednesday, June 22, 2016 in the City of Yuba City Council Chambers, 1201 Civic Center Blvd, Yuba City, CA for the purpose of receiving written and oral comment from the public on the adoption of an Amended Budget for Fiscal Years 2015-16 and a Budget for Fiscal Years 2016-17 through 2018-19, preparatory to making a final determination. NOTICE IS FURTHER GIVEN that at said time and place any citizen may appear and be heard regarding the increase, decrease or omission of any item in the budget, or for the inclusion of additional items. All proposals for the increase or inclusion of additional items shall be submitted in writing to the Board Clerk before the close of the public hearing. NOTICE IS FURTHER GIVEN that the proposed Amended Budget for Fiscal Year 2015-16 and the proposed Budget for Fiscal Years 2016-17 through 2018-19 are available for public inspection weekdays from 8am-5pm at the Sutter County Administrator's Office, 1160 Civic Center Blvd, Ste A, Yuba City, CA. All interested citizens are encouraged to attend the hearing and provide written and oral comments or suggestions concerning the proposed budgets.



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine, Executive Director  
Seth Wurzel, Budget Manager

**SUBJECT:** Receive and File Monthly Financial Report (March 2016)

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## **Recommendation**

We recommend the Board receive and file the March 2016 Financial Report and receive staff's monthly financial report update.

## **Background**

Staff will provide a brief presentation of SBFCA's current financial position and financial activities at the Board meeting and will be prepared to answer any questions.

The monthly financial reports will include the following information:

- **Current Working Capital Position:** Provide an update as to the liquidity of the Agency and ability to cover current obligations. This information is presented within the monthly financial report prepared in coordination with Yuba City finance staff. The financial report reflects the financial information as of March 2016. The information presented is compared to the Final Amended Final 5-Year Budget.

## **Fiscal Impact**

This is an informational item only with no fiscal impact to the Agency.

## **Attachment** *(To be provided at the Board meeting)*

- Yuba City Finance Department Memorandum, May 11, 2016 re: Monthly Financial Report: March 2016



# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

**TO:** Board of Directors

**FROM:** Mike Inamine - Executive Director  
Michael Bessette - Director of Engineering

**SUBJECT:** Receive and File Program/Project Update Report

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## **Recommendation**

Receive and file the May 2016 Program/Project update report.

## **Background**

The purpose of this report is to provide a regular, monthly update on SBFCA program and project activities:

### *Engineering Design*

The design team has successfully addressed all comments received from the IPE and partner agencies on the Technical Memorandums (TM) for the UPRR, Yuba City Raw Water Intake, and Reach 7 Relief Wells. These three separate project areas will be included as one project and will go out to bid in mid-May. The bid opening will be approximately 30 days after the project goes out to bid. Staff is coordinating with the Central Valley Flood Protection Board and USACE in order to receive the approvals and encroachment permits needed for construction.

The design team continues to provide construction support and project closeout services for Project Area C and Project Areas B and D contractor operations. Efforts for the past month have focused on: Project Closeout Report for Project Area C (now completed), field instructions, submittals, change order reviews, and PG&E and AT&T utility relocation coordination. The design team also continues to support right-of-way acquisition efforts, providing appraisal exhibits, plats and legal descriptions, and coordinating with landowners on utility crossings, relocations and construction access.

### *Construction Management (Project Areas B, C & D)*

Over the past month the CM and engineering design teams began holding weekly construction coordination meetings with the contractor to coordinate and manage the 2016 construction season. Project Area D construction began in early April and approximately three miles of levee are now degraded and being prepared for slurry wall construction. The CM team continued construction management and administrative duties related to schedule evaluation, pay estimates, requests for pricing, and reviewed and negotiated costs submitted for changed work. The CM team completed the Project Area C Construction Documentation Report with support from the design team. This report was delivered to DWR, CVFPB and USACE on February 26, and we are waiting to receive review comments from all these agencies.

As of the end of April, the contractor is completing several utility pipeline crossings, has mostly completed necessary tree removal work, installed project fencing in the 2016 construction areas, and has degraded approximately three miles of levee in preparation for slurry wall installation. Slurry wall construction is scheduled to begin the first week of May.

### *Environmental Documentation/Permitting/Cultural Resources*

Biological monitoring is ramping up now that construction has begun in all project areas. Bird nesting surveys will continue during the nesting season as required by project permits. Mitigation plantings at Star Bend, which have been on temporary hold, will be completed in May. The environmental documentation and permitting processes for the Gridley Bridge Erosion Repair Project, Oroville Wildlife Area, and Laurel Avenue Critical Repair Project are all ongoing. The Supplemental Environmental Impact Report covering the Gridley and Laurel projects was released for public review on April 20 and comments are due on June 3.

Work and negotiations continue on the cultural resources settlement agreement documents with UAIC to guide future levee improvements in Sutter County, including the gaps projects, Reach 7 relief wells, and levee improvements at Laurel Avenue. Construction in Sutter County will not proceed until the Section 106 documents for Sutter County are amended by the Corps, which is now anticipated to be early summer of 2016. Staff is working with the Corps and both tribes to ensure uninterrupted construction and respectful treatment of cultural resources.

### *Right of Way*

Right-of-way acquisition for acquiring the remaining property rights needed for 2016 construction in Project Area D have successfully concluded, however fee title acquisition processes are ongoing. Right-of-way acquisition for the UPRR and 5<sup>th</sup> Street gaps work is also ongoing. The right-of-way acquisition process for the Laurel Avenue project has been initiated and meetings with affected property owners have been held. Several additional (or follow up) meetings will be scheduled to address property owners' questions and concerns.

### *State & Local Funding and Coordination*

SBFCA continues to work with DWR to prepare a fourth amendment to SBFCA's construction funding agreement. This amendment will provide the remaining funding needed for the balance of the FRWLP1 project. SBFCA has also prepared a draft reimbursement request for the work advanced in areas that are not currently covered by the effective construction funding agreement, but will be once the amendment is finalized. This reimbursement request would be processed by DWR once the amendment becomes effective. The reimbursement request is expected to result in a payment of approximately \$11.4 million.

SBFCA also continues to work with DWR to close out the FRWLP design funding agreement. SBFCA has received concurrence on the final accounting of the design funding agreement. It is expected that as part of the CFA Amendment No. 4, DWR will transfer approximately \$2.53 million of remaining funding from the Design Agreement to the Construction Agreement. In addition, as part of the Design Agreement closeout process, DWR is expected to release \$1.594 million in retention.

In addition to the amendment and closeout processes described above, SBFCA has requested funding from DWR for work forecasted to be completed during the current quarter (Jan – Mar 2016). This request was for approximately \$4.6 million and is still being reviewed by DWR. Staff has also submitted additional costs for reimbursement to DWR based on actual costs incurred through March 2016. Finally, Staff has also recently submitting an additional request for advance funding for the forthcoming quarter (April – June 2016) in the amount of \$9.1 million.

The following table summarizes SBFCA's EIP/UFRR grant funding committed, in process and received to date.

**FRWLP DWR EIP Funding****Agreement**

|                                   | <b><u>Design</u></b> | <b><u>Construction</u></b> | <b><u>Total</u></b>  |
|-----------------------------------|----------------------|----------------------------|----------------------|
| Agreement No.                     | #4600009480          | #4600010296                |                      |
| Capital Outlay Amount             | \$9,000,000          | \$56,780,000               | \$65,780,000         |
| Amendment 1                       | \$0                  | \$0                        | \$0                  |
| Amendment 2                       | \$14,869,280         | \$57,803,791               | \$72,673,071         |
| Amendment 3                       | \$0                  | \$43,861,587               | \$43,861,587         |
| Amendment 4 ( <i>in process</i> ) | -\$2,529,451 [2]     | \$43,358,382 [2]           | \$40,828,931         |
| <b>TOTAL FUNDING</b>              | <b>\$21,339,829</b>  | <b>\$201,803,760</b>       | <b>\$223,143,589</b> |
| Receipts                          |                      |                            |                      |
| PMT 1                             | \$2,328,141          | \$14,103,457               | \$16,431,597         |
| PMT 2                             | \$1,160,580          | \$18,447,722               | \$19,608,302         |
| PMT 3                             | \$4,842,366          | \$19,469,632               | \$24,311,998         |
| PMT 4                             | \$8,704,665          | \$15,358,844               | \$24,063,509         |
| PMT 5                             | \$2,709,411          | \$13,846,991               | \$16,556,402         |
| PMT 6                             | \$0                  | \$14,479,664               | \$14,479,664         |
| PMT 7                             | \$0                  | \$13,168,126               | \$13,168,126         |
| RET. & FINAL PMT                  | \$1,594,667 [3]      | \$0                        | \$1,594,667          |
| CFA #4 TRUE-UP                    | \$0                  | \$11,437,416 [3]           | \$11,437,416         |
| PMT 8                             | \$0                  | \$4,631,686 [3]            | \$4,631,686          |
| PMT 9                             |                      | \$9,112,168 [3]            | \$9,112,168          |
| <b>TOTAL PAYMENTS</b>             | <b>\$21,339,829</b>  | <b>\$134,055,706</b>       | <b>\$155,395,535</b> |
| <b>GRANT BALANCE</b>              | <b>\$0</b>           | <b>\$67,748,054</b>        | <b>\$67,748,054</b>  |

[1] First Amendments amended scope only.

[2] UFRR Funding Committed, preparation of Amendment 4 is in process. Amendment 4 will reflect a transfer of remaining available funding to the CFA.

[3] In process, pending DWR closeout of the Design Funding Agreement and approval of SBFCA submitted documentation including approval of CFA Amendment No. 4.

Note: In the table above, all payments reflected after PMT No. 7 have not yet been received and are estimated. This represents approximately \$26.8 million of pending payments.

*DWR Emergency Response Planning Grant*

The last task under the emergency response grant, the installation of the new backup generator at Gridley's City Hall, was successfully completed. Now that the generator is installed and all invoices paid, we can complete the grant closeout process. David Ford Engineers is assisting with the administrative tasks required to close out the project, such as preparing invoice packages and final grant closeout documentation.

*Laurel Avenue Repair Project (DWR Flood System Repair Program Grant)*

SBFCA received the final Project Agreement from DWR and is now completing the process of preparing a project payment to SBFCA. A payment request could only be submitted once the Project Agreement was approved by the Department of General Services. The project delivery team continues to hold weekly coordination meetings to advance all aspects of the project including the design and bid document finalization. This project will be officially out to bid on May 2. A mandatory pre-bid meeting is scheduled for May 13 and bids will be received and opened on June 2. Environmental documentation, permitting, tribal coordination and right-of-

way acquisition processes are all ongoing and construction cannot begin until all of these activities are complete.

#### *Oroville Wildlife Area (OWA) Flood Stage Reduction Project*

The project team continues to work on the design, environmental documentation, and permitting efforts. The 90% design was submitted on April 18 and internal review is ongoing. The Admin Draft IS/MND is complete and is now undergoing review prior to public release. In addition, SBFCA staff is coordinating with American Rivers to implement the recent grant from the Wildlife Conservation Board. The team also continues to search for opportunities to fund the construction of the restoration related work. The team is currently working collaboratively with USFWS to develop a project charter to obtain funding under the federal Central Valley Project Improvement Act.

#### *Gridley Bridge Erosion Repair Project*

Concurrence has been received from USACE, DWR and the CVFPB to complete this work as an O&M activity. All comments from the IPE and agencies have been addressed and the review process is now complete. The improvement plans, specifications, and the Basis of Design Report have been updated and provided to Parsons Brinckerhoff so they could prepare a Request for Pricing Proposal (RFP). The RFP was sent to the Nordic Magnus JV on April 25 with a request to respond within 30 days.

#### *Small Communities Grants*

Final Guidelines on the grant application process have not yet been issued by DWR. Staff now expects to see them in late May or early June. Staff continues to closely track DWR's progress to insure a timely submittal of a grant application to fund a feasibility study for increased flood protection in the southern basin. SBFCA is coordinating with Sutter County on this grant process.

#### *Feather River Regional Flood Management Plan*

Staff continues work on developing the OMRR&R costs for the Feather River under new State and federal requirements. Concurrently, the State and consultant team are also developing costs with input from SBFCA and impacted LMA's. SBFCA staff and consultants participated in a well-attended tour of agricultural operations that are adversely impacted by FEMA regulations. This initiative, dubbed the "Agricultural Floodplain Ordinance Task Force, includes representatives from flood control agencies, Farm Bureaus of six counties, California Farm Bureau Federation, environmental NGO's, land use agencies and floodplain managers, FEMA and DWR.

#### *Sutter Basin Flood Risk Management Project (federal)*

SBFCA staff continues to coordinate with the Corps and DWR on determining the quickest and best path to initiate the federal project. The Design Funding Agreement between SBFCA and the Corps was approved by the SBFCA Board last month which allows both parties to enter into a design agreement. The state will also be a party to the agreement in the next several months once the agreement language is approved by DWR legal. Congressman Garamendi has also weighed in on this project both in Washington DC and at the local Corps District level.

#### *Urban Level of Protection Documentation*

The SBFCA design team is holding weekly meetings and assembling the documentation necessary to prepare the "substantial evidence in the record" to make a FND-3 (adequate progress) finding for the protected lands, and once completed will post those findings and supporting information on its website, per the ULOP guidelines. The internal draft was sent out on April 27 and internal review is ongoing. A meeting with the IPE to review and discuss the draft is scheduled for May 17. The IPE will review the Engineer's Report and provide

comments back to SBFCA. The IPE will also write their own report to satisfy the requirements of the ULDC guidelines. The final package is scheduled for completion by June 30.

**Fiscal Impact**

This is an informational item only with no fiscal impact to SBFCA.





# Sutter Butte Flood Control Agency

*A Partnership for Flood Safety*

May 11, 2016

## **Item 6**

**TO:** Board of Directors  
**FROM:** Mike Inamine, Executive Director  
**SUBJECT:** Other reports from Agency staff and consultants

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This time has been set aside on the agenda for other reports from Agency staff and consultants.

## **Item 7**

**TO:** Board of Directors  
**FROM:** Mike Inamine, Executive Director  
**SUBJECT:** Report by the Citizens' Assessment District Advisory Committee

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This time has been set aside on the agenda for a report from the CADAC.

## **Item 8**

**TO:** Board of Directors  
**FROM:** Mike Inamine, Executive Director  
**SUBJECT:** Report by member and partner agencies

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This time has been set aside on the agenda for a report and discussion (if necessary) by member and partner agency representatives.

## **Item 9**

**TO:** Board of Directors  
**FROM:** Mike Inamine, Executive Director  
**SUBJECT:** Report on correspondence sent by and received by the Board

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This time has been set aside on the agenda for Board discussion and staff response regarding correspondence received by the Agency.

## **Fiscal Impact**

The above items are informational only with no fiscal impact to the Agency.